

<h1>ACF</h1> <p>Administration for Children and Families</p>	U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Administration on Children, Youth and Families	
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	3. Originating Office: Children’s Bureau (CB)	
	4. Key Words: Title IV-B Child and Family Services Plan; Child Abuse Prevention and Treatment State Plan; Chafee Foster Care Independence Program; Educational Training Vouchers Program; Annual Progress and Services Report	

PROGRAM INSTRUCTION

TO: State Agencies, Territories, and Insular Areas Administering or Supervising the Administration of Title IV-B, Subparts 1 and 2 of the Social Security Act (Act), and Title IV-E of the Act; Organization Designated by the Governor to Apply for Child Abuse and Neglect Prevention and Treatment Programs State Grant Funds; State Independent Living and Education and Training Voucher (ETV) Coordinators.

SUBJECT: June 30, 2009, submission of: (1) the Child and Family Services Plan (CFSP) Final Report for fiscal years (FYs) 2005-2009; (2) the CFSP for FYs 2010-2014 for the Stephanie Tubbs Jones Child Welfare Services (CWS) and Promoting Safe and Stable Families (PSSF) Programs, the Child Abuse Prevention and Treatment Act (CAPTA) State Grant Program; and the Chafee Foster Care Independence Program (CFCIP) and the Education and Training Vouchers (ETV) Program; and (3) the CFS-101, Part I, Annual Budget Request, Part II, Annual Summary of Child and Family Services, and Part III, Annual Expenditure Report—Title IV-B, Subparts 1 and 2, CFCIP, and ETV.

LEGAL AND RELATED REFERENCES: The Fostering Connections to Success and Increasing Adoptions Act of 2008 (Public Law (P.L.) 110-351); Title IV-B, Subparts 1 and 2, Sections 421-425, 428, 430-438, and Title IV-E, Section 477 of the Act; Section 106 of CAPTA, as amended (42 U.S.C. 5101 et seq.); the Indian Child Welfare Act of 1978 (P.L. 95-608); the Indian Self-Determination and Education Assistance Act (P.L. 93-638); and 45 CFR Parts 1355 and 1357.

PURPOSE: This Program Instruction (PI) provides guidance to States, Territories, and Insular Areas (hereafter “States,” unless otherwise noted) on actions they are required to take to receive their allotments for FY 2010 (subject to the availability of appropriations) authorized under title IV-B, subparts 1 and/or 2, Section 106 of CAPTA, CFCIP, and ETV programs.

To receive funds for FY 2010, States must develop and submit, by June 30, 2009:

A final report for FYs 2005 through 2009 on services provided under CFSP that encompasses the title IV-B, subparts 1 and 2, CAPTA, CFCIP, and ETV programs;

A five-year CFSP for FYs 2010 through 2014 integrating the State's title IV-B, subparts 1 and/or 2, CAPTA State Plan and the title IV-E section 477 programs (CFCIP and ETV); and

The CFS-101, Parts I, II, and III.

The final allocations for these programs for FY 2009 are attached. (See Attachment A.)

INFORMATION: Organization of the Program Instruction (PI):

Section A: Background

Section B: Overview of the Child and Family Services Plan and Integration with the Child and Family Services Review Process

Section C: Submittal Rule for Insular Areas

Section D: Final Report for CFSP for FYs 2005 through 2009

Section E: Five-Year Child and Family Services Plan for FYs 2010 through 2014

Section F: Child Abuse Prevention and Treatment Act (CAPTA) State Plan Requirements

Section G: Chafee Foster Care Independence and Education and Training Vouchers Programs

Section H: Statistical and Supporting Information

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Attachments

Section A. Background

Since the CFSP process began in 1994, there have been three complete five-year plan cycles (FYs 1995 through 1999, FYs 2000 through 2004, and FYs 2005 through 2009). The next CFSP is due June 30, 2009, for FYs 2010 through 2014.

A primary purpose of the plan is to facilitate States' integration of the programs that serve children and families, including title IV-B, subparts 1 and 2 of the Act, CAPTA, and the CFCIP and ETV programs for older and/or former foster care youth, into a continuum of services for children and their families. We have consolidated these plans to help States plan comprehensively for the full array of child welfare services, from prevention and protection through permanency (45 CFR 1357.15(a)). Such consolidation reduces duplicative information requirements under CFSP and CAPTA plans. Specific information required by CAPTA and by the CFCIP and ETV programs is addressed later in this PI.

Federal regulations at 45 CFR 1357 apply to CFSP. Although these regulations refer to numerous dates and timelines that were useful at the introduction of the integrated plan concept, these dates have passed. However, the required elements of the comprehensive five-year CFSP, the Annual Progress and Services Reports (APSRs), and the final report on the progress made

toward accomplishing the goals and objectives in the CFSP, continue to be applicable. The obsolete regulations and other variances in the current law and regulations are listed at Attachment B.

Throughout the past 15 years, the Children's Bureau (CB) has used the PI method of informing and instructing States regarding the CFSP or APSR and the programs involved. PIs can be found on the internet at: www.acf.hhs.gov/programs/cb/laws_policies/index.htm#policy.

Since the last PI was issued on April 18, 2008, a number of amendments to the Act affecting the CFSP were enacted as part of P.L. 110-351. These amendments (which became effective on October 7, 2008, unless otherwise noted) include:

- Re-naming the title IV-B, subpart 1 program as the “Stephanie Tubbs Jones Child Welfare Services Program”;
- Requiring the title IV-B agency, in coordination with the State title XIX (Medicaid) agency and other health and child welfare experts, to develop a plan for the ongoing oversight and coordination of health care services for children in foster care;
- Adding a new title IV-E plan requirement for the State to negotiate in good faith with any Indian Tribe, tribal organization, or tribal consortium (Tribe) that requests the development of a title IV-E agreement with the State to administer all or part of the title IV-E program, including the CFCIP, on behalf of Indian children, and access to title IV-E administration, training and data collection resources;
- Adding a provision to allow Tribes that have title IV-E agreements with States or that are approved operate the title IV-E program directly to receive a direct allotment for CFCIP and ETV effective October 1, 2009;
- Creating a new title IV-E Guardianship Assistance Program (GAP) option for States (effective October 7, 2008) and Tribes (effective October 1, 2009);
- Adding a provision to permit title IV-E agencies to claim the costs of short-term training of relative guardians; private child welfare agency staff providing services to children receiving title IV-E assistance; child abuse and neglect court personnel; agency, child or parent attorneys; guardians ad litem; and court appointed special advocates (with a transitional period from FYs 2009 to 2013 during which the applicable Federal financial participation (FFP) rate is gradually increased from 55 to 75 percent);
- Amending the CFCIP to add the purpose of providing services to youth who after age 16 leave foster care for kinship guardianship or adoption;
- Permitting the title IV-E agency to waive on a case-by-case basis a non-safety licensing standard for a relative foster family home; and

- Re-authorizing and amending the Adoption Incentives Program by updating the “base year” used to measure increases to FY 2007; and by doubling incentive payment amounts for special needs and older child adoptions and creating a “highest ever” foster child adoption rate payment for exceeding the highest foster child adoption rate since 2002.

States were allowed delayed effective dates for certain provisions if the State certified that legislation was necessary to implement the specific provisions. A draft compilation of the revised Social Security Act can be found at <http://www.acf.hhs.gov/programs/cb/index.htm>. The law is described in greater detail in ACYF-CB-PI-08-05.

Section B. Overview of the Child and Family Services Plan and Integration with the Child and Family Services Review Process

The CFSP is a five-year strategic plan that sets forth the vision and the goals to be accomplished to strengthen the States’ overall child welfare system. The goals and objectives of the plan must address improved outcomes in the following areas:

- Safety of children;
- Permanency for children;
- Well-being of children and their families; and
- The nature, scope, and adequacy of existing child and family and related social services.

After each five-year plan, States must base the development of the next five-year plan on a final review of the accomplishments and identified needs from the previous five-year plan, including any new information (45 CFR 1357.16(f)).

CB emphasizes that the development of the CFSP and subsequent APSRs must be coordinated with the Child and Family Services Review (CFSR) and subsequent program improvement plan (PIP) to improve the child welfare system in the State. Federal regulations at 45 CFR 1355.35(f) require that, “...[t]he elements of the program improvement plan must be incorporated into the goals and objectives of the State’s CFSP.” The specificity of the CFSR helps the State focus on areas of need related to outcomes and systemic factors and the action steps needed to correct them. The CFSP uses these findings and plans for improvement as an integral part of determining the adequacy of what is currently in place and for constructing a strategic five-year plan to advance the overall child welfare system.

Planning for the CFSP begins with a review or development of the vision and goals and a self-assessment of the State’s current status. As such, it must pay particular attention to the findings from the latest CFSR statewide self-assessment, Final Report and PIP. The CFSR is a review specifically designed to meet the legislative requirement for oversight of the title IV-B and title IV-E requirements. States use the CFSR findings and plans for improvement as an integral part in determining the adequacy of activity in the overall child welfare system that is reflected in the CFSP. Further, while the CFSR PIP focuses on a two-year window of activity, the CFSP and APSR provide an opportunity to extend and sustain the improvements made through the PIP through a five-year period and beyond. The CFSP also provides an opportunity to develop and implement strategies that take longer than two years to develop and implement.

In anticipation of preparing for this five-year plan, a conference call was sponsored by CB's National Resource Center for Organizational Improvement on November 18, 2008. The conference call included a discussion by several States on how they have more fully integrated the CFSP and CFSR processes. Some of the strategies discussed by States included having the same staff involved in both the CFSP and CFSR processes, using the same group of community stakeholders to provide input to both processes, and formatting the CFSP in a manner that mirrors either the CFSR statewide assessment or the CFSR PIP. Information discussed during the call can be found at <http://muskie.usm.maine.edu/helpkids/cfsrpeers.htm>.

Other resources that may be helpful to States include documents written by CB, such as the attachment to ACF-CB-IM-02-04 and ACYF-PI-07-06, which can be found at http://www.acf.hhs.gov/programs/cb/laws_policies/policy/pi/index.htm. These documents provide guidance as States look to incorporate specific findings and solutions from the CFSR into the broader CFSP and APSR.

Please note that there is no one required format for the CFSP or APSR. Provided all required information is included, States are free to develop a format that they determine will facilitate more complete integration of the CFSP and CFSR processes.

CB and its technical assistance network will continue to provide technical assistance and suggestions to States with regard to integration. CB Regional Offices (RO) are available to provide guidance in this process.

Section C. Submittal Rule for Insular Areas

The Virgin Islands, Guam, American Samoa, and the Northern Mariana Islands may submit consolidated grant applications in accordance with 45 CFR Part 97. These jurisdictions do not need to submit a five-year CFSP as described in this PI if they choose to have their title IV-B, subparts 1 and/or 2 and/or CAPTA allotments included in a consolidated grant and expend these funds under authority of another program that is available for consolidation. If the Insular Areas choose to consolidate their applications for title IV-B, subparts 1 and/or 2 and/or CAPTA, they must notify their Administration for Children and Families (ACF) RO in writing of their intent by June 30, 2009. Insular Areas that choose to submit a CFSP may do so and submit the plan to their CB RO by June 30, 2009.

Section D. Final Report for CFSP for FYs 2005 through 2009

Each State must conduct a review of the progress made toward accomplishing the goals and objectives in the CFSP for FYs 2005 through 2009. When conducting the final review, the State must involve the agencies, organizations, and individuals that are a part of the ongoing CFSP-related consultation and coordination process. The final report may be submitted in the format of the State's choice. (Insular Areas that submitted a CFSP should also submit a final report on their CFSP.)

The final report must include the progress made on the goals and objectives during the last fiscal year and a summary of accomplishments made for FYs 2005 through 2009, as follows:

- A description of the specific accomplishments and progress made toward meeting each goal and objective in the State's CFSP, including information on outcomes for children and families, and a more comprehensive, coordinated, effective child and family services continuum. In describing the progress and accomplishments in the final report, each State should incorporate its baseline data and information, the data gathered in the CFSR, and the APSR conducted as required by the CFSP. The final report should address how the CFSR results and subsequent PIP affected the achievement of CFSP goals and objectives and address any barriers or unexpected events that may have had an impact on the accomplishment of the CFSP plan's goals and objectives.
- A description of the progress made in the areas of training, technical assistance, research, evaluation, or management information systems in support of the goals and objectives.
- A description of the progress and accomplishments made with regard to the diligent recruitment of potential foster and adoptive families that reflect the ethnic and racial diversity of children in the State for whom foster and adoptive homes are needed.
- A description of the State's activities as a result of receiving adoption incentive payments.
- A description of activities that the State has undertaken for children adopted from other countries, including the provision of adoption and post-adoption services.
- A description of the activities implemented by the State to make yearly progress to meet the requirement that by October 1, 2011, 90 percent of children in foster care are visited by their caseworker on a monthly basis (Section 424(e)(1) and (2) of the Act).
- A description of the progress and accomplishments made with regard to compliance with the Indian Child Welfare Act and the coordination of the permanency provisions afforded to Indian children (45 CFR 1357.15(q)).
- Accomplishments to date under the CAPTA portion of the consolidated CFSP.
- A copy of the most recent annual report(s) from the State's citizen review panel(s) and a copy of the State agency's most recent response(s) to the panel(s). Section 106(c)(6) of CAPTA requires that the citizen review panels develop annual reports and make them available to the public. The reports should include, at a minimum, a summary of the panel's activities and its recommendations to improve the child protection services system at the State and local levels. The report should also include information on the progress the State is making in implementing the recommendations of the panels.
- For States operating a child welfare waiver demonstration project under section 1130 of the Act, a description of the accomplishments and progress in the demonstration project as they relate to the goals and objectives in the State's CFSP.

- A description of the specific accomplishments and progress made toward meeting purposes 1 through 6 of Section 477(a) of the Act related to the CFCIP.
- Any other information the State wishes to include.

The final report must be made available to the public as required by 45 CFR 1355.21.

Section E: Five-Year Child and Family Services Plan for FYs 2010 through 2014

Development of the new CFSP continues States' opportunity to implement a system of coordinated, integrated, culturally relevant, and family-focused services. States should review the service principles at 45 CFR 1355.25. The process of coordination and collaboration implemented during the previous plan development, and continued annually for the APSR, should be continued in the development of the CFSP for FYs 2010 through 2014. The State should use information and data gathered through the previous CFSP and APSR and the CFSR process to inform the development of the State's FY 2010 through 2014 CFSP vision, goals, objectives, funding, and service decisions. The CFSP must include information on:

- The Stephanie Tubbs Jones Child Welfare Services Program (title IV-B, subpart 1);
- Services provided in the four areas under the Promoting Safe and Stable Families Program (title IV-B, subpart 2):
 - Family Preservation;
 - Family Support;
 - Time-Limited Family Reunification; and
 - Adoption Promotion and Support Services;
- Monthly Caseworker Visit Funds;
- Training activities and costs to be funded through titles IV-B and IV-E;
- CAPTA;
- CFCIP;
- ETV; and
- Child Welfare Waiver Demonstrations approved under section 1130 of the Act, as appropriate.

States must provide the following information in the CFSP:

State agency administering the programs: States must identify the name of the State agency that will administer the title IV-B programs under the plan. The CFSP must include a description of the organization, its function, and the organizational unit responsible for the plan. Except as provided by statute, the same agency is required to administer or supervise the administration of all programs under titles IV-B, IV-E, and XX of the Act (45 CFR 1357.15(e)(1) and (2)).

Vision Statement: States must include a vision statement that articulates the grantee's philosophy in providing child and family services and developing or improving a coordinated service-delivery system. The vision should reflect the service principles at 45 CFR 1355.25 (45 CFR 1357.15(g)).

Goals: The CFSP must specify the goals based on the vision statement that will be accomplished during and by the end of the five-year period of the plan. The goals must be expressed in terms of improved outcomes for and the safety, permanency, and well-being of children and families, and in terms of a more comprehensive, coordinated, and effective child and family service delivery system (45 CFR 1357.15(h)).

Objectives: The CFSP must include the realistic, specific, quantifiable, and measurable objectives that will be undertaken to achieve each goal. Each objective should focus on outcomes for children, youth, and/or their families or on elements of service delivery (such as quality) that are linked to outcomes in important ways. Each objective should include both interim benchmarks and a long-term timetable, as appropriate, for achieving the objective. The CFSP must include objectives to make progress in covering additional political subdivisions, reaching additional children in need of services, expanding and strengthening the range of existing services, and developing new types of services (45 CFR 1357.15(i)).

Measures of progress. The CFSP must describe the methods to be used in measuring the results, accomplishments, and annual progress toward meeting the goals and objectives, especially the outcomes for children, youth, and families. Processes and procedures assuring the production of valid and reliable data and information must be specified. The data and information must be capable of determining whether or not the interim benchmarks and multi-year timetable for accomplishing CFSP goals and objectives are being met (45 CFR 1357.15(j)).

Consultation and Coordination. Discuss the approach to include, and the involvement of, stakeholders including families, youth, Tribes, other Federally funded programs (e.g., Temporary Assistance for Needy Families, Medicaid, Child Care, Head Start, Food Stamps, and Community-Based Child Abuse Prevention programs, etc.); and State, local, Tribal and community-based public and private providers for programs such as substance abuse domestic violence, behavioral health, schools, developmental disability, private child welfare services, etc. (45 CFR 1357.15(l) and (m)).

Child and Family Services Continuum: States must describe the publicly funded child and family services continuum, including child abuse and neglect prevention, intervention, and treatment services and foster care; family preservation services; family support services; and services to support reunification, adoption, kinship care, independent living, and services for other permanent living arrangements. States must explain how their services will be linked to, coordinated with, or integrated into other services in the child and family services continuum (45 CFR 1357.15(n)).

Service Description: States must describe the services they offer under each category in title IV-B, subpart 2: family preservation, family support, time-limited family reunification, and adoption promotion and support services. The description must include services currently available to families and children; the extent to which each service is available and being provided in different geographic areas and to different types of families; and important gaps in service, including mismatches between available services and family needs as identified through available data, including the CFSR results, and the consultation process (45 CFR 1357.15(o)).

States must indicate the specific percentages of title IV-B, subpart 2, funds that they will expend on actual service delivery of family preservation, community-based family support, time-limited family reunification and adoption promotion and support services, and on planning and service coordination, with a rationale for the decision. The State must have an especially strong rationale if the percentage provided for any one of the four service categories is below 20%. The amount allocated to each of the service categories should include only funds for service delivery. States should report separately the amount to be allocated to planning and service coordination. States must provide the estimated expenditures for the described services on the CFS-101.

Decision-making process: States must include an explanation of how agencies and organizations were selected for funding to provide family support services and how these agencies are community-based (45 CFR 1357.15(r)).

Coordination with Tribes: States must provide a description, developed in consultation with Indian Tribes in their State, of the specific measures the States use to comply with the Indian Child Welfare Act (ICWA) (Section 422(b)(9) of the Act). In addition, States must describe the arrangements, jointly developed with the Indian Tribes within their borders, made in section 422(b)(8) of the Act for the provision of the child welfare services and protections to Indian children under both State and Tribal jurisdiction.

States must specifically include Tribes in their collaboration/coordination activities (45 CFR 1357.15(l)(3)(v)). State agencies and Tribes must also exchange copies of their CFSP and their APSR. (States without Federally recognized Tribes within their borders should still consult with Tribal representatives per 45 CFR 1357.15(l).)

Listed below are some components in ICWA that States must address in consultation with Tribes and in the CFSP:

- Notification of Indian parents and Tribes of State proceedings involving Indian children and their right to intervene;
- Placement preferences of Indian children in foster care, pre-adoptive, and adoptive homes;
- Active efforts to prevent the breakup of the Indian family when parties seek to place a child in foster care or for adoption; and
- Tribal right to intervene in State proceedings, or transfer proceedings to the jurisdiction of the Tribe.

In carrying out consultation and coordination with Tribes, States should be aware of changes in law made by P.L. 110-351 that may affect State-Tribe relations in the delivery of child welfare services to Indian children, youth, and families. The law created a new section 479B of the Act, effective October 1, 2009, which allows Federally recognized Tribes to apply to the Secretary to receive title IV-E funds directly from the Secretary for foster care, for adoption, and, at Tribal option, for guardianship assistance programs.

The opportunity to operate a title IV-E program is not time limited by the law. A Tribe has the discretion to determine whether or when it wants to develop its own title IV-E program to serve

Indian children. States remain responsible for serving resident Indian children who are not otherwise being served by an Indian Tribe under an agreement with the State or under a direct title IV-E plan (section 301(d)(2) of P.L. 110-351).

The law explicitly permits Indian Tribes to continue existing agreements or enter into new agreements with States to administer all or part of the title IV-E program on behalf of Indian children and to access title IV-E administration, training, and data collection resources (section 479B(e) of the Act). To support such State/Tribal agreements, the law permits Federal reimbursement of certain title IV-E payments under such agreements at the Tribal Federal Medical Assistance Percentage (FMAP) rate, if that rate is higher than the State FMAP rate (section 303(c)(2) of P.L. 110-351). The law also adds a title IV-E plan provision for States to negotiate in good faith with Indian Tribes seeking title IV-E agreements (section 471(a)(32)).

Health care services: P.L. 110-351 amended the section 422(b)(15) of the Act, which previously required an update regarding on-going efforts by the State agency to actively involve and consult physicians or other appropriate medical professionals in assessing the health and well-being of foster children and determining appropriate medical treatment. The law now requires States and Tribes to develop a plan for ongoing oversight and coordination of health care services for children in foster care. This plan shall be developed in coordination with the State title XIX (Medicaid) agency, and in consultation with pediatricians and other experts in health care, and experts in and recipients of child welfare services. States are required to submit a copy of the Health Care Services Plan with their CFSP.

The plan must describe how it will ensure a coordinated strategy to identify and respond to the health care needs of children in foster care placements, including mental health and dental health needs. The plan shall include an outline of:

- A schedule for initial and follow-up health screenings that meet reasonable standards of medical practice;
- How health needs identified through screenings will be monitored and treated;
- How medical information will be updated and appropriately shared, which may include developing and implementing an electronic health record;
- Steps to ensure continuity of health care services, which may include establishing a medical home for every child in care;
- The oversight of prescription medicines; and
- How the State actively consults with and involves physicians or other appropriate medical or non-medical professionals in assessing the health and well-being of children in foster care and in determining appropriate medical treatment for the children.

This requirement was effective October 7, 2008, with limited delays permitted for States certifying that State legislation is necessary to comply with the plan requirement. States needing legislation must specify when this requirement will be met; otherwise, States must include an explanation of how health care experts were selected and how they and the Medicaid agency were involved in developing health care oversight and coordination plans for children in foster care. As part of its plan for responding to the mental health needs of children and for providing oversight for prescription medicines, CB encourages States to pay particular attention to

oversight of the use of psychotropic medicines in treating the mental health care needs of children.

Disaster plans: Section 422(b)(16) of the Act requires that States have in place procedures explaining how the State programs assisted under title IV-B, subparts 1 and 2, or title IV-E, would respond to a disaster, in accordance with criteria established by the Secretary. These procedures should include how a State would:

- Identify, locate, and continue availability of services for children under State care or supervision who are displaced or adversely affected by a disaster;
- Respond, as appropriate, to new child welfare cases in areas adversely affected by a disaster, and provide services in those cases;
- Remain in communication with caseworkers and other essential child welfare personnel who are displaced because of a disaster;
- Preserve essential program records; and
- Coordinate services and share information with other States.

States are required to review their previously submitted disaster plan, to make any needed changes, and to submit the disaster plan with the CFSP, regardless of whether or not changes were made.

Child welfare waiver demonstration activities (applicable States only): If the State has been awarded a demonstration waiver under Section 1130 of the Act, it must provide a description of its coordination efforts to integrate the activities under the CFSP with the goals and objectives of the demonstration. In particular, the State must discuss how title IV-B monies are used to maximize the use of flexible title IV-E dollars in the demonstration.

Monthly Caseworker Visits: Describe the action steps the State plans to take to ensure that, by October 1, 2011, 90 percent of children in foster care are visited on monthly basis by their workers, and that the majority of the visits occur in the residence of the child. Highlight any changes made to the action steps since the submission of the last APSR (Section 424(e)(1) and (2) of the Act).

Adoption incentive payments: P.L. 110-351 re-authorized the Adoption Incentives Program amending section 473A of the Act to extend the Adoption Incentive Program through FY 2013. It updated the “base year” used to measure increases to FY 2007 (section 473A(g)), and doubled incentive payment amounts for special needs (from \$2,000 to \$4,000) and older child adoptions (from \$4,000 to \$8,000) (section 473A(d)(1)). The law also creates a “highest ever” foster child adoption rate payment for exceeding the highest foster child adoption rate since 2002. This incentive is available only if there are any remaining funds after awarding foster child, special needs, and older child adoption incentive payments (section 473A(d)(3)). These changes were effective upon enactment (October 7, 2008). A separate program instruction will be issued addressing the changes in the adoption incentives base year and amounts.

A number of States that have received adoption incentive awards in the past have had difficulty spending the incentives received. Therefore, to ensure timely and well-planned expenditure of

any adoption incentives the State may receive, States must specify in the CFSP the services it expects to provide to children and families with the adoption incentive funds and its plans for ensuring timely expenditure of the funds.

Training: The State's CFSP must include a staff development and training plan in support of the goals and objectives in the CFSP that addresses both of the title IV-B programs covered by the plan. This training plan also must be combined with the training plan under title IV-E as required by 45 CFR 1356.60(b)(2). Training must be an ongoing activity and must include content from various disciplines and knowledge bases relevant to child and family services policies, programs, and practices. Training content must also support the cross-system coordination and consultation basic to the development of the CFSP.

Federal regulations at 45 CFR 235.63 through 235.66(a) describe the conditions under which Federal matching funds are allowable. Among the conditions to be considered are the trainees toward whom the training is directed (including its specific relevance to the identified administrative activities allowable under Title IV-E), the scope and duration of the training, and the sources of non-Federal matching. Further, Federal requirements governing the cost sharing for training activities among all benefiting programs and funding sources are discussed generally in OMB Cost Principles at A-87, and more specifically in the Children's Bureau's Child Welfare Policy Manual at: http://www.acf.hhs.gov/programs/cb/laws_policies/index.htm#policy. Questions specific to training are located in the Child Welfare Policy Manual at 8.1H. Federal regulations pertaining to training are at 45 CFR 1356.60(b), 45 CFR 1357.15(t)(1) and 45 CFR 235.63 - 235.66(a).

P.L. 110-351 amended section 474(a)(3)(B) of the Act to create new trainee groups under title IV-E. Title IV-E agencies may claim the costs of short-term training of relative guardians provided the State has opted in its title IV-E plan to provide kinship guardianship assistance payments to relatives; staff members of State-licensed or State-approved child welfare agencies providing services to children receiving title IV-E assistance; staff members of child abuse and neglect courts personnel; agency attorneys, attorneys representing children or parent; guardians ad litem, or other court-appointed special advocates representing children in proceedings of such courts, in ways that increase the ability of such current or prospective parents, guardians, staff members, institutions, attorneys, and advocates to provide support and assistance to foster and adopted children and children living with relative guardians, whether incurred directly by the State or by contract. The Federal financial participation (FFP) rate of Federal reimbursement for such training costs changes each year over a five-year period, as follows: 55 percent in FY 2009; 60 percent in FY 2010; 65 percent in FY 2011; 70 percent in FY 2012; 75 percent in FY 2013 and thereafter (section 203(b) of P.L. 110-351). The training plan must identify whether and how the agency will train persons in these new trainee groups consistent with the elements above. To the extent that any such training was or is planned to be accomplished during FY 2009 and was not included in the FY 2009 training plan, the State must include this information as part of its new training plan submission, as well.

In addition, each title IV-E agency seeking to provide allowable training to individuals in the new trainee groups must also review its approved Public Assistance Cost Allocation Plan (PACAP) and any training contracts to assure that the costs of such training are properly

identified, measured and allocated. To the extent that changes are deemed necessary, an appropriate PACAP amendment must be submitted to the Department of Health and Human Services (HHS) Division of Cost Allocation before filing such title IV-E claims.

This PI includes details of the elements that must be included in States' title IV-E training plans in order to be considered eligible for FFP. These elements are:

- A description of the initial in-service training program for new or reassigned employees that includes a description of the content and scope of the classroom and work experience components of the training, as well as the duration of the initial in-service training period and the specific supports provided during this period.
- For all types of training (e.g., training for individuals preparing for employment, initial in-service training, ongoing in-service training, foster/adoptive provider training, and the new categories of short-term training authorized by P.L. 110-331) include the following:
 - a brief, one-paragraph syllabus of the training activity;
 - indication of the specifically allowable title IV-E administrative functions the training activity addresses;
 - indication of the setting/venue for the training activity;
 - indication of the duration category of the training activity (i.e., short-term, long-term, part-time, full-time);
 - indication of the proposed provider of the training activity;
 - specification of the approximate number of days/hours of the training activity;
 - indication of the audience to receive the training (see discussion above expanding the list of eligible trainees);
 - description of estimated total cost; and
 - cost allocation methodology.

Evaluation and Technical Assistance: The State's CFSP must describe any evaluation and research activities underway or planned with which the State agency is involved or participating and that are related to the goals and objectives in the plan. The State must also describe the technical assistance activities that will be undertaken in support of the goals and objectives of the plan (45 CFR 1357.15(t)).

Quality Assurance System: The State must include in the CFSP a description of the quality assurance system it will use to regularly assess the quality of services under the CFSP and assure that there will be steps taken to address identified problems (45 CFR 1357.15(u)).

Assurances: States are to submit the assurances and certifications necessary for plan approval for each program. States are required to sign the pages of Attachment C and submit them with the CFSP.

Section F. Child Abuse Prevention and Treatment Act (CAPTA) State Plan Requirements

States must develop a five-year strategic plan with goals and objectives for a continuum of care to improve their child protective system and consolidate it with their CFSP to be eligible to receive a grant under section 106 of CAPTA. The CFSP must incorporate the following information:

- The program areas selected for improvement from the 14 areas delineated in section 106(a)(1) through (14) of CAPTA;
- An outline of activities that the State intends to carry out with its State Grant funds pursuant to section 106(b)(2);
- A description of the services and training to be provided under the State Grant as required by section 106(b)(2)(C) of CAPTA;
- The assurances form (Attachment C) that has been completed and signed by the Chief Executive Officer of the State pursuant to section 106(b)(2)(A) and (B);
- Notification regarding substantive changes, if any, in State law that could affect the State's eligibility for the CAPTA State grant, including an explanation from the State Attorney General as to why the change would, or would not, affect eligibility. Note: States do not have to notify ACF of statutory changes or submit them for review if they are not substantive and would not affect eligibility;
- Any changes to the State's provisions and procedures for criminal background checks identified in the State's CFSP for prospective foster and adoptive parents and other adult relatives and non-relatives residing in the household (Section 106(b)(2)(A)(xxii) of CAPTA); and
- A request for FY 2010 funds in the CFS-101, Part I, and an estimate of expenditures in the CFS-101, Part II. (See Attachment D.)

It is important that the CFSP address all of the CAPTA State Plan items pursuant to section 106. Missing or incomplete information will result in the withholding of CAPTA funds until such time as approval can be granted by ACF. Additional questions regarding the CAPTA State Plan requirements should be addressed to the CB RO State Liaison.

Please note that compliance with the eligibility requirements for a CAPTA State Grant Program is a prerequisite for eligibility for funds under the Children's Justice Act State Grant Program authorized by Section 107(a) of CAPTA.

Section G. Chafee Foster Care Independence and Education and Training Vouchers Programs

States must include in the CFSP a description of the Chafee Foster Care Independence and the Education and Training Vouchers Programs services to be provided during the five-year period of FY 2010 through 2014. To fulfill the intent of the Act, which is in keeping with positive youth development, States are strongly encouraged to include youth presently in care as well as former foster youth, as critical stakeholders in the development of the State plan. States must identify the State agency or agencies that will administer, supervise, or oversee the programs and provide a statement that indicates that the State agency will cooperate in national evaluations of the effects of the programs in achieving the purposes of CFCIP.

Description of Program Design and Delivery: As required by Section 477(b)(2)(A), the CFCIP section of the CFSP must address how the State will design, conduct and/or strengthen programs to achieve the purposes of section 477(a)(1-7) of the Act to:

- Help youth transition to self-sufficiency;
- Help youth receive the education, training, and services necessary to obtain employment;
- Help youth prepare for and enter post-secondary training and educational institutions;
- Provide personal and emotional support to youth aging out of foster care through mentors and the promotion of interactions with dedicated adults;
- Provide financial, housing, counseling, employment, education, and other appropriate support and services to former foster care recipients between 18 and 21 years of age to complement their own efforts to achieve self-sufficiency and to assure that program participants recognize and accept their personal responsibility for preparing for and then making the transition into adulthood;
- Make available vouchers for education and training, including postsecondary education, to youth who have aged out of foster care; and
- Provide services to youth who, after attaining 16 years of age, have left foster care for kinship guardianship or adoption.

Serving Youth Across the State: In developing their plans, States are required to ensure that all political subdivisions in the State are served by the program, though not necessarily in a uniform manner (Section 477(b)(2)(B)).

Serving Youth of Various Ages and States of Achieving Independence: In developing their plans, States are required to describe how youth of various ages and at various stages of achieving independence are to be served (Section 477(b)(2)(C)). In their plans, States must describe how they are serving: (1) youth under age 16; (2) youth ages 16 to 18; (3) youth ages 18 through 20; and (4) youth who, after attaining 16 years of age, have left foster care for kinship

guardianship or adoption. (Note that P.L. 110-351 amended the statute to expand the purpose of the program to include providing services, including education and training vouchers, to youth who, after attaining 16 years of age, have left foster care for kinship guardianship or adoption. (See sections 477(a)(7) and 477(i)(2) of the Act)).

The description should include the identification of State statutory and/or administrative barriers, if any, which, to facilitate the State serving a broader range of eligible youth, need to be eliminated or amended. States should also discuss how they are developing services for those individuals “likely to remain in foster care until age 18.” Some identifiers of individuals “likely to remain in foster care until 18” include, but are not limited to, age, ethnicity, presenting problems, case histories, and individual case goals and objectives.

States should also note the following requirements specific to youth ages 18 through 20:

- Room and Board: States are required to certify (by signing the Certification form in Attachment C) in their State plans that no more than 30 percent of their allotment of Federal funds will be expended for room and board for youth who left foster care because they attained 18 years of age but have not yet attained 21 years of age (section 477(b)(3)(B)). The State must have developed a reasonable definition of “room and board” and provide the definition in the CFSP. The CFSP must include a description of the approach(es) being used to make room and board available to youth ages 18 through 20.
- Medicaid Coverage for former foster youth ages 18 through 20: The CFSP should describe how the State has used, or is coordinating efforts to use, the option to expand Medicaid to provide services to youth ages 18 to 20 years old who have aged out of foster care. If the State does not choose to provide Medicaid to all young people under the age of 21 who were in foster care under the State’s responsibility on their eighteenth birthday, the State plan should describe what “reasonable categories” of children it has chosen to provide Medicaid services to, if any.

Trust Funds: If a State has chosen to use Chafee funds to establish a trust fund program for youth receiving independent living services or transition assistance, the State should describe the design of any such program and refer to ACYF-CB-PI-05-06 for current guidance on trust funds and the timely expenditure of Chafee funds.

Education and Training Vouchers program: States should note that purpose 6 of section 477(a) is to make available vouchers for education and training, including postsecondary training and education to youths who have aged out of foster care or who, after attaining age 16, have left foster care for adoption or kinship guardianship. States may wish to reference ACYF-CB-PI-03-06, issued July 8, 2003, which provided specific guidance to develop this portion of the plan. States must describe the methods they use to operate the program efficiently and assure that they will comply with the conditions specified in subsection 477(i). (See Attachment C.)

Consultation and Collaboration: States are required to coordinate with related programs and to engage in consultation with a range of stakeholders, including Tribes. Specifically, States are to

consult with and coordinate with “each Indian tribe in the State” and ensure “that benefits and services under the program will be available to Indian children in the State on the same basis as to other children in the State” (section 477(b)(3)G)).

In carrying out tribal consultation, States should note that P.L. 110-351 included amendments providing an option for Tribes with an approved title IV-E plan or a title IV-E Tribal/State agreement to receive directly from the Secretary a portion of the State’s CFCIP and ETV allotments to provide services to Tribal youth. This provision becomes effective October 1, 2009 (section 477(j)).

P.L. 110-351 also added a new CFCIP requirement at section 477(b)(3)(G) that becomes effective on October 1, 2009, requiring States to certify that the State will negotiate in good faith with any Tribe that does not receive a CFCIP or ETV allotment directly from the Secretary for a fiscal year and requests to develop an agreement to administer or supervise the CFCIP or an ETV program with respect to eligible Indian children and receive an appropriate portion of the State’s allotment for such administration or supervision.

In addressing issues of consultation and coordination in the Chafee portion of the CFSP, each State must address the following:

- Discuss how the State involves the public and private sectors in helping adolescents in foster care achieve self-sufficient independence in accordance with Section 477(b)(2)(D).
- Describe any activities undertaken to involve youth (up to age 21) in the CFCIP plan and other State agency efforts such as the CFSR/PIP process and the agency improvement planning efforts.
- Discuss efforts to coordinate with “other Federal and State programs for youth (especially transitional living programs funded under Part B of the Juvenile Justice and Delinquency Prevention Act of 1974, abstinence programs, local housing programs, programs for disabled youth (especially sheltered workshops), and school-to-work programs offered by high schools or local workforce agencies” in accordance with Section 477(b)(3)(F) of the Act.
- Describe the results of the Indian Tribe consultation (Section 477(3)(G)), specifically, as it relates to determining eligibility for benefits and services and ensuring fair and equitable treatment for Indian youth in care:
 1. Describe how each Indian Tribe in the State has been consulted about the programs to be carried out under the CFCIP.
 2. Describe the efforts to coordinate the programs with such Tribes.
 3. Discuss how the State ensures that benefits and services under the programs are made available to Indian children in the State on the same basis as to other children in the State.

4. Report the CFCIP benefits and services currently available and provided for Indian children and youth in fulfillment of this section and the purposes of the law.
5. Describe whether the State has negotiated in good faith with any Tribe that requested to develop an agreement to administer or supervise the CFCIP or an ETV program with respect to eligible Indian children and to receive an appropriate portion of the State's allotment for such administration or supervision. Describe the outcome of that negotiation.

Determining Eligibility for Benefits and Services: As required by Section 477(b)(2)(E), States are required to address in their plan how they use objective criteria to determine eligibility for benefits and services under the programs, and for ensuring fair and equitable treatment of benefit recipients.

Consistent with Senate Report 110-410, CB is clarifying that States may not deny eligibility for independent living services to a youth who otherwise meets the eligibility criteria but who is temporarily residing out of State, and that States may not terminate ongoing independent living assistance solely due to the fact that a youth is temporarily residing out of State.

Training: States must provide information on specific training planned for FY 2010 through 2014 in support of the goals and objectives of the States' CFCIP. CFCIP training may be incorporated into the training information discussed under the training section for the CFSP, but should be identified as pertaining to CFCIP.

Preparation to Implement National Youth in Transition Database: On February 26, 2008, the Children's Bureau issued the final rule to implement the National Youth in Transition Database (NYTD), as authorized by section 477(f) of the Act. The rule was published in the Federal Register at 73FR 10338 and is incorporated in Federal regulations at 45 CFR 1356.80-86. (See: <http://edocket.access.gpo.gov/2008/pdf/E8-3050.pdf>.) States are required to begin to collect data on October 1, 2010, with the first submission of data to ACF due no later than May 15, 2011.

In the CFSP, States should describe the steps they have taken and plan to take to prepare to implement NYTD, including efforts to inform, engage, and prepare youth to participate in the outcome survey portion of NYTD. Please describe any technical assistance the State anticipate needing in order to be ready for the first submission of NYTD data by May 15, 2011.

Section H. Statistical and Supporting Information

In addition to the components of the final report and new CFSP described above, States are required to report certain statistical information. Unless otherwise specified, provide the following information with the June 30, 2009, submission of the CFSP:

Juvenile Justice Transfers: Provide a description of "the number of children under the care of the State child protection system who are transferred into the custody of the State juvenile justice

system.” States should provide contextual information about the source of this information and how they define the reporting population (Section 106(d)(14) of CAPTA).

Inter-country adoptions: States must report the number of children who were adopted from other countries and who entered into State custody in FY 2008 as a result of the disruption of a placement for adoption or the dissolution of an adoption, the agencies who handled the placement or the adoption, the plans for the child, and the reasons for the disruption or dissolution (See section 422(b)(12) of the Act).

Monthly Caseworker Visit Data: States are required to collect and report data on caseworker visits (Section 424(e)(1) and (2) of the Act). The statute requires States to collect and report the following data on caseworker visits for each of FYs 2007 through 2011:

- The percentage of children in foster care under the responsibility of the State who were visited monthly by the caseworker handling the case of the child; and
- The percentage of the visits that occurred in the residence of the child.

Last year’s APSR Program Instruction (ACYF-CB-PI-08-03) provided revised instructions on how to calculate the caseworker visit data to ensure that all States start from a reliable baseline and continue to measure progress in a consistent manner when submitting data for FYs 2008 through 2011. Every State submitted baseline data for FY 2007, including any revisions as required by last year’s PI, as well as data for FY 2008. States also submitted targets for improvement against which data submissions will be compared.

States must continue to submit data to measure progress for FYs 2009 through 2011. Data for FY 2009 will be due to CB ROs by **December 15, 2009**. The methodology for computing the percentages remains unchanged from last year’s instructions. The following data are required to compute the percentages:

1. The aggregate number of children served in foster care for *at least one full calendar month* during the FY;
2. The number of children visited *each and every full calendar month* that they were in foster care during the FY;
3. The total number of visit months for children who were visited each and every calendar month that they were in foster care during the FY; and
4. The total number of visit months in which at least one child visit occurred in the child’s residence.

Based on these data,

- The *percentage of children in foster care who were visited during each and every calendar month* is determined by dividing the number of children who were visited each and every full calendar month that they were in care (item #2 above) by the number of

- The *percentage of visits that occurred in the residence of the child* is determined by dividing the number of visit months that occurred in the residence of the child (item #4 above) by the total number of visit months for children visited each and every full calendar month they were in care during the year (item # 3 above). The quotient is multiplied by 100 and expressed as a percentage, rounded to the nearest whole number.

States are required to submit the numbers listed in items 1–4 above, in addition to the derived percentages. This will help the Children’s Bureau to validate the accuracy of the calculations.

Please remember that the caseworker visit data for any Federal fiscal year must comprise the full 12-month period, e.g., for FY 2009, data must cover the period from October 1, 2008, through September 30, 2009.

In determining which children are in the population, all children who have been in foster care for at least one full calendar month are included. A child with more than one episode during the 12-month period is considered as one child. Children who are placed in an out-of-state foster care placement are included in the population. If a State considers children who have gone home for a trial home visit to be in foster care, then the children are included in the population. Children who have run away must also be included in the population.

States were given the option to use sampling to meet the caseworker visit data collection requirement. A sampling methodology, developed by the Children’s Bureau Data Team, was previously made available to all States. Alternatively, States could use their own sampling methodology if it had been approved by the CB RO, in consultation with the Children’s Bureau Data Team. States may continue to use previously approved sampling methodologies approved by the Children’s Bureau. Any changes to a sampling methodology must be approved by the Children’s Bureau before submitting FY 2009 data. States must also identify whether any of the reported data (items 1–4) were derived through the use of sampling.

In the June 30, 2008, APSR submission, States provided target data percentages to be reached for each of FYs 2008 through 2011 for the percentage of children in foster care who were visited during each and every calendar month (section 424(e)(2)(A) of the Act). The State must achieve the established target percentages to ensure that, by October 1, 2011, 90 percent of children in foster care are visited by their workers monthly. If a State fails to meet the target percentages for any of these years (FYs 2008 through 2011), ACF will reduce the Federal match rate for title IV-B, subpart 1 funds in proportion to the amount that the State failed to reach its target (section 424(e)(2)(B) of the Act).

If the State falls short of the target percentage established by:	The Federal match for title IV-B, subpart 1 will be reduced by:
Less than 10%	1 percentage point
10% to 19%	3 percentage points
20% or more	5 percentage points

In any year in which a State fails to reach its target, ACF will continue to make the full Federal allotment available to the State, but the State must increase its match rate in order to access the full Federal allotment. The reduction will be applied to the title IV-B, subpart 1 funding allocation for the fiscal year following the year for which the target was not met.

Education and Training Vouchers: Identify the number of youth who received ETV awards in FY 2008 and FY 2009 (year-to-date), breaking out the number of recipients who were receiving ongoing vouchers (e.g., youth who were new voucher recipients in FY 2007, but continued to receive vouchers in FY 2008 and/or 2009) and the number of youth who were new voucher recipients in each of FYs 2008 and 2009.

Licensing waivers: P.L. 110-351 amended section 471(a)(10) of the Act to explicitly permit the title IV-B/IV-E agency to waive on a case-by-case basis a non-safety licensing standard for a relative foster family home. It also requires HHS to submit a Report to Congress, two years after enactment, on children placed in relative foster family homes and the use of licensing waivers.

To enable the Department to prepare this report, States must provide to the extent practicable the following information for FY 2009 by **December 15, 2009:**

- The number and percentage of children in foster care placed in licensed relative foster family homes;
- The number and percentage of children in foster care placed in unlicensed relative foster family homes;
- The frequency of case-by-case waivers of non-safety licensing standards for relative foster family homes;
- The types of non-safety licensing standards waived;
- An assessment of how such case-by-case waivers of non-safety licensing standards have affected children in foster care, including their safety, permanency and well-being;

- Reasons why relative foster family homes may not be licensed despite authority to grant such case-by-case waivers of non-safety licensing standards;
- Actions the State plans to take or is considering taking to increase the percentage of relative foster family homes that are licensed while ensuring the safety of children in foster care and improving their permanence and well-being; and
- Suggestions the State has for administrative and/or legislative actions to increase licensed relative care. (See 45 CFR 1355.20 for definitions)

Timely Home Studies Reporting and Data: The Safe and Timely Interstate Placement of Foster Children Act of 2006 amended the Act at 471(a)(26)(B)(2) to encourage timely home studies. (Information Memorandum ACYF-CB-IM-06-03, dated August 11, 2006, provides an overview of the provisions.) A home study is considered timely if “within 60 days after the State receives from another State a request to conduct a study of a home environment for purposes of assessing the safety and suitability of placing a child in the home, the State shall, directly or by contract”:

- Conduct and complete the study; and
- Return to the other State a report on the results of the study, which shall address the extent to which placement in the home would meet the needs of the child.

In the case of a home study begun on or before September 30, 2008, if the State failed to comply within the 60-day period as a result of circumstances beyond the control of the State (such as a failure by a Federal agency to provide the results of a background check, or the failure by any entity to provide completed medical forms, requested by the State at least 45 days before the end of the 60-day period), the State had 75 days to comply if the State documented the circumstances involved and certified that completing the home study is in the best interests of the child. The home study process does not require a State to have completed, within the applicable period, the parts of the home study involving the education and training of the prospective foster or adoptive parents.

The law also required HHS to submit a report to Congress on how frequently States used the extended 75-day period. To enable the Department to complete this report, States are asked to provide with their CFSP submission the following information for FYs 2007 and 2008:

- The frequency with which the State needed the extended 75-day period for an interstate home study begun on or before September 30, 2008 (Section 471(a)(26)(A)(ii) of the Act);
- The reasons why the extended compliance period was needed;
- The extent to which the extended compliance period resulted in the resolution of the circumstances that necessitated the extension; and
- The actions taken by the State and any relevant Federal agency to resolve the need for an extended compliance period.

Section I. Financial Information

1. Payment Limitations – Title IV-B, Subpart 1:

- States may not spend more title IV-B, subpart 1, funds for child care, foster care maintenance and adoption assistance payments in FY 2010 than the State expended for those purposes in FY 2005 (Section 424(c) of the Act). For comparison purposes, submit with the CFSP information on the amount of FY 2005 title IV-B, subpart 1, funds that the State expended for child care, foster care maintenance, and adoption assistance payments in FY 2005. States are also advised to retain this information in their files for comparison with expenditure amounts in future fiscal years.
- The amount of State expenditures of non-Federal funds for foster care maintenance payments that may be used as match for the FY 2010 title IV-B, subpart 1 award may not exceed the amount of such non-Federal expenditures applied as State match for title IV-B, subpart 1 in FY 2005 (Section 424(d) of the Act). For comparison purposes, submit with the CFSP information on the amount of non-Federal funds expended by the State for foster care maintenance payments for FY 2005. States are also advised to retain this information in their files for comparison with expenditure amounts in future fiscal years
- States may spend no more than ten percent of title IV-B, subpart 1 funds for administrative costs (Section 434(d) of the Act). This limitation applies to both the PSSF Program and the Monthly Caseworker Visit grant.

2. Payment Limitations – Title IV-B, Subpart 2

- States are required to spend a significant portion of their title IV-B, subpart 2 PSSF grant for each of the four service categories of PSSF: family preservation, community-based family support, time-limited family reunification, and adoption promotion and support services. For each service category with a percentage of funds that does not approximate 20 percent, the State must provide in the CFSP a rationale for the disproportion. The amount allocated to each of the service categories should only include funds for service delivery. States should report separately the amount to be allocated to planning and service coordination. States must provide the estimated expenditures for the described services on the CFS-101.
- States may spend no more than ten percent of title IV-B, subpart 2 funds for administrative costs (Section 434(d) of the Act). This limitation applies to both the PSSF Program and the Monthly Caseworker Visit grant.
- States must provide State and local share expenditure amounts for title IV-B, subpart 2 for FY 2007 for comparison with the States 1992 base year amount as required to meet the non-supplantation requirements in Section 432(a)(7)(A) of the Act.

3. FY 2009 Funding—Revised Budget Request

Unneeded portions of State allocations of title IV-B, CFCIP, and ETV funds may be re-allotted to other States so that the total appropriation remains available for program purposes (Sections 423(e), 433(d) and 477(d)(4) of the Act).

- If the State has been allotted for FY 2009 and wishes to receive more funds than its previously submitted CFS-101 for FY 2009 indicated, it must submit a revised FY 2009 budget form (CFS-101, Part I). Note: The revised request must be equal to or less than the sum of the reallocation request(s) and the amount the State is eligible to receive in FY 2009 under title IV-B, subparts 1 or 2, CAPTA, and/or CFCIP and ETV (see Attachment A).
- If the State intends to release or apply for funds for reallocation for the title IV-B, subpart 2, the CFCIP, or the ETV program, please note the amounts you are releasing or requesting on the appropriate lines of the FY 2009 CFS-101. (See form instructions for more details.) ACF will re-allocate the funds in accordance with the prescribed formulas. Funds will be re-allocated before the end of the fiscal year.

4. FY 2010 Budget Request—CFS-101 (See Attachment D)

- Complete Part I of the CFS-101 form to request title IV-B, subpart 1 (CWS) and title IV-B, subpart 2 (PSSF and Monthly Caseworker Visit funds), CAPTA, CFCIP, and ETV funds;
- Complete Part II to include the estimated amount of funds to be spent in each program area by source, the estimated number of individuals and families to be served, and the geographic service area within which the services are to be provided. Use the FY 2009 allocation tables as the basis for budget requests for title IV-B, subparts 1 and 2, CAPTA, CFCIP, and ETV funds;
- Use the FY 2010 estimated allotment table as the basis for budget requests for the Monthly Caseworker Visit funds under title IV-B, subpart 2. The tables are included in Attachment A.

5. FY 2007 Title IV-B Expenditure Report—CFS-101, Part III

Complete the CFS-101, Part III to include the actual amount of funds expended in each program area of title IV-B funding by source, the number of individuals and families served, and the geographic service area within which the services were provided. The State must track and report annually its actual title IV-B expenditures, including administrative costs for the most recent preceding fiscal year. States must report the actual title IV-B expenditures of FY 2007 funds. At State option, complete this form for the CFCIP and ETV programs.

6. Financial Status Reports (SF-269)

All grantees must report expenditures under title IV-B, subparts 1 and 2, CAPTA, and CFCIP on the Financial Status Report, SF-269. Submission requirements for each program are listed below under the appropriate heading. A negative grant award will recoup unobligated and/or unliquidated funds reported on the final SF-269 for the title IV-B programs, CAPTA, CFCIP, and ETV programs.

States are strongly encouraged to submit an electronic SF-269 for the programs listed above through the ACF Online Data Collection (OLDC) system. If reports are submitted electronically through OLDC, paper copies do not need to be submitted. For more information on gaining access to and using the OLDC submission process, please see <https://extranet.acf.hhs.gov/oldcdocs/materials.html> or contact your ACF RO Grants Officer or specialist.

If not able to submit reports electronically, States may submit the original SF-269 for each program to the following address with a copy to your ACF RO:

Division of Mandatory Grants
Office of Administration
Administration for Children and Families
370 L'Enfant Promenade, S.W.
Washington, D.C. 20447

Title IV-B, subpart 1

States are required to submit the SF-269 fiscal report for expenditures under title IV-B, subpart 1 at the end of each 12 months (October 1 through September 30) of the two-year expenditure period. Both reports are due 90 days after the end of the fiscal year (December 29). The SF-269 fiscal report covering the first 12-month budget period is the interim report and the report covering the entire grant period is the final report. The required 25 percent State match must be reported on the final fiscal report. Funds under title IV-B, subpart 1 must be expended by September 30 of the fiscal year following the fiscal year in which the funds were awarded (e.g., for FY 2009, funds must be obligated by September 30, 2010, and liquidated by December 29, 2010.)

Title IV-B, subpart 2 – PSSF

States are required to submit the SF-269 fiscal report for expenditures under the title IV-B, subpart 2 PSSF program at the end of each 12 months (October 1 through September 30) of the two-year expenditure period. Both reports are due 90 days after the end of the fiscal year (December 29). The SF-269 fiscal report covering the first 12-month budget period is the interim report and the report covering the entire grant period is the final report. The required 25 percent State match must be reported on the final fiscal report. Funds under title IV-B, subpart 2 – PSSF must be expended by September 30 of the fiscal year following the fiscal year in which

the funds were awarded (e.g., for FY 2009, funds must be obligated by September 30, 2010, and liquidated by December 29, 2010).

Since the discretionary funds under PSSF are to be expended for the same purposes as the mandatory funds, no separate reporting is required to distinguish between the expenditure of the two amounts. Grantees are to report the cumulative amount on the financial status report (SF-269). Funds reported as unobligated on the final financial status report will be recouped from the discretionary amount first.

Title IV-B, subpart 2 – Monthly Caseworker Visit Funds

States are required to submit the SF-269 fiscal report for expenditures under the title IV-B, subpart 2 Monthly Caseworker Visit program at the end of each 12 months (October 1 through September 30) of the expenditure period. (These reports are to be separate from the SF-269 reports for the PSSF program.)

For the FY 2006 allocation for Monthly Caseworker Visits under title IV-B, subpart 2, a special four-year expenditure period applied. States must submit a separate SF-269 within 90 days of the end of each 12 month expenditure period. Federal funds awarded in FY 2006 must be obligated by September 30, 2009, with a final report due December 29, 2009.

As Congress did not appropriate title IV-B, subpart 2 funds for monthly caseworker visits in FY 2007, no financial reporting is required for FY 2007.

For FYs 2008 and 2009, Monthly Caseworker Visit funds must be expended within two years. States are to submit the SF-269 report at the end of each 12 months of the two-year expenditure period. Both reports are due 90 days after the end of the fiscal year (December 29). The SF-269 fiscal report covering the first 12-month budget period is the interim report and the report covering the entire grant period is the final report. Funds for these years must be expended by September 30 of the fiscal year following the fiscal year in which the funds were awarded (e.g., for FY 2009, funds must be obligated by September 30, 2010 and liquidated by December 29, 2010).

CAPTA

Funds under CAPTA must be expended within five years. States are required to submit the SF-269 fiscal report for CAPTA at the end of each 12 months (October 1 through September 30) of the five-year expenditure period. The SF-269 fiscal report covering each 12-month budget period is an interim report and the report covering the entire grant period is the final report. Both the interim and the final reports are due 90 days after the end of each 12-month period (December 29).

CFCIP and ETV

Funds under CFCIP and ETV must be expended within two years. States are required to submit separate SF-269 fiscal reports for the CFCIP and ETV programs. Reports capturing the October

1 through September 30 expenditure period are due December 29 (90 days after the end the 12 month period). The SF-269 fiscal report covering the first 12-month budget period is the interim report and the report covering the entire grant period is the final report. The required 20 percent State match must be reported on the final reports. CFCIP and ETV funds must be expended by September 30 of the fiscal year following the fiscal year in which the funds were awarded.

Submittals:

In summary, by June 30, 2009, States must submit to their CB RO via e-mail or on a compact disc:

- A final report for the FY 2005 through 2009 CFSP (in Microsoft Word);
- The CFSP for FY 2010 through 2014 and Supplementary Information (in Microsoft Word);
- The CFS-101, Parts I and II for FY 2009, applicable if the State has been allotted and requests more than its current request for funds indicates;
- The CFS-101, Parts I and II for FY 2010;
- The CFS-101 Part III for FY 2007; and
- Certifications and Assurances as applicable (see Attachment C).

States must submit the documents electronically to the CB RO. The signed CFS-101's should be submitted in .pdf format as a separate attachment. It is preferred that attachments to the final report and the CFSP be in Word although other formats will be accepted. The RO will forward a copy of the approved CFS-101 to the CB's Central Office.

In addition, by December 15, 2009, States are to submit:

- FY 2009 Caseworker Visit Data
- FY 2009 Information on Licensing Waivers for Relative Foster Homes

Paperwork Reduction Act:

Under the Paperwork Reduction Act of 1995 (Public Law 104-13), an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it

displays a currently valid OMB Control Number. The Control Number for this OMB approved information collection is 0980-0047.

Inquiries To: CB Regional Program Managers

/s/

Maiso L. Bryant
Acting Commissioner

Attachment A:

Fiscal Year 2009 Allotment Title IV-B Subpart 1 Stephanie Tubbs Jones Child Welfare Services
Fiscal Year 2009 Allotment Title IV-B Subpart 2 Promoting Safe and Stable Families
Fiscal Year 2009 Allotment for CAPTA
Fiscal Year 2009 Allotment for Chafee Foster Care Independence Program Allotments
Fiscal Year 2009 Allotment for Education and Training Vouchers
Fiscal Year 2010 Estimated Allotment for Title IV-B, Subpart 2 Monthly Caseworker Visit Funds

Attachment B:

Current Variances in the Title IV-B Provisions of Law and Regulations

Attachment C:

Stephanie Tubbs Jones Child Welfare Services Program (title IV-B, subpart 1) Assurances
Promoting Safe and Stable Families (title IV-B, subpart 2) Assurances
State Chief Executive Officer's Assurance Statement for the Child Abuse and Neglect State Plan
State Chief Executive Officer's Certification for the Chafee Foster Care Independence Program
State Chief Executive Officer's Certifications for the Education and Training Voucher Program/Chafee Foster Care Independence Program

Attachment D:

CFS-101 Part I: Annual Budget Request for Title IV-B, Subparts 1 and 2, CAPTA, Chafee Foster Care Independence (CFCIP) and Education and Training Voucher (ETV) Program Instructions
CFS-101 Part I: Annual Budget Request for Title IV-B, Subparts 1 and 2, CAPTA, Chafee Foster Care Independence (CFCIP) and Education and Training Voucher (ETV) Program Form
CFS-101, Part II: Annual Summary of Child and Family Services Instructions
CFS-101, Part II: Annual Summary of Child and Family Services Form
CFS-101, Part III: Annual Expenditures for Title IV-B, Subparts 1 and 2, CAPTA, Chafee Foster Care Independence (CFCIP) and Education and Training Voucher (ETV) Instructions
CFS-101, Part III: Annual Expenditures for Title IV-B, Subparts 1 and 2, CAPTA, Chafee Foster Care Independence (CFCIP) and Education and Training Voucher (ETV) Form

Attachment E:

Children's Bureau Regional Program Managers

Fiscal Year 2009 Allotments for States, Territories and Insular Areas
 Title IV-B Subpart 1
 Stephanie Tubbs Jones Child Welfare Services

State	2009 Allocation
Alabama	\$4,828,759
Alaska	\$294,040
Arizona	\$5,943,756
Arkansas	\$3,153,814
California	\$32,523,232
Colorado	\$4,018,701
Connecticut	\$2,098,070
Delaware	\$802,223
District of Columbia	\$326,853
Florida	\$15,348,265
Georgia	\$9,797,015
Hawaii	\$1,156,960
Idaho	\$1,748,514
Illinois	\$11,108,649
Indiana	\$6,340,669
Iowa	\$2,954,879
Kansas	\$2,783,428
Kentucky	\$4,297,116
Louisiana	\$4,726,683
Maine	\$1,176,147
Maryland	\$4,302,816
Massachusetts	\$4,182,270
Michigan	\$9,117,241
Minnesota	\$4,300,922
Mississippi	\$3,521,783
Missouri	\$5,647,890
Montana	\$712,547
Nebraska	\$1,752,455
Nevada	\$2,398,684
New Hampshire	\$1,076,060
New Jersey	\$5,772,276
New Mexico	\$1,664,236
New York	\$14,343,826
North Carolina	\$8,878,253
North Dakota	\$569,506

Fiscal Year 2009 Allotments for States, Territories and Insular Areas
 Title IV-B Subpart 1
 Stephanie Tubbs Jones Child Welfare Services

State	2009 Allocation
Ohio	\$10,677,785
Oklahoma	\$1,762,779
Oregon	\$3,335,012
Pennsylvania	\$10,495,230
Rhode Island	\$954,829
South Carolina	4,604,168
South Dakota	603,853
Tennessee	\$5,919,834
Texas	\$25,294,498
Utah	\$3,495,459

Vermont	\$590,315
Virginia	\$6,411,848
Washington	\$5,309,160
West Virginia	\$1,806,275
Wisconsin	\$4,923,286
Wyoming	\$466,965

Total **\$270,319,834**

Territory	2009 Allocation
American Samoa	\$187,191
Guam	\$326,385
Northern Mariana Islands	\$155,897
Puerto Rico	\$4,930,981
Virgin Islands	\$228,628
Total	\$5,829,082

FISCAL YEAR 2009 ALLOTMENTS FOR STATES, TERRITORIES AND INSULAR AREAS
 TITLE IV-B SUBPART 2
 PROMOTING SAFE AND STABLE FAMILIES

State	2009 Allocations
Alabama	7,107,695
Alaska	692,937
Arizona	7,683,047
Arkansas	4,643,692
California	33,895,325
Colorado	3,310,393
Connecticut	2,141,258
Delaware	857,881
Dist. of Columbia	971,309
Florida	14,480,512
Georgia	12,446,775
Hawaii	966,434
Idaho	1,217,307
Illinois	15,191,199
Indiana	7,101,009
Iowa	2,649,786
Kansas	2,245,426
Kentucky	6,397,736
Louisiana	8,522,049
Maine	1,527,196
Maryland	3,737,246
Massachusetts	4,737,454
Michigan	13,173,846
Minnesota	3,379,071
Mississippi	5,322,205
Missouri	10,543,691
Montana	924,537
Nebraska	1,544,726
Nevada	1,532,736
New Hampshire	624,547
New Jersey	5,107,261
New Mexico	3,266,772
New York	19,085,529
North Carolina	10,970,377
North Dakota	516,913
Ohio	12,679,277
Oklahoma	5,245,423
Oregon	4,733,087
Pennsylvania	12,327,527
Rhode Island	934,112
South Carolina	6,529,167

FISCAL YEAR 2009 ALLOTMENTS FOR STATES, TERRITORIES AND INSULAR AREAS
 TITLE IV-B SUBPART 2
 PROMOTING SAFE AND STABLE FAMILIES

State	2009 Allocations
South Dakota	756,452
Tennessee	9,951,492
Texas	35,971,090
Utah	1,771,487
Vermont	480,714
Virginia	6,110,340
Washington	5,783,533
West Virginia	2,744,047
Wisconsin	4,925,311
Wyoming	307,202
	329,766,138

Territories/Insular Areas	2009 Allocations
American Samoa	219,016
Guam	396,009
Northern Mariana Islands	179,224
Puerto Rico	6,251,051
Virgin Islands	271,706
Total	7,317,006

FISCAL YEAR 2009 ALLOTMENTS FOR STATES, TERRITORIES AND INSULAR AREAS
CHILD ABUSE PREVENTION AND TREATMENT PROGRAM

State	2009 Allocations
ALABAMA	\$405,387
ALASKA	\$107,637
ARIZONA	\$578,195
ARKANSAS	\$271,587
CALIFORNIA	\$3,018,231
COLORADO	\$427,257
CONNECTICUT	\$309,443
DELAWARE	\$115,048
DISTRICT OF COLUMBIA	\$85,971
FLORIDA	\$1,329,020
GEORGIA	\$850,774
HAWAII	\$140,368
IDAHO	\$178,963
ILLINOIS	\$1,061,927
INDIANA	\$551,832
IOWA	\$275,024
KANSAS	\$270,178
KENTUCKY	\$367,567
LOUISIANA	\$391,476
MAINE	\$138,398
MARYLAND	\$479,801
MASSACHUSETTS	\$503,227
MICHIGAN	\$823,966
MINNESOTA	\$448,640
MISSISSIPPI	\$293,149
MISSOURI	\$500,688
MONTANA	\$119,429
NEBRASKA	\$191,120
NEVADA	\$258,765
NEW HAMPSHIRE	\$144,319
NEW JERSEY	\$702,798
NEW MEXICO	\$208,242
NEW YORK	\$1,446,008
NORTH CAROLINA	\$751,475
NORTH DAKOTA	\$95,172
OHIO	\$920,446
OKLAHOMA	\$334,523
OREGON	\$322,947
PENNSYLVANIA	\$931,468
RHODE ISLAND	\$123,737
SOUTH CAROLINA	\$385,263
SOUTH DAKOTA	\$112,278

FISCAL YEAR 2009 ALLOTMENTS FOR STATES, TERRITORIES AND INSULAR AREAS
CHILD ABUSE PREVENTION AND TREATMENT PROGRAM

State	2009 Allocations
TENNESSEE	\$515,446
TEXAS	\$2,145,039
UTAH	\$308,369
VERMONT	\$91,548
VIRGINIA	\$627,639
WASHINGTON	\$535,969
WEST VIRGINIA	\$172,533
WISCONSIN	\$467,934
WYOMING	\$89,654
TOTAL	\$25,925,875

Territories/Insular Areas	2009 Allocations
AMERICAN SAMOA	\$58,078
GUAM	\$67,351
NORTHERN MARIANA ISLANDS	\$55,609
PUERTO RICO	\$367,241
VIRGIN ISLANDS	\$60,846
TOTAL	\$609,125

**CHAFEE FOSTER CARE INDEPENDENCE PROGRAM
FISCAL YEAR 2009 STATE ALLOTMENT**

State	2009 Allocation
Alabama	1,947,161
Alaska	587,392
Arizona	2,623,829
Arkansas	969,425
California	20,375,619
Colorado	2,084,961
Connecticut	1,550,651
Delaware	500,000
District of Columbia	1,091,992
Florida	7,067,742
Georgia	3,280,389
Hawaii	520,101
Idaho	501,335
Illinois	4,772,059
Indiana	3,048,757
Iowa	2,209,089
Kansas	1,777,727
Kentucky	2,025,445
Louisiana	1,429,741
Maine	565,888
Maryland	2,863,236
Massachusetts	2,813,371
Michigan	5,584,382
Minnesota	1,811,238
Mississippi	892,214
Missouri	2,641,523
Montana	500,000
Nebraska	1,575,048
Nevada	1,354,675
New Hampshire	500,000
New Jersey	2,502,114
New Mexico	649,590
New York	11,585,958
North Carolina	2,902,646
North Dakota	500,000
Ohio	4,561,875
Oklahoma	3,174,760
Oregon	2,563,507
Pennsylvania	5,591,889
Puerto Rico	1,745,019
Rhode Island	729,750
South Carolina	1,374,514
South Dakota	500,000
Tennessee	2,077,991
Texas	8,079,527
Utah	734,307
Vermont	500,000
Virginia	2,005,337
Washington	2,977,712
West Virginia	1,182,292
Wisconsin	1,996,222
Wyoming	500,000
TOTAL	137,900,000

CHAFEE FOSTER CARE INDEPENDENCE PROGRAM
FISCAL YEAR 2009 STATE ALLOTMENT

EDUCATION AND TRAINING VOUCHERS
FISCAL YEAR 2009 STATES ALLOTMENT

State	2009 Estimated Allocation
Alabama	\$654,771
Alaska	\$197,522
Arizona	\$882,314
Arkansas	\$325,988
California	\$6,851,700
Colorado	\$701,109
Connecticut	\$521,437
Delaware	\$104,305
District of Columbia	\$198,063
Florida	\$2,376,666
Georgia	\$1,103,095
Hawaii	\$174,894
Idaho	\$168,583
Illinois	\$1,604,698
Indiana	\$1,025,204
Iowa	\$742,849
Kansas	\$597,795
Kentucky	\$681,095
Louisiana	\$480,778
Maine	\$177,599
Maryland	\$962,819
Massachusetts	\$946,051
Michigan	\$1,877,857
Minnesota	\$609,064
Mississippi	\$300,024
Missouri	\$888,263
Montana	\$156,593
Nebraska	\$529,640
Nevada	\$455,536
New Hampshire	\$97,724
New Jersey	\$841,385
New Mexico	\$218,437
New York	\$2,711,038
North Carolina	\$976,071
North Dakota	\$113,861
Ohio	\$1,534,019
Oklahoma	\$1,067,575
Oregon	\$862,029
Pennsylvania	\$1,880,381
Puerto Rico	\$586,797
Rhode Island	\$245,393
South Carolina	\$462,207
South Dakota	\$141,448
Tennessee	\$698,765
Texas	\$2,716,898
Utah	\$246,925
Vermont	\$118,008
Virginia	\$674,334
Washington	\$1,001,314
West Virginia	\$397,568
Wisconsin	\$671,269
Wyoming	\$110,977
Total	\$44,670,735

FISCAL YEAR 2009 ALLOTMENTS FOR STATES, TERRITORIES AND INSULAR AREAS
TITLE IV-B SUBPART 2
MONTHLY CASEWORKER VISIT

State	2010 Estimated Allocation
Alabama	\$422,060
Alaska	\$41,147
Arizona	\$456,225
Arkansas	\$275,746
California	\$2,012,730
Colorado	\$196,574
Connecticut	\$127,150
Delaware	\$50,942
Dist. of Columbia	\$57,677
Florida	\$859,864
Georgia	\$739,099
Hawaii	\$57,388
Idaho	\$72,285
Illinois	\$902,065
Indiana	\$421,663
Iowa	\$157,346
Kansas	\$133,335
Kentucky	\$379,902
Louisiana	\$506,046
Maine	\$90,686
Maryland	\$221,920
Massachusetts	\$281,314
Michigan	\$782,273
Minnesota	\$200,652
Mississippi	\$316,037
Missouri	\$626,092
Montana	\$54,900
Nebraska	\$91,727
Nevada	\$91,015
New Hampshire	\$37,086
New Jersey	\$303,273
New Mexico	\$193,983
New York	\$1,133,313
North Carolina	\$651,429
North Dakota	\$30,695
Ohio	\$752,905
Oklahoma	\$311,477

FISCAL YEAR 2009 ALLOTMENTS FOR STATES, TERRITORIES AND INSULAR AREAS
TITLE IV-B SUBPART 2
MONTHLY CASEWORKER VISIT

State	2010 Estimated Allocation
Oregon	\$281,054
Pennsylvania	\$732,018
Rhode Island	\$55,468
South Carolina	\$387,707
South Dakota	\$44,919
Tennessee	\$590,927
Texas	\$2,135,991
Utah	\$105,192
Vermont	\$28,545
Virginia	\$362,837
Washington	\$343,431
West Virginia	\$162,944
Wisconsin	\$292,469
Wyoming	\$18,242
	\$19,581,765
Terrories/Insular Areas	2010 Estimated Allocation
American Samoa	\$8,946
Guam	\$19,571
Northern Mariana Islands	\$6,557
Puerto Rico	\$371,052
Virgin Islands	\$12,109
	\$418,235
Total	\$20,000,000

Attachment B

Current Variances in Title IV-B Provisions Of Law And Regulation

I. Title IV-B Requirements in Statute but not Regulations*

A. Title IV-B, subpart 1.

1. Program Name Changed to Stephanie Tubbs Jones Child Welfare Services Program.

This change occurred when the Child Welfare Services program was amended by the Fostering Connections to Success and Increasing Adoptions Act of 2008 (Public Law 110-351).

2. Authorization for Funding.

The Child and Family Services Improvement Act of 2006 (Public Law 109-288) removed section 420 of the Social Security Act (Act) as well as the permanent authorization in section 421, which changed title IV-B subpart 1 from a permanent authorization to a five-year authorization. This change supersedes the allocation regulated in 45 CFR 1357.30(b). In addition, instead of spending funds according to a definition of “child welfare services” in former section 425(a)(1) and 45 CFR 1357.10(c), funds must now be spent in accordance with the new program purpose added by Public Law 109-288 which can be found at 421 of the Act.

3. Assurance Regarding Use of Cross-Jurisdictional Resources.

The Safe and Timely Interstate Placement of Foster Children Act of 2006 (Public Law 109-239) requires that State plans for child welfare services contain assurances that the State will make effective use of cross-jurisdictional resources to facilitate timely adoptive or permanent placements for children.

4. Description of Activities for Children Adopted from Overseas.

The Intercountry Adoption Act of 2000 (Public Law 106-279) added section 422(b)(11) to title IV-B, which states that the plan for Child Welfare Services must contain a description of activities that the State has undertaken for children adopted from other countries, including the provision of adoption and post-adoption services.

5. Information on Children Adopted from Other Countries Who Enter State Care.

Public Law 106-279 added section 422 (b)(12) to title IV-B. This provision requires that State plans for child welfare services provide that the State will

collect and report information on children who are adopted from other countries and who enter State custody as a result of the disruption of an adoptive placement, or the dissolution of a finalized adoption. Such information must include the reasons for disruption or dissolution, the agencies who handled the placement or adoption, the plans for the child, and the number of children to whom this pertains.

6. Assurance Regarding Administrative Costs.

Public Law 109-288 added a new section 422(b)(14) to title IV-B subpart 1, requiring grantees to assure that not more than 10 percent of expenditures related to activities funded from IV-B, subpart 1 will be used for administrative costs. Public Law 109-288 also added a definition of “administrative costs” at section 422(c)(1) of the Act.

7. Consultation with Medical Professionals.

Public Law 110-351 amended section 422(b)(15) of title IV-B, subpart 1. The provision was amended to require that grantees coordinate with other agencies, pediatricians and other experts in health care and child welfare services to develop a plan for the ongoing oversight and coordination of mental and physical health care and dental services for children in foster care. The plan must include a strategy for scheduling initial and follow-up health screenings, monitoring and treating health needs, continuity of care and health records, and oversight of prescription medicines.

8. Disaster Response Procedures.

Public Law 109-288 added a new section 422(a)(16) requiring grantees to provide a description of their disaster response procedures.

9. Caseworker Visits.

Public Law 109-288 added a new section 422(b)(17) to title IV-B, subpart 1 requiring that grantees describe the standards for content and frequency of caseworker visits for children in foster care.

10. Collaboration with State Courts.

The Deficit Reduction Act of 2005 (Public Law 109-171) added section 422(b)(13) to require that grantees demonstrate substantial, ongoing, and meaningful collaboration with State courts in the development and implementation of the title IV-B plan.

11. Use of Funds.

Public Law 109-288 amended section 424(c) and (d) of the Act to prohibit States from using more than the amount of non-Federal funds it spent in FY 2005 on foster care maintenance payments as match for the title IV-B, subpart 1, program, and prohibited States from spending title IV-B subpart 1 funds on child care,

foster care maintenance or adoption assistance payments in excess of the amount of funds they spent on these activities in FY 2005. The statutory prohibition supersedes the regulatory provisions at 45 CFR 1357.30(e)(2), (e)(3) and (g).

B. Title IV-B, subpart 2.

1. Program Name Changed to Promoting Safe and Stable Families.

This change occurred when the original Family Preservation and Family Support program was expanded by Public Law 105-89. Funding was also increased at that time and also with the program reauthorization in 2001. [Section 430 (a) in title IV-B of the Social Security Act (the Act)]

2. Addition of Language to subpart 2 Definitions of the Service Categories re: Infant Safe-Haven Programs, Strengthening Parental Relationships, and Promotion of Healthy Marriages.

Public Law 107-133 amended the definition of Family Preservation Services in Section 431(a)(1)(F) to allow grantees to support infant safe haven programs to allow a parent to safely relinquish a newborn infant. The law also added to the definition of Family Support Services. Specifically, grantees may support services to strengthen parental relationships and promote healthy marriages. [Section 431 (a)(2)] The definitions for family preservation and family support services in the regulations at 45 CFR 1357.10 should be read in conjunction with these statutory definitions.

3. Addition of Service Categories of Time-Limited Reunification Services and Adoption Promotion and Support.

The 1997 reauthorization of the title IV-B, subpart 2 program affirmed the use of Federal funds for community-based family support services and family preservation services, and additionally required grantees to spend a portion of funds for time-limited family reunification services and adoption support services. The two latter categories allow grantees to fund services that facilitate the reunification of children in foster care with their families in a timely and safe manner, and encourage more adoptions out of the foster care system, expedite the adoption process, and support adoptive families as necessary to allow them to make a lifetime commitment to their children. [Sections 431(a)(7) and (8)] In general, requirements in the regulations related to family preservation and support only should be taken to mean all four allowable service categories.

4. Expanded Definition of Non-Federal Funds for Non- Supplantation Provision.

Public Law 105-89 added a definition of non-Federal funds for purposes of the title IV-B, subpart 2 non-supplantation requirement at 432(a)(7)(A) of the Act.

Non-federal funds are defined as State funds, or at State option, State and local funds. This means that a State may consider the amount of both local and State funds spent on Promoting Safe and Stable Families Program services in determining which funds may not be supplanted by Federal funds. This statutory definition of non-Federal funds in Section 431(a)(9) supersedes the regulatory definition in 45 CFR 1357.32(f).

5. Expenditure Reports.

Public Law 109-288 amended sections 432(a)(8)(B) and (c) in subpart 2 of the Act to require that States and Tribes submit their planned child and family services expenditures for the next fiscal year, as well as actual expenditures for the preceding fiscal year along with numbers of families and children served, the population served and geographic areas served by agency. These amended sections add to the regulatory provision in 45 CFR 1357.16(b).

6. Secretarial Authority to Exempt Tribes on Administrative Cost and Significant Portion Limitations.

Public Law 109-288 amended section 432(b)(2) of the Act by permitting the Secretary to exempt Indian Tribes from the two requirements in sec 432(a)(4) only: 1) limiting 10 percent of its total expenditures of each fiscal year's total title IV-B, subpart 2 funds for administrative costs and 2) spending a significant portion of funds on each of the four service categories. This supersedes the Secretary's authority to waive other State plan requirements as stated in 45 CFR 1357.50(f)(2). ACF is exercising the statutory authority to waive the two provisions, however, Tribes, are now required to assure that subpart 2 funds will not be used to supplant Federal or non-Federal funds expended under subpart 2.

7. Re-allotments of Title IV-B, subpart 2 Funds.

The Promoting Safe and Stable Families Amendments of 2001 (Public Law 107-133) amended Section 433(d) of the Act to allow the Secretary to re-allot unneeded portions of title IV-B, subpart 2 allocations to other grantees, so that the total appropriation remains available for program purposes. The grantee must certify that grant funds are not needed before they can be re-allotted.

8. Title IV-B, subpart 2, Limitation on Administrative Costs.

Public Law 109-288 prohibits States, from expending more than 10 percent of its total Federal and State expenditures of each fiscal year's total title IV-B, subpart 2, funds on administrative costs as required by section 434(d) of the Act.

C. Federal Program Name Changes.

Since the regulations were issued, a number of Federal programs referenced in the regulations have undergone significant changes, including name changes. States should

note the following name changes in meeting the consultation requirements in 45 CFR 1357.15(l)(3)(viii):

1. "Part H programs" are now programs under Part C of the Individuals with Disabilities Education Assistance (IDEA) Act
2. "Title IV-A" is also known as the Temporary Assistance for Needy Families program (TANF)
3. The "child care and development block grant (CCDBG)" is also known as the Child Care Development Fund (CCDF)
4. "Community-Based Family Resource Programs" are now known as either Title II programs under the Child Abuse Prevention and Treatment Act (CAPTA) or Community-Based Grants for the Prevention of Child Abuse.
5. The Food Stamp Program is now known as the Supplemental Nutrition Assistance Program (SNAP).

II. Obsolete Title IV-B Provisions Still in Regulation**

A. References to Dates and Submission Timeframes that Have Passed.

1. The regulations at 45 CFR 1357.15 refer to numerous timelines that have passed. This is because the regulation was based on the initial Family Preservation and Family Support legislation. With the exception of the obsolete requirements listed below, **the required elements of the APSRs and CFSPs apply on an annual or five-year cycle as applicable, regardless of the dates listed.**

2. Phase-in Expired.

At 45 CFR 1357.15 (a)(4), there is reference to the phase-in of the requirements for a consolidated CFSP by June 30, 1997. The phase-in period has expired and consolidation of the CFSP is now required. See also similar out-of-date references for phasing in consolidation of the CFSP in 45 CFR 1357.15 (b)(2) and (3), 1357.16(a)(7), and 1357.16 (b)(4).

B. Assurances.

1. Title IV-B, subpart 1 Reference to Child Care Standards Removed.

Public Law 109-288 removed the provisions in section 422(b)(3) that required States and Tribes to assure that day care facility standards and requirements correspond with the child care standards imposed under title XX. Therefore, the regulatory provision at 45 CFR 1357.15(c)(4) is obsolete.

2. Title IV-B, subpart 1 Training and Use of Professional Staff and Volunteers Provisions Removed.

Public Law 109-288 removed the assurance in section 422(b)(4) of the Act requiring States and Tribes to assure they will have a plan for the training and use of paid paraprofessional staff and for the use of partially paid or unpaid volunteers in providing services and assisting any advisory committees established by the State or Tribe. Therefore, the regulatory provision at 45 CFR 1357.15(c)(3) regarding training and use of professional staff and volunteers is obsolete.

3. References to Title IV-B, subpart 1 Assurances in Section 422(b)(9).

The regulation at 45 CFR 1357.15 (q) requires the CFSP to explain how services will help meet permanency provisions for children and families at 422 (b)(9) of the Act, but the actual section of the Act that addresses this issue is now located at 422(b)(8).

C. Other Obsolete Provisions.

1. CFSP Requirement that a Significant Portion of Funds are Used for Family Support and Family Preservation Services.

At 45 CFR 1357.15(s), the regulation requires that States use a significant portion of funds for family preservation and family support services. The requirement for significant portion previously required States to provide a strong rationale if they planned to expend less than 25% of their title IV-B, subpart 2 funds for either family preservation or family support. As a result of the expansion of title IV-B, subpart 2 to include two new services, that percentage requirement no longer applies. The significant portion requirement applies to all 4 services including time-limited family reunification services and adoption support services. Program Instructions on the APSR (beginning with ACYF-CB-PI-98-03) provide guidance to States on how to determine the significant portion requirement.

2. Authority for Requirements and Funding Formerly under Section 427 of the Act.

Section 427 was added to title IV-B by the Adoption Assistance and Child Welfare Act of 1980 (Public Law 96-272). The section offered incentive funds to States and Indian Tribes if they provided certain protections for children in foster care. Public Law 103-432 then repealed section 427 effective for fiscal years beginning after April 1, 1996. On October 7, 2008 Public Law 110-351 added a new section 427 to authorize family connection grants.

3. Title IV-B, subpart 1 Funding Authorization.

Public Law 109-288 removed section 420 of the Act as well as the permanent authorization in section 421, which changed title IV-B subpart 1 from a permanent authorization to a five-year authorization. This change supersedes the

allocation regulated in 45 CFR 1357.30(b). In addition, instead of spending funds according to a definition of “child welfare services” in former section 425(a)(1) and 45 CFR 1357.10(c), funds must be spent in accordance with the new program purpose added by Public Law 109-288.

4. Use of Funds.

Public Law 109-288 amended section 424(c) of the Act to prohibit States from using more than the amount of non-Federal funds it spent in FY 2005 on foster care maintenance payments as match for the title IV-B, subpart 1, program, and prohibited States from spending title IV-B subpart 1 funds on child care, foster care maintenance or adoption assistance payments in excess of the amount of funds they spent on these activities in FY 2005. The statutory prohibition supersedes the regulatory provisions at 1357.30(e)(2), (e)(3) and (g).

5. Expanded Definition of Non-Federal Funds for Non-Supplantation Provision.

ASFA added a definition of non-Federal funds for purposes of the title IV-B, subpart 2 non-supplantation requirement at 432(a)(7)(A) of the Act. Non-federal funds are defined as State funds, or at State option, State and local funds. This means that a State may consider the amount of both local and State funds spent on Promoting Safe and Stable Families Program services in determining which funds may not be supplanted by Federal funds. This statutory definition of non-Federal funds section 431(a)(9) supersedes the regulatory definition in 45 CFR 1357.32(f).

6. Redesignation of Payments and Allotments.

Public Law 109-288 amended provisions for payments and allotments by redesignating section 421 of the Act as 423 and section 423 of the Act as 424. Throughout the regulatory provisions found at 45 CFR 1357, statutory references to section 421 of the Act should be references to 423. Statutory references to section 423 of the Act should be read as 424.

* This section describes new requirements in title IV-B of the Social Security Act that are relevant to the Child and Family Services Plan and the Annual Progress and Services Report. This appendix may not include all amendments to title IV-B requirements.

** This section describes obsolete requirements in the regulations at 45 CFR 1357 that are relevant to the Child and Family Services Plan and the Annual Progress and Services Report. This appendix may not include all obsolete requirements.

Attachment C

Title IV-B, subpart 1 Assurances

The assurances listed below are in 45 CFR 1357.15(c) and title IV-B, subpart 1, sections 422(b)(8), 422(b)(10), and 422 (b)(14) of the Social Security Act (Act). These assurances will remain in effect during the period of the current five-year Child and Family Services Plan (CFSP).

1. The State/Tribe assures that it is operating, to the satisfaction of the Secretary:
 - a. A statewide information system from which can be readily determined the status, demographic characteristics, location, and goals for the placement of every child who is (or, within the immediately preceding 12 months, has been) in foster care;
 - b. A case review system (as defined in section 475(5) of the Act) for each child receiving foster care under the supervision of the State;
 - c. A service program designed to help children:
 - i. Where safe and appropriate, return to families from which they have been removed; or
 - ii. Be placed for adoption, with a legal guardian, or, if adoption or legal guardianship is determined not to be appropriate for a child, in some other planned, permanent living arrangement which may include a residential educational program; and
 - d. A preplacement preventative services program designed to help children at risk of foster care placement remain safely with their families.
2. The State/Tribe assures that it has in effect policies and administrative and judicial procedures for children abandoned at or shortly after birth (including policies and procedures providing for legal representation of the children) which enable permanent decisions to be made expeditiously with respect to the placement of the children.
3. The State/Tribe assures that it shall make effective use of cross-jurisdictional resources (including through contracts for the purchase of services), and shall eliminate legal barriers, to facilitate timely adoptive or permanent placements for waiting children.
4. The State/Tribe assures that not more than 10 percent of the expenditures of the State with respect to activities funded from amounts provided under this subpart will be for administrative costs.
5. The State/Tribe assures that it will participate in any evaluations the Secretary of HHS may require.

6. The State/Tribe assures that it shall administer the Child and Family Services Plan in accordance with methods determined by the Secretary to be proper and efficient.

Effective Date and Official Signature

I hereby certify that the State/Tribe complies with the requirements of the above assurances.

Certified by: _____

Title: _____

Agency: _____

Dated: _____

Reviewed by: _____

(ACF Regional Representative)

Dated: _____

Title IV-B, subpart 2 Assurances

The assurances listed below are in 45 CFR 1357.15(c) and title IV-B, subpart 2, sections 432(a)(2)(C), 432(a)(4), 432 (a)(5), 432(a)(7) and 432(a)(9) of the Social Security Act (Act). These assurances will remain in effect during the period of the current five-year CFSP.

1. The State/Tribe assures that after the end of each of the 1st 4 fiscal years covered by a set of goals, it will perform an interim review of progress toward accomplishment of the goals, and on the basis of the interim review will revise the statement of goals in the plan, if necessary, to reflect changed circumstances.
2. The State/Tribe assures that after the end of the last fiscal year covered by a set of goals, it will perform a final review of progress toward accomplishments of the goals, and on the basis of the final review:
 - a. Will prepare, transmit to the Secretary, and make available to the public a final report on progress toward accomplishment of the goals; and
 - b. Will develop (in consultation with the entities required to be consulted pursuant to subsection 432(b)) and add to the plan a statement of the goals intended to be accomplished by the end of the 5th succeeding fiscal year.
3. The State/Tribe assures that it will annually prepare, furnish to the Secretary, and make available to the public a description (including separate descriptions with respect to family preservation services, community-based family support services, time-limited family reunification services, and adoption promotion and support services) of:
 - a. The service programs to be made available under the plan in the immediately succeeding fiscal year;
 - b. The populations which the programs will serve; and
 - c. The geographic areas in the State in which the services will be available.
4. The State/Tribe assures that it will perform the annual activities in the 432(a)(5)(A) in the first fiscal year under the plan, at the time the State submits its initial plan, and in each succeeding fiscal year, by the end of the third quarter of the immediately preceding fiscal year.
5. The State/Tribe assures that Federal funds provided under subpart 2 will not be used to supplant Federal or non-Federal funds for existing services and activities which promote the purposes of subpart 2.
6. The State/Tribe will furnish reports to the Secretary, at such times, in such format, and containing such information as the Secretary may require, that demonstrate the State's/Tribe's compliance with the prohibition contained in 432(a)(7)(A) of the Act.

7. The State/Tribe assures that in administering and conducting service programs under the subpart 2 plan, the safety of the children to be served shall be of paramount concern.

8. The State/Tribe assures that it will participate in any evaluations the Secretary of HHS may require.

9. The State/Tribe assures that it shall administer the Child and Family Services Plan in accordance with methods determined by the Secretary to be proper and efficient.

STATE ONLY:

10. The State assures that not more than 10 percent of expenditures under the plan for any fiscal year with respect to which the State is eligible for payment under section 434 of the Act for the fiscal year shall be for administrative costs, and that the remaining expenditures shall be for programs of family preservation services, community based support services, time limited family reunification services, and adoption promotion and support services, with significant portions of such expenditures for each such program.

Effective Date and Official Signature

I hereby certify that the State/Tribe complies with the requirements of the above assurances.

Certified by: _____

Title: _____

Agency: _____

Dated: _____

Reviewed by: _____

(ACF Regional Representative)

Dated: _____

Child Abuse and Neglect Prevention and Treatment State Plan Assurances

State Chief Executive Officer's Assurance Statement for The Child Abuse and Neglect State Plan

As Chief Executive Officer of the State of _____, I certify that the State has in effect and is enforcing a State law, or has in effect and is operating a Statewide program, relating to child abuse and neglect which includes:

1. provisions or procedures for reporting known or suspected instances of child abuse and neglect (section 106(b)(2)(A)(i) of the Child Abuse Prevention and Treatment Act (CAPTA), as amended);
2. policies and procedures (including appropriate referrals to child protection service systems and for other appropriate services) to address the needs of infants born and identified as affected by illegal substance abuse or withdrawal symptoms resulting from prenatal drug exposure, including a requirement that health care providers involved in the delivery or care of such infants notify the child protective services system of the occurrence of such condition in such infants (section 106(b)(2)(A)(ii) of CAPTA);
3. the development of a plan of safe care for the infant born and identified as being affected by illegal substance abuse or withdrawal symptoms (section 106(b)(2)(A)(iii) of CAPTA);
4. procedures for the immediate screening, risk and safety assessment, and prompt investigation of such reports (section 106(b)(2)(A)(iv) of CAPTA);
5. triage procedures for the appropriate referral of a child not at risk of imminent harm to a community organization or voluntary preventive service (section 106(b)(2)(A)(v) of CAPTA);
6. procedures for immediate steps to be taken to ensure and protect the safety of the abused or neglected child, and of any other child under the same care who may also be in danger of abuse or neglect; and ensuring their placement in a safe environment (section 106(b)(2)(A)(vi) of CAPTA);
7. provisions for immunity from prosecution under State and local laws and regulations for individuals making good faith reports of suspected or known instances of child abuse or neglect (section 106(b)(2)(A)(vii) of CAPTA);
8. methods to preserve the confidentiality of all records in order to protect the rights of the child and of the child's parents or guardians, including requirements ensuring that reports and records made and maintained pursuant to the purposes of CAPTA shall only be made available to--
 - a. individuals who are the subject of the report;
 - b. Federal, State, or local government entities, or any agent of such entities, as described in number 9 below;
 - c. child abuse citizen review panels;
 - d. child fatality review panels;
 - e. a grand jury or court, upon a finding that information in the record is necessary for the determination of an issue before the court or grand jury; and

- f. other entities or classes of individuals statutorily authorized by the State to receive such information pursuant to a legitimate State purpose (section 106(b)(2)(A)(viii) of CAPTA);
9. provisions to require a State to disclose confidential information to any Federal, State, or local government entity, or any agent of such entity, that has a need for such information in order to carry out its responsibility under law to protect children from abuse and neglect (section 106(b)(2)(A)(ix) of CAPTA);
10. provisions which allow for public disclosure of the findings or information about the case of child abuse or neglect which has resulted in a child fatality or near fatality (section 106(b)(2)(A)(x) of CAPTA);
11. the cooperation of State law enforcement officials, court of competent jurisdiction, and appropriate State agencies providing human services in the investigation, assessment, prosecution, and treatment of child abuse or neglect (section 106(b)(2)(A)(xi) of CAPTA);
12. provisions requiring, and procedures in place that facilitate the prompt expungement of any records that are accessible to the general public or are used for purposes of employment or other background checks in cases determined to be unsubstantiated or false, except that nothing in this section shall prevent State child protective services agencies from keeping information on unsubstantiated reports in their casework files to assist in future risk and safety assessment (section 106(b)(2)(A)(xii) of CAPTA);
13. provisions and procedures requiring that in every case involving an abused or neglected child which results in a judicial proceeding, a guardian ad litem, who has received training appropriate to the role, and who may be an attorney or a court appointed special advocate who has received training appropriate to that role (or both), shall be appointed to represent the child in such proceedings-
 - a. to obtain firsthand, a clear understanding of the situation and needs of the child; and
 - b. to make recommendations to the court concerning the best interests of the child (section 106(b)(2)(A)(xiii) of CAPTA);
14. the establishment of citizen review panels in accordance with subsection 106(c) (section 106(b)(2)(A)(xiv) of CAPTA);
15. provisions, procedures, and mechanisms -
 - a. for the expedited termination of parental rights in the case of any infant determined to be abandoned under State law; and
 - b. by which individuals who disagree with an official finding of abuse or neglect can appeal such finding (section 106(b)(2)(A)(xv) of CAPTA);
16. provisions, procedures, and mechanisms that assure that the State does not require reunification of a surviving child with a parent who has been found by a court of competent jurisdiction--
 - a. to have committed a murder (which would have been an offense under section 1111(a) of title 18, United States Code, if the offense had occurred in the special maritime or territorial jurisdiction of the United States) of another child of such parent;
 - b. to have committed voluntary manslaughter (which would have been an offense under section 1112(a) of title 18, United States Code, if the offense had occurred

in the special maritime or territorial jurisdiction of the United States) of another child of such parent;

- c. to have aided or abetted, attempted, conspired, or solicited to commit such murder or voluntary manslaughter; or
 - d. to have committed a felony assault that results in the serious bodily injury to the surviving child or another child of such parent (section 106(b)(2)(A)(xvi) of CAPTA);
17. provisions that assure that, upon the implementation by the State of the provisions, procedures, and mechanisms under number 16 above, conviction of any one of the felonies listed in number 16 above constitute grounds under State law for the termination of parental rights of the convicted parent as to the surviving children (section 106(b)(2)(A)(xvii) of CAPTA);
 18. provisions and procedures to require that a representative of the child protective services agency shall, at the initial time of contact with the individual subject to a child abuse and neglect investigation, advise the individual of the complaints or allegations made against the individual, in a manner that is consistent with laws protecting the rights of the reporter (section 106(b)(2)(A)(xviii) of CAPTA);
 19. provisions addressing the training of representatives of the child protective services system regarding the legal duties of the representatives, which may consist of various methods of informing such representatives of such duties, in order to protect the legal rights and safety of children and families from the initial time of contact during investigation through treatment (section 106(b)(2)(A)(xix) of CAPTA);
 20. provisions and procedures for improving the training, retention and supervision of caseworkers (section 106(b)(2)(A)(xx) of CAPTA);
 21. provisions and procedures for referral of a child under the age of 3 who is involved in a substantiated case of child abuse or neglect to early intervention services funded under part C of the Individuals with Disabilities Education Act (section 106(b)(2)(A)(xxi) of CAPTA);
 22. provisions and procedures for requiring criminal background checks for prospective foster and adoptive parents and other adult relatives and non-relatives residing in the household (section 106(b)(2)(A)(xxii) of CAPTA);
 23. procedures for responding to the reporting of medical neglect (including instances of withholding of medically indicated treatment from disabled infants with life-threatening conditions), procedures or programs, or both (within the State child protective services system), to provide for--
 - a. coordination and consultation with individuals designated by and within appropriate health care facilities;
 - b. prompt notification by individuals designated by and within appropriate health-care facilities of cases of suspected medical neglect (including instances of withholding of medically indicated treatment from disabled infants with life-threatening conditions); and
 - c. authority, under State law, for the State child protective services system to pursue any legal remedies, including the authority to initiate legal proceedings in a court of competent jurisdiction, as may be necessary to prevent the withholding of medically indicated treatment from disabled infants with life-threatening conditions (section 106(b)(2)(B) of CAPTA);

24. an assurance that the programs or projects relating to child abuse and neglect carried out under part B of title IV of the Social Security Act comply with the requirements in 106(b)(1) and (2) of CAPTA; and
25. authority under State law to permit the child protective services system of the State to pursue any legal remedies, including the authority to initiate legal proceedings in a court of competent jurisdiction, to provide medical care or treatment for a child when such care or treatment is necessary to prevent or remedy serious harm to the child, or to prevent the withholding of medically indicated treatments from disabled infants with life-threatening conditions (section 113 of CAPTA).

Signature of Chief Executive Officer:

Date: _____

Reviewed by: _____

(ACF Regional Representative)

Dated: _____

Appendix 4: Title IV-E, Section 477 Certification

Certifications for the Chafee Foster Care Independence Program

As Chief Executive Officer/Tribal Leader of the State/Tribe of _____, I certify that the State/Tribe has in effect and is operating a Statewide or areawide program pursuant to section 477(b) or (j)(2) relating to Foster Care Independent Living and that the following provisions to effectively implement the Chafee Foster Care Independence Program are in place:

1. The State/Tribe will provide assistance and services to youth who have left foster care because they have attained 18 years of age, and have not attained 21 years of age [Section 477(b)(3)(A)];
2. Not more than 30 percent of the amounts paid to the State/Tribe from its allotment for a fiscal year will be expended for room and board for youth who have left foster care because they have attained 18 years of age, and have not attained 21 years of age [Section 477(b)(3)(B)];
3. None of the amounts paid to the State/Tribe from its allotment will be expended for room or board for any child who has not attained 18 years of age [Section 477(b)(3)(C)];
4. The State/Tribe will use training funds provided under the program of Federal payments for foster care and adoption assistance to provide training to help foster parents, adoptive parents, workers in group homes, and case managers understand and address the issues confronting adolescents preparing for independent living, and will, to the extent possible, coordinate such training with the independent living program conducted for adolescents [Section 477(b)(3)(D)];
5. The State/Tribe will adequately prepare prospective foster parents with the appropriate knowledge and skills to provide for the needs of the child before a child, under the supervision of the State, is placed with prospective foster parents and that such preparation will be continued, as necessary, after the placement of the child. [Section 471(a), as amended];
6. The State/Tribe has consulted widely with public and private organizations in developing the plan and has given all interested members of the public at least 30 days to submit comments on the plan [Section 477(b)(3)(E)];
7. The State/Tribe will make every effort to coordinate the State/Tribal programs receiving funds provided from an allotment made to the State/Tribe with other Federal, State and Tribal programs for youth (especially transitional living youth projects funded under part B of title III of the Juvenile Justice and Delinquency Prevention Act of 1974); abstinence education programs, local housing programs, programs for disabled youth (especially sheltered workshops), and school-to-work programs offered by high schools or local workforce agencies [Section 477(b)(3)(F)];
8. Adolescents participating in the program under this section will participate directly in designing their own program activities that prepare them for independent living and the adolescents will be required to accept personal responsibility for living up to their part of the program [Section 477(b)(3)(H)]; and

9. The State/Tribe has established and will enforce standards and procedures to prevent fraud and abuse in the programs carried out under the plan [Section 477(b)(3)(I)].

STATE ONLY:

10. The State has consulted each Tribe in the State about the programs to be carried out under the plan; there have been efforts to coordinate the programs with such Tribes; and benefits and services under the programs will be made available to Indian youth in the State/Tribe on the same basis as to other youth in the State; and that the State negotiates in good faith with any Indian tribe, tribal organization, or tribal consortium in the State that does not receive an allotment under 477(j)(4) for a fiscal year and that requests to develop an agreement with the State to administer, supervise, or oversee the programs to be carried out under the plan with respect to the Indian children who are eligible for such programs and who are under the authority of the tribe, organization, or consortium and to receive from the State an appropriated portion of the State allotment for the cost of such administration, supervision or oversight [Section 477(b)(3)(G)];

Signature of Chief Executive Officer or Tribal Leader

Date

**State Chief Executive Officer's Certification
for the
Education and Training Voucher Program
Chafee Foster Care Independence Program**

As Chief Executive Officer of the State of _____, I certify that the State has in effect and is operating a Statewide program relating to Foster Care Independent Living:

1. The State will comply with the conditions specified in subsection 477(i).
2. The State has described methods it will use to:
 - ensure that the total amount of educational assistance to a youth under this and any other Federal assistance program does not exceed the total cost of attendance; and
 - avoid duplication of benefits under this and any other Federal assistance program, as defined in section 477(b)(3)(J).

Signature of Chief Executive Officer

Date

**Tribal Leader's Certification
for the
Education and Training Voucher Program
Chafee Foster Care Independence Program**

As Tribal Leader of the Tribe, Tribal Organization or Tribal Consortium of _____, I certify that the Tribe has in effect and is operating an areawide program relating to Foster Care Independent Living pursuant to section 477(j)(2) and that the following provisions will be implemented:

3. The Tribe will comply with the conditions specified in subsection 477(i).
4. The Tribe has described methods it will use to:
 - ensure that the total amount of educational assistance to a youth under this and any other Federal assistance program does not exceed the total cost of attendance; and
 - avoid duplication of benefits under this and any other Federal assistance program, as defined in section 477(b)(3)(J).

Signature of Tribal Leader

Date

CFS-101, Part I: Annual Budget Request for Title IV-B, Subpart 1 & 2 Funds, CAPTA, CFCIP, and ETV Instructions

Note: While the information on the programs are consolidated into one Child and Family Services Plan (CFSP), eligibility and expenditure reports for the individual programs are separate. Funding will not be delayed for one program due to potential eligibility issues in another program.

Complete separate forms for each fiscal year.

1. **State or ITO:** Enter the name of the State or Indian Tribal Organization (ITO).
2. **EIN:** Indicate the Employer Identification Number (EIN).
3. **Address:** Enter the address of the State or ITO Agency.
4. **Submission:** Indicate if this is a NEW submission for the upcoming Fiscal Year, or a REVISION of the budget request for the current year.
5. **Total estimated title IV-B, subpart 1 funds:** Specify the estimated amount of title IV-B, subpart 1 Federal funds that the State or ITO expects to spend during the fiscal year on the Stephanie Tubbs Jones Child Welfare Services (CWS) Program. The previous Federal Fiscal Year's (FFY) final allotment as provided in the annual Program Instruction on the Annual Progress and Services Report (APSR) or CFSP is to be used as the estimated amount for the State's/Tribe's request.¹ A 25% match is required and the State or Tribe's match amount should be reflected on the SF-269 report.
 - a) Specify the estimated amount of title IV-B, subpart 1 CWS funds entered on line 5 to be spent on administration (not to exceed 10% of the total estimated allotment).
6. **Total estimated title IV-B, subpart 2 funds:** Specify the total estimated amount of title IV-B, subpart 2 Federal funds that the State or ITO expects to spend during the fiscal year on the Promoting Safe and Stable Families (PSSF) Program. The previous Federal Fiscal Year's (FFY) final allotment as provided in the annual Program Instruction on the APSR or CFSP is to be used as the estimated amount for the State's/Tribe's request. A 25% match is required and the State or Tribe's match amount should be reflected on the SF-269 report. Tribes are not required to complete items 6 a-f.
 - a) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent during the fiscal year for Family Preservation Services (a strong rationale must be provided in the APSR or CFSP if amount is less than 20% of line 6).

¹ The tentative allotments for title IV-B, subpart 1 and 2, CFCIP, and ETV are based on the premise that all States and Indian Tribes will apply for and receive the funds available to them. In the event that not all States or Indian Tribes apply for or receive their tentative allocations, those funds will be redistributed among eligible States and Indian Tribes, where permitted by statute. States requesting additional funds in anticipation of such a redistribution should submit information on the proposed use of such additional funds to the appropriate Children's Bureau in the State's/Tribe's APSR or CFSP.

When States or Tribes that have not applied for funds available to them in past fiscal year(s) decide to do so, depending upon the total amount of funds appropriated by Congress for the next fiscal year, there may be a reduction in the final distribution of funds.

- b) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent during the fiscal year for Family Support Services (a strong rationale must be provided in the APSR or CFSP if amount is less than 20% of line 6).
- c) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent during the fiscal year for Time-Limited Family Reunification Services (a strong rationale must be provided in the APSR or CFSP if amount is less than 20% of line 6).
- d) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent during the fiscal year for Adoption Promotion and Support Services (a strong rationale must be provided in the APSR or CFSP if amount is less than 20% of line 6).
- e) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent during the fiscal year for other service related activities (e.g. planning).
- f) Specify the estimated amount of title IV-B, subpart 2 PSSF funds to be spent on administration. (For STATES only, not to exceed 10% of the total estimated allotment.)
- 7. Monthly Caseworker Visit title IV-B, subpart 2 funds (for STATES only):** Specify the estimated amount of title IV-B, subpart 2 Monthly Caseworker Visitation (MCV) Federal Funds the State expects to spend during the fiscal year.
- a) Specify the estimated amount of title IV-B, subpart 2 MCV funds entered on line 7a to be spent on administration (not to exceed 10% of the total estimated allotment).
- 8. Re-allotment of Title IV-B, subparts 1 & 2 funds for State and ITO:** The Administration for Children and Families (ACF) is able to re-allot unneeded portions of State and Tribal allocations of title IV-B, subparts 1& 2 funds to other States and eligible Indian Tribes so that the total appropriation remains available for program purposes. In order for a State or ITO to be awarded a portion of these funds, or for funds to be released, ACF must have on file a request from the State or Indian Tribe for additional funds, or the release of funds.
- a) Indicate the amount of Federal funds of CWS, PSSF and/or MCV that the State or Tribe does not expect to utilize.
- b) Indicate the amount of Federal funds of CWS, PSSF and/or MCV that the State or Tribe is requesting, if additional funds become available.
- 9. Child Abuse Prevention and Treatment Act (CAPTA) (for STATES only):** Indicate the State's estimated CAPTA State Grant allocation as provided in the annual Program Instruction on the APSR. Supplemental funds may be available for distribution if there are States that are not awarded grant funds or there are unobligated funds available for redistribution. No matching funds are required for this grant.
- 10. Estimated Title IV-E Chafee Foster Care Independence Program (CFCIP) Funds:** Indicate the estimated amount of CFCIP funds that the State or Tribe expects to spend on independent living activities as provided in the APSR or CFSP Program Instruction.
- a) At State or Tribe option, indicate the estimated amount of the funds entered on line 10 a to be spent for room and board for eligible youth (not to exceed 30% of total estimated CFCIP allotment.)

11. Estimated Title IV-E Funds Allotted under Section 477 for the Education and Training Vouchers (ETV) Program: Indicate the estimated amount of ETV funds that the State or Tribe expects to spend on ETV as provided in the APSR or CFSR Program Instruction.

12. Re-allotment of CFCIP and/or ETV Funds for States or Tribes: The Administration for Children and Families (ACF) is able to re-allot unneeded portions of State or Tribe allocations of CFCIP and ETV funds to other States or Tribes so that the total appropriation remains available for program purposes. In order for a State or Tribe to be awarded portions of these funds, or for funds to be released, ACF must have on file a request from the State or Tribe for additional funds, or the release of funds.

a) Indicate the amount of funds that the State or Tribe will not utilize from its allotment to carry out the CFCIP activities.

b) Indicate the amount of funds that the State or Tribe will not utilize from its allotment to carry out the ETV program activities.

c) Indicate the amount of funds the State or Tribe is requesting if additional funds become available for the CFCIP program. A 20% State or Tribe match is required. The State or Tribe match amount must be reflected on the SF-269 report.

d) Indicate the amount of funds the State or Tribe is requesting if additional funds become available for the ETV program. A 20% State or Tribe match is required. The State or tribe match amount must be reflected on the SF-269 report.

13. Certification: This report must be signed and dated in the spaces provided. The signature and title of the official of the State agency, or Indian Tribal Organization, with authority to administer or supervise the administration of title IV-B, subparts 1 and 2 programs and, for States only, the CAPTA and CFCIP programs, must be included.

By signing this form the State/Tribal official assures that the State/Tribe will meet all applicable match requirements.

CFS-101, Part I: Annual Budget Request for Title IV-B, Subpart 1 & 2 Funds, CAPTA, CFCIP, and ETV

Fiscal Year 20____, October 1, 20____ through September 30, 20____

1. State or Indian Tribal Organization (ITO):	2. EIN:
3. Address:	4. Submission: [] New [] Revision
5. Total estimated title IV-B Subpart 1, Child Welfare Services (CWS) Funds	\$
a) Total administration (not to exceed 10% of estimated allotment)	\$
6. Total estimated title IV-B Subpart 2, Provides Safe and Stable Families (PSSF) Funds. This amount should equal the sum of lines a - f.	\$
a) Total Family Preservation Services	\$
b) Total Family Support Services	\$
c) Total Time-Limited Family Reunification Services	\$
d) Total Adoption Promotion and Support Services	\$
e) Total for Other Service Related Activities (e.g. planning)	\$
f) Total administration (FOR STATES ONLY: not to exceed 10% of estimated allotment)	\$
7. Total estimated title IV-B Subpart 2, Monthly Caseworker Visit (MCV) Funds (FOR STATES ONLY)	\$
a) Total administration (FOR STATES ONLY: not to exceed 10% of estimated allotment)	\$
8. Re-allotment of title IV-B subparts 1 & 2 funds for States and Indian Tribal Organizations:	
a) Indicate the amount of the State's/Tribe's allotment that will not be required to carry out the following programs: CWS \$_____, PSSF \$_____, and/or MCV_____.	
b) If additional funds become available to States and ITOs, specify the amount of additional funds the States or Tribes requesting: CWS \$_____, PSSF \$_____, and/or MCV_____.	
9. Child Abuse Prevention and Treatment Act (CAPTA) State Grant (no State match required): Estimated Amount plus additional allocation, as available. (FOR STATES ONLY)	\$
10. Estimated Chafee Foster Care Independence Program (CFCIP) funds	\$
a) Indicate the amount of State's or Tribe's allotment to be spent on room and board for eligible youth (not to exceed 30% of CFCIP allotment)	\$
11. Estimated Education and Training Voucher (ETV) funds	\$
12. Re-allotment of CFCIP and ETV Program Funds:	
a) Indicate the amount of the State's or Tribe's allotment that will not be required to carry out CFCIP Program	\$
b) Indicate the amount of the State's or Tribe's allotment that will not be required to carry out ETV Program	\$
c) If additional funds become available to States or Tribes, specify the amount of additional funds the State or Tribe is requesting for CFCIP Program	\$
d) If additional funds become available to States or Tribes, specify the amount of additional funds the State or Tribe is requesting for ETV Program	\$
13. Certification by State Agency and/or Indian Tribal Organization. The State agency or Indian Tribe submits the above estimates and request for funds under title IV-B, subpart 1 and/or 2, of the Social Security Act, CAPTA State Grant, CFCIP and ETV programs, and agrees that expenditures will be made in accordance with the Child and Family Services Plan, which has been jointly developed with, and approved by, the Children's Bureau, for the Fiscal Year ending September 30, 20____.	
Signature and Title of State/Tribal Agency Official	Signature and Title of Central Office Official

CFS-101, PART II: Annual Estimated Expenditure Summary of Child and Family Services Instructions

This form summarizes the State Agency's and eligible Indian Tribal Organization's (ITO) estimated expenditures on Child and Family Services programs, including the Child Abuse Treatment and Prevention Act (CAPTA) programs and the Chafee Foster Care Independence Program (CFCIP) including Education and Training Vouchers (ETV) for the next year. This information is an integral part of the State Child and Family Services Plan and should be discussed by the ACF Regional Office and State Agency Representatives. States should list estimated expenditures and other information in the category that best fits their programs.

For each of the services/activities listed, indicate in the appropriate columns the estimated expenditures by program, the estimated number of clients to be served, the population to be served and the geographic area to be served.

Services/Activities:

- 1. Prevention and Support Services (Family Support):** Community-based services which promote the safety and well-being of children and families and are designed to increase the strength and stability of families (including adoptive, foster, and extended families), to increase parents' confidence and competence in their parenting abilities, to afford children a safe, stable, and supportive family environment, and to enhance child development. These services may include respite care for parents and other caregivers; early developmental screening of children to assess the needs of these children and assistance in obtaining specific services to meet their needs; mentoring, tutoring, and health education for youth; a range of center-based activities (informal interactions in drop-in centers, parent support groups); services designed to increase parenting skills; and counseling and home visiting activities.
- 2. Protective Services:** Services designed to prevent or remedy the abuse, neglect, or exploitation of children. Services include investigation and emergency medical services, emergency shelter, legal action, developing case plans, counseling, assessment/evaluation of family circumstances, arranging alternative living arrangements, preparing for foster placement, if needed, and case management and referral to service providers.
- 3. Crisis Intervention (Family Preservation):** Services for children and families designed to help families (including adoptive and extended families) at risk or in crisis. The types of services within this category include:
 - **Pre-Placement Prevention:** Services to prevent family disruption and unnecessary removal of children from their homes (as appropriate). These services may include intensive family preservation, post-adoptive support services, case management, counseling, day care, respite services, homemaker services, services designed to increase parenting skills, family budgeting, coping with stress, health, and nutrition.
 - **Reunification:** Services to help children, where appropriate, return to families from which they have been removed, or be placed for adoption or legal guardianship. These services may include day care services, homemaker or caretaker services, family or individual

counseling for parent(s) and child, follow-up care for families to whom a child has been returned after placement and other reunification services the State identifies as necessary.

4. Time-Limited Family Reunification Services: Services and activities that are provided to a child who is removed from the child's home and placed in a foster family home or a child care institution, and to the parents or primary caregiver of such a child, in order to facilitate the reunification of the child safely and appropriately within a timely fashion, but only during the 15-month period that begins on the date that the child, pursuant to section 475(5)(F) of the Social Security Act (the Act), is considered to have entered foster care. The services and activities are the following:

- Individual, group, and family counseling.
- Inpatient, residential, or outpatient substance abuse treatment services.
- Mental health services.
- Assistance to address domestic violence.
- Services designed to provide temporary child care and therapeutic services for families, including crisis nurseries.
- Transportation to or from any of the services and activities described above.

5. Adoption Promotion and Support Services: Services and activities designed to encourage more adoptions out of the foster care system, when adoptions promote the best interests of children, including such activities as pre- and post-adoptive services and activities designed to expedite the adoption process and support adoptive families.

6. Other Service Related Activities: Planning, service coordination, preparation or follow-up to service delivery such as the recording of progress notes or other activities, other than direct services or administration, supporting the delivery of services under the program etc.

7. Foster Care Maintenance: Expenditures for “room and board” for children/youth in foster care.

a) Foster Family and Relative Foster Care: Payments to cover food, clothing, shelter, daily supervision, school supplies, a child's personal incidentals, liability insurance with respect to a child, and reasonable travel to the child's home for visitation and reasonable travel for the child to remain in the school in which the child is enrolled at the placement in foster care as well as the cost of providing these services.

b) Group/Institutional Care: This includes the reasonable costs of administration and the operation of institutional/group home care that are required to provide food, clothing, shelter, daily supervision, school supplies, a child's personal incidentals, liability insurance with respect to a child, and reasonable travel to the child's home for visitation; the cost of reasonable travel for the child to remain in the same school he or she was attending prior to placement in foster care and the cost of the items themselves.

8. Adoption Subsidy Payments: Funds provided to adoptive parents on a recurring and non-recurring basis to assist in the support of special needs children.

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9. Guardianship Assistance Payments: Funds provided to kinship legal guardians on a recurring and non-recurring basis to assist in the support of children formerly in foster care placed in their care.

10. Independent Living Services: Services designed to help youth expected to remain in foster care until the age of 18, youth who after age 16 leave foster care for kinship guardianship or adoption and former foster care recipients between 18 and 21 years of age, make the transition to self-sufficiency. Services may include: education, career exploration, vocational training, job placement and retention, training in daily living skills, training in budgeting and financial management skills, substance abuse prevention, and preventive health activities.

States are allowed to expend up to 30 percent of their allotments under the Chafee Foster Care Independence Program for room and board (including rental deposits, utilities and other expenses that may be included with rent) for children who have left foster care because they have attained 18 years of age, and who have not yet attained 21 years of age.

11. Education and Training Vouchers: Include the amount of funds the State plans to utilize for the Education and Training Vouchers (ETV) program in this line item

12. Administrative Costs: Include the amount of funds the State plans to utilize for administrative costs.

- For States and Tribes, administrative costs under title IV-B, subpart 1 may not be more than ten percent of Federal expenditures. Allowable costs for title IV-B, subpart 1 may include procurement, payroll processing, personnel functions, management, maintenance and operation of space and property, data processing and computer services, accounting, budgeting, auditing, and travel expenses. Applicable costs exclude administrative costs related to the provision of services by caseworkers or the oversight of programs funded under Title IV-B, subpart 1 (Section 422(c)(1) of the Act).
- For States only, administrative costs under title IV-B, subpart 2 (including Monthly Caseworker Visit grants) may not be more than ten percent of Federal expenditures. Allowable costs for title IV-B, subpart 2 may include, but are not limited to procurement, payroll processing, personnel functions, management, maintenance and operation of space and property, data processing and computer services, accounting, budgeting, and auditing. Allowable costs may also include indirect costs allocable in accordance with the agency's approved cost allocation plan (45 CFR 1357.32(h)).

13. Staff and External Partners Training: Includes the cost of short and long-term training to increase the ability of staff and external partners (other than foster/adoptive parents (see #14 & #15 below)) to provide assistance and support to children and families, but does NOT include the costs specifically related to supporting the monthly caseworker visit requirement (see #17 below).

14. Foster Parent Training and Recruitment: Includes the cost of short-term training to increase foster parent's ability to provide assistance and support to foster and adoptive children, and those costs associated with/resulting from the recruitment of potential foster parents.

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15. Adoptive Parent Training and Recruitment: Includes the cost of short-term training to increase adoptive parent's ability to provide assistance and support to foster and adoptive children, and those costs associated with/from the recruitment of potential adoptive parents.

16. Child Care Related to Employment/Training: Includes licensed day care purchased for the purpose of supporting the employment of one or both of the parents.

17. Monthly Caseworker Visits: Includes costs related to supporting monthly caseworker visits with children who are in foster care under the responsibility of the State, with a primary emphasis on activities designed to improve caseworker retention, recruitment and ability to access the benefits of technology.

18. Total: The total amount of funds estimated for the year (equal to the sum of lines 1 through 18) for each column.

Estimated Expenditures:

1. Federal Funds (columns a - g): Indicate for each service/activity the amount to be expended from the Federal program indicated in columns (a) through (g).

2. State, Local, and Donated Funds (column h): Indicate the estimated amount of State, local, and donated funds to be expended, even if they are not used to match Federal funds.

3. Estimated Number to be Served (column i): Estimate, as accurately as possible, the number of individuals and families to be served by service/activity with the total estimated funding indicated.

4. Population to be Served (column j): Indicate the population that has been targeted for the designated services. Targeting may include a range of vulnerable populations such as:

- Children at imminent risk of placement;
- All children in foster care;
- Families with children returning home following placement;
- All eligible children, eligible children under 21 years, or eligible children requiring treatment;
- Families with a child abuse or neglect investigation;
- Children in contracted care; or
- Families in crisis.

5. Geographic Area to be Served (column k): Indicate **both** the number and type of areas identified within the State where services are to be provided for each program. Areas may include specific regions, counties, cities, reservations, communities, census tracts, or neighborhoods. For example, if the State is operating family preservation programs in six counties, indicate by noting "6 counties"; if the State is operating 12 community-based family support programs, indicate by noting "12 communities".

CFS-101 Part II: Annual Estimated Expenditure Summary of Child and Family Services

State or Indian Tribal Organization (ITO) _____ For FFY OCTOBER 1 , _____ TO SEPTEMBER 30, _____

SERVICES/ACTIVITIES	TITLE IV-B			(d) CAPTA*	(e) CFCIP	(f) ETV	(g) TITLE IV- E	(h) STATE, LOCAL, & DONATED FUNDS	(i) NUMBER TO BE SERVED		(j) POPULATION TO BE SERVED
	(a) Subpart I- CWS	(b) Subpart II- PSSF	(c) Subpart II- MCV *						Individuals	Families	
1.) PREVENTION & SUPPORT SERVICES (FAMILY SUPPORT)											
2.) PROTECTIVE SERVICES											
3.) CRISIS INTERVENTION (FAMILY PRESERVATION)											
4.) TIME-LIMITED FAMILY REUNIFICATION SERVICES											
5.) ADOPTION PROMOTION AND SUPPORT SERVICES											
6.) FOR OTHER SERVICE RELATED ACTIVITIES (e.g. planning)											
7.) FOSTER CARE MAINTENANCE:											
(a) FOSTER FAMILY & RELATIVE FOSTER CARE											
(b) GROUP/INST CARE											
8.) ADOPTION SUBSIDY PMTS.											
9.) GUARDIANSHIP ASSIST. PMTS.											
10.) INDEPENDENT LIVING SERVICES											
11.) EDUCATION AND TRAINING VOUCHERS											
12.) ADMINISTRATIVE COSTS											
13.) STAFF & EXTERNAL PARTNERS TRAINING											
14.) FOSTER PARENT RECRUITMENT & TRAINING											
15.) ADOPTIVE PARENT RECRUITMENT & TRAINING											
16.) CHILD CARE RELATED TO EMPLOYMENT/TRAINING											
17.) CASEWORKER RETENTION, RECRUITMENT & TRAINING											
18.) TOTAL											

* States Only, Indian Tribes are not required to include information on these programs

CFS-101, Part III

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CFS-101, Part III: Expenditures for Title IV-B, Subparts 1 and 2*, Chafee Foster Care Independence (CFCIP) and Education and Training Voucher (ETV) Programs

Note: Federal funds for the most of the programs identified above are awarded to States or Tribes on a yearly basis, but may be spent over a two-year period ending on September 30 of the year following the year in which they were awarded. For example, the FY 2007 grant allocation has an expenditure period from October 1, 2006 to September 30, 2008. Therefore, any fiscal year 2007 funds must be obligated during that two-year period of time and subsequently reported on this form.

Most figures in the "estimate" column can be found in a State's/Tribe's previously approved CFS 101, Part I for the fiscal year being reported on.

1. **State or ITO:** Enter the name of the State or Indian Tribal Organization (ITO).
2. **EIN:** Indicate the Employer Identification Number (EIN).
3. **Address:** Enter the address of the State or ITO Agency.
4. **Submission:** Indicate if this is a new or revised expenditure report.
5. **Total title IV-B, subpart 1 funds:** Indicate the estimated expenditures and actual expenditures of title IV-B, subpart 1 Federal funds for the designated fiscal year for Child Welfare Services. Indicate as accurately as possible, the number of individuals and the number of families served, the population served, and the geographic area where services were provided. The required 25% match should not be reflected on this form.

a) Specify the estimated allotment and actual expenditures of title IV-B, subpart 1 funds for administration. Note that administrative costs may not exceed 10% of the title IV-B, subpart 1 total expenditures.

6. **Total title IV-B, subpart 2 Promoting Safe and Stable Families (PSSF) funds:** Indicate the estimated expenditures and actual expenditures of title IV-B, subpart 2 funds for the designated fiscal year for Promoting Safe and Stable Families services. The required 25% match should not be reflected on this form.

a) Indicate the estimated allotment and actual expenditures of title IV-B, subpart 2 PSSF funds for the designated fiscal year for Family Preservation Services. Indicate as accurately as possible, the number of individuals and the number of families served,¹ the population served,² and the geographic area where services were provided.³ Note that

¹ Report, as accurately as possible, the number of clients served per service/activity for the amount of funds expended. Indicate the number of individuals **and** the number of families served as labeled in the column.

² Indicate the population that has received the designated services. This may include a range of vulnerable populations such as children at imminent risk of placement, all children in foster care, families with children returning home following placement, all eligible children, eligible children under 21 years, or eligible children

these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded.

b) Indicate the estimated and actual expenditures of title IV-B, subpart 2 PSSF funds allotted for the designated fiscal year for Family Support Services. Indicate as accurately as possible, the number of individuals and the number of families served, the population served, and the geographic area where services were provided. Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded.

c) Indicate the estimated and actual expenditures of title IV-B, subpart 2 PSSF funds allotted for the designated fiscal year for Time-Limited Family Reunification Services. Indicate as accurately as possible, the number of individuals and the number of families served, the population served, and the geographic area where services were provided. Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded.

d) Indicate the estimated and actual expenditures of title IV-B, subpart 2 PSSF funds allotted for the designated fiscal year for Adoption Promotion and Support Services. Indicate as accurately as possible, the number of individuals and the number of families served, the population served, and the geographic area where services were provided. Note that these funds may be spent over a two-year period ending on September 30 of the year following that in which they were awarded.

e) Indicate the estimated and actual expenditures of title IV-B, subpart 2 PSSF funds allotted for the designated fiscal year for other service related activities (e.g. planning). Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded.

f) Indicate the estimated and actual expenditures of title IV-B, subpart 2 PSSF funds allotted for the designated fiscal year for administrative costs. Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded. Beginning in FFY 2008, States' administrative costs may not exceed 10% of the total expenditures for title IV-B, subpart 2. (This limitation does not apply to Tribes.)

7. Total title IV-B subpart 2, Monthly Caseworker Visit (MCV) Funds (States only):

Indicate the estimated and actual expenditures allotted for the designated fiscal year. Note that with the exception of FY 2006 grants, these funds may be spent over a two-year period ending

requiring treatment, families with a child abuse or neglect investigation, children in contracted care, and/or families in crisis.

³ Indicate the number **and** type of areas identified within the State where services are to be provided for each program. Areas may include specific regions, counties, cities, reservations, communities, census tracts, or neighborhoods.

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on September 30 of the fiscal year following the year in which they were awarded. For FY 2007, no grants were made under this program. Therefore, when completing the form for FY 2007, the amounts listed here should be \$0 for estimates and expenditures.

a). Indicate the estimated and actual expenditures of title IV-B, subpart 2 Monthly Caseworker Visit funds allotted for the designated fiscal year (States only). Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following the year in which they were awarded. Beginning in FFY 2008 total administrative costs may not exceed 10% of the total expenditures for title IV-B, subpart.

8. Chafee Foster Care Independence Program (CFCIP) Funds: Indicate the estimated and actual expenditures of CFCIP funds allotted for the designated fiscal year for independent living activities as provided in the APSR or CFSP Program Instruction.

a) Indicate the estimated and actual expenditures of the State's or Tribe's allotment for the designated fiscal year for room and board for eligible youth (not to exceed 30% of CFCIP funds). Note that these funds may be spent over a two-year period ending on September 30 of the fiscal year following they year in which they were awarded. Indicate as accurately as possible, the number of clients served, the population served, and the geographic area where services were provided.

9. Total funds allotted under Section 477 for the Education and Training Vouchers (ETV) Program: Indicate the estimated and actual expenditures of Education and Training Voucher funds allotted for the designated fiscal year as provided in the annual Program Instruction on the APSR or CFSP. Indicate as accurately as possible, the number of clients served, the population served, and the geographic area where services were provided.

10. Certification: This report must be signed and dated in the spaces provided. The signature and title must be included of the official of the State agency, or Indian Tribal Organization, with authority to administer or supervise the administration of title IV-B, subparts 1 and 2 programs and, for States only, MCV and CAPTA programs.

By signing this form the State/Tribal official certifies that all figures provided here are accurate.

* Title IV-B, subpart 2 includes both the Promoting Safe and Stable Families Program and the Monthly Caseworker Visit Program.

CFS-101, PART III: Annual Expenditures for Title IV-B, Subparts 1 and 2, Chafee Foster Care Independence (CFCIP) and Education And Training Voucher (ETV) : Fiscal Year 2007: October 1, 2006 through September 30, 2007

1. State or Indian Tribal Organization (ITO):		2. EIN:		3. Address:			
4. Submission: <input type="checkbox"/> New <input type="checkbox"/> Revision							
<i>Description of Funds</i>	<i>Estimated Expenditures</i>	<i>Actual Expenditures</i>	<i>Number served</i>		<i>Population served</i>	<i>Geographic area served</i>	
			<i>Individuals</i>	<i>Families</i>			
5. Total title IV-B, subpart 1 funds	\$	\$					
a) Total Administrative Costs (not to exceed 10% of Federal allotment)	\$	\$					
6. Total title IV-B, subpart 2 funds (This amount should equal the sum of lines a - f.)	\$	\$					
a) Family Preservation Services	\$	\$					
b) Family Support Services	\$	\$					
c) Time-Limited Family Reunification Services	\$	\$					
d) Adoption Promotion and Support Services	\$	\$					
e) Other Service Related Activities (e.g. planning)	\$	\$					
f) Administrative Costs (FOR STATES: not to exceed 10% of total allotment after October 1, 2007)	\$	\$					
7. Total Monthly Caseworker Visit Funds (STATE ONLY)	\$	\$					
a) Administrative Costs (not to exceed 10% of Federal allotment)	\$	\$					
8. Total Chafee Foster Care Independence Program (CFCIP) funds	\$	\$					
a) Indicate the amount of State's allotment spent on room and board for eligible youth (not to exceed 30% of CFCIP allotment)	\$	\$					
9. Total Education and Training Voucher (ETV) funds	\$	\$					
10. Certification by State Agency or Indian Tribal Organization (ITO). The State agency or ITO agrees that expenditures were made in accordance with the Child and Family Services Plan, which has been jointly developed with, and approved by, the Children's Bureau, for the Fiscal Year ending September 30, 20_____.							

<i>Signature and Title of State/Tribal Agency Official</i>	<i>Date</i>	<i>Signature and Title of Central Office Official</i>	<i>Date</i>

ATTACHMENT E – Children’s Bureau Regional Program Managers

Region I - Boston

Bob Cavanaugh
bob.cavanaugh@acf.hhs.gov
JFK Federal Building, Rm. 2000
Boston, MA 02203
(617) 565-1020
States: Connecticut, Maine,
Massachusetts, New Hampshire, Rhode
Island, Vermont

Region II - New York City

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26 Federal Plaza, Rm. 4114
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(212) 264-2890
States and Territories: New Jersey,
New York, Puerto Rico, Virgin Islands

Region III - Philadelphia

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Maryland, Pennsylvania, Virginia, West
Virginia

Region IV - Atlanta

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Atlanta Federal Center
61 Forsyth Street SW - Suite 4M60
Atlanta, GA 30303
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States: Alabama, Mississippi, Florida,
North Carolina, Georgia, South
Carolina, Kentucky, Tennessee

Region V - Chicago

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Minnesota, Ohio, Wisconsin

Region VI - Dallas

June Lloyd
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States: Arkansas, Louisiana, New
Mexico, Oklahoma, Texas

Region VII - Kansas City

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States: Iowa, Kansas, Missouri,
Nebraska

Region VIII - Denver

Marilyn Kennerson
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Federal Office Building
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Dakota, South Dakota, Utah, Wyoming

Region IX - San Francisco

Sally Flanzer

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San Francisco, CA 94103

(415) 437-8400 (p)

States and Territories: Arizona,
California, Hawaii, Nevada, Outer
Pacific—American Samoa,
Commonwealth of the Northern
Marianas, Federated States of
Micronesia (Chuuk, Pohnpei, Yap)
Guam, Marshall Islands, Palau

Region X - Seattle

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Seattle, WA 98121

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States: Alaska, Idaho, Oregon,
Washington