

ACF

Administration
for Children
and Families

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Children, Youth and Families

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4. Key Words: Title IV-B Child and Family Services State Plan; CAPTA State Plan; ILP

PROGRAM INSTRUCTION

TO: State Agencies, Indian Tribes, Indian Tribal Organizations Territories and Insular Areas Administering or Supervising the Administration of Title IV-B, subparts 1 and 2, or subpart 2 only, of the Social Security Act, Title IV-E of the Social Security Act; and State Office or Organization Designated by the Governor to Apply for Child Abuse and Neglect State Grant Funds.

SUBJECT: June 30, 1999 Submission of: (1) the Child and Family Services Plan Final Report required for title IV-B of the Social Security Act (the Act); (2) the Fiscal Year 2000 - 2004 Child and Family Services Plan; (3) the CFS-101, Annual Budget Request and Annual Summary of Child and Family Services; (4) the Child Abuse and Neglect State Plan; and (5) the application for the Independent Living program (optional).

LEGAL AND RELATED REFERENCES: Title IV-B, subparts 1 and 2 and Title IV-E, Section 477 of the Act; Section 106 of the Child Abuse Prevention and Treatment Act, as amended (42 U.S.C. 5101 et seq.); Adoption and Safe Families Act of 1997 (Public Law 105-89); 45 CFR 1357; ACYF-PI-93-16, December 10, 1993, Independent Living Program; ACYF-PI-CB-95-12, August 11, 1995, Indian Child Welfare Act; ACYF-PI-CB-95-23, October 11, 1995, Multiethnic Placement Act (Diligent recruitment only); and ACYF-PI-CB-98-05, April 28, 1998, Title IV-B, subparts 1 and 2, State Plan Assurances.

PURPOSE: This Program Instruction (PI) provides guidance to States and eligible Indian Tribes on actions they are required to take in order to receive their allotments for fiscal year 2000 (subject to the availability of appropriations) authorized under title IV-B, subparts 1 and/or 2, and for States only, the Child Abuse Prevention and Treatment Act (CAPTA). It also affords States an opportunity to apply for FY 2000 funds for the Independent Living Program. In order to receive funds for fiscal year 2000, State and Indian Tribal actions will involve developing and submitting, by June 30, 1999:

- A final report for fiscal years 1995-1999 on services provided under the CFSP and CAPTA State plans;
- A five-year Child and Family Services Plan for fiscal years 2000-2004 for title IV-B, subparts 1 and/or 2, including the title IV-B State plan requirements mandated by the Adoption and Safe Families Act of 1997 (P. L. 105-89), and for States a five-year CAPTA State Plan; and
- The CFS-101 for title IV-B, subparts 1 and 2, and for States only, CAPTA, for FY 2000.

BACKGROUND:

Child and Family Services Plan

The Federal regulation at 45 CFR 1357 applies to State and Indian Tribes receiving funds under title IV-B, subparts 1 and/or 2. It includes the requirements for a five-year comprehensive Child and Family Services Plan (CFSP), annual updates, and a final report on the progress made toward accomplishing the goals and objectives in the CFSP. Paragraph (e) of 45 CFR 1357.16 requires that in Fiscal Year (FY) 1999, States and eligible Indian Tribes conduct a final review of the progress made toward accomplishing the goals and objectives in the CFSP for FYs 1995-1999. On the basis of the final review, States and eligible Indian Tribes must prepare a final report and submit the report to ACF. Paragraph (f) of 45 CFR 1357.16 requires States and eligible Indian Tribes to prepare a new five-year CFSP that is based on the final review and the requirements in 45 CFR 1357.15.

Since the submission of the first five year CFSP, the Adoption and Safe Families Act (ASFA) of 1997 was signed into law on November 19, 1997. Among other things, ASFA amended title IV-B, subparts 1 and 2 by:

- adding new assurances to the State plan under title IV-B, subparts 1 and 2 (sections 422(b)(12) and 432(a)(9));
- emphasizing safety in the delivery of services in sections 422(b)(B)(iii), (iv) and section 431(a)(1)(2) of the Act;
- defining "non-Federal" funds (section 431(a)(9));
- adding two new services (time-limited family reunification services, and adoption promotion and support services) under title IV-B, subpart 2 (sections 431(a)(7) and (8)) (see ACYF-CB-PI-98-03, March 3, 1998); and,
- requiring a significant portion of funds be spent on all four services under title IV-B, subpart 2.

Child Abuse Prevention and Treatment Act State Plan (States only)

Section 106(b)(1)(A) of CAPTA requires the submission of a State program plan every five years. This plan is to be used for the support and improvement of State child protective services (CPS) systems in one or more of the nine program areas set forth in section 106(a) of CAPTA. Section 106(b)(2)(A) further requires an assurance (in the form of a certification by the Governor

of the State) that the State has in effect and is enforcing a State law, or has in effect and is operating a statewide program, relating to several areas of child abuse and neglect.

In order to help States to plan comprehensively for the full array of child welfare services, from prevention and protection through permanency, we have consolidated the CFSP and the CAPTA plans. In addition, such consolidation reduces duplicative information requirements for the CFSP and the CAPTA plans. (See also ACYF-NCCAN-PI-97-01, March 12, 1997 and ACYF-CB-PI-97-03, May 16, 1997.)

Compliance with the eligibility requirements for a CAPTA State plan is a prerequisite for eligibility for funds under the Children 's Justice Act State Grant Program authorized by Section 107(a) of CAPTA.

Independent Living Program (ILP) (States only)

In addition to meeting the requirements of title IV-B, subparts 1 and 2, States must include in their CFSP information on the ILP under title IV-E of the Social Security Act (see section 1357.15(a)(2)(I)). States **may** consolidate the ILP application into the title IV-B CFSP and still meet the requirement in 45 CFR 1357.16(a)(4). By doing so, States can receive their ILP funds earlier in the fiscal year.

Although consolidation of the ILP application into the title IV-B planning process is encouraged, pooled funding among the programs is not allowed, since separate funding streams and accountability are still required by statute. While the information on the two programs may be consolidated into one plan, eligibility and funding for the individual programs will be kept separate and funding will not be delayed for one program due to potential eligibility issues in the other program.

INSTRUCTION: This section describes the requirements States and eligible Indian Tribes must meet to receive their title IV-B and CAPTA (States only) allotments for FY 2000. The final report, the FY 2000-2004 CFSP, and the CFS-101 for FY 2000 must be submitted to ACF by June 30, 1999. The Final Report and the five-year CFSP should meet the requirements of 45 CFR 1357 and title IV-B, subparts 1 and 2 of the Act. The following sections provide additional guidance as a result of either previous Children 's Bureau issuances or due to changes in title IV-B resulting from ASFA.

A. Final Report for Fiscal Years 1995-1999 for the CFSP and CAPTA State Plans

1. The final report may be submitted in the format of the State 's or Indian Tribe's choice. (Insular Areas that submitted a CFSP should also submit a final report on their CFSP.)
2. Each State and each Indian Tribe must conduct a review of the progress made toward accomplishing the goals and objectives in the CFSP. When conducting the final review, the State and the Indian Tribe must involve the agencies, organizations, and individuals that are a part of the on going CFSP-related consultation and coordination process. The final report must include the progress made on the goals and objectives for FY 1995 through FY 1999.

Indian Tribes that became eligible for title IV-B, subpart 2, funds after June 30, 1995, are to submit a final report for the years covered by their CFSP.

3. The final report must include, at a minimum:
 - a. A description of the specific accomplishments and progress made toward meeting each goal and objective in the State's and Indian Tribe's CFSP, including information on outcomes for children and families, and a more comprehensive, coordinated, effective child and family services continuum. In describing the accomplishments and progress in the final report, States and Indian Tribes should incorporate their initial baseline data and information, as well as that data and information gathered in subsequent annual reviews. In developing the final report the State or Indian Tribe should address barriers, unexpected events, etc. that impacted their accomplishment of the goals and objectives.
 - b. A description of the progress made in the areas of training, technical assistance, research, evaluation, or management information systems in support of the goals and objectives in the CFSP.
 - c. A description of the progress and accomplishments made with regard to the diligent recruitment of potential foster and adoptive families that reflects the ethnic and racial diversity of children in the state for whom foster and adoptive homes are needed.
 - d. CAPTA information:
 - i. Accomplishments to date under the CAPTA portion of the consolidated CFSP.
 - ii. States that did not meet the assurance requirements set forth under sections 106(b)(2)(A)(I)(xiii), 106(b)(2)(B) and 106(b)(2)(d) of CAPTA, but certified they would meet them by October 3, 1998 or June 30, 1999, need to provide information whether they have met the outstanding requirements. If the State is not in complete compliance, what was done to come into compliance, why was compliance not achieved, and what actions are being taken to try to meet the outstanding requirements?
 - iii. States with established citizen review panels, submit a copy of the annual report(s) from the citizen review panels. Section 106(d) of CAPTA requires that the citizen review panels develop annual reports and make them available to the public. The report should include, at a minimum, a summary of the panel's activities, the recommendations of the panel based upon its activities and findings, and include information on the progress States are making in implementing the recommendations of the panels.
 - e. For States operating a child welfare demonstration project under section 1130 of the Social Security Act (the Act), a description of the accomplishments and progress in the demonstration project as they relate to the goals and objectives in the State's CFSP.

- f. Provide information in the final report on the status of implementing the transition rules as they apply to "new" and "current" children in foster care as described in PI ACYF-CB-PI-98-14, August 20, 1998.
- g. Any other information the State or the Indian Tribe wishes to include.

B. B. Five-year Child and Family Services Plan

1. Title IV-B, subparts 1 and 2, Child Welfare Services and Promoting Safe and Stable Families; 45 CFR 1357.15

Development of the CFSP provides an opportunity to lay the groundwork for a system of coordinated, integrated, culturally relevant family-focused services. States and Indian Tribes should keep in mind the requirements of ASFA as they plan for the full continuum of child welfare services in the new CFSP. Additionally, the CFSP should be developed reflecting the service principles at 45 CFR 1355.25. We especially encourage States to work toward preventing and eliminating discrimination in the placement of children for adoption and foster care on the basis of race, color or national origin. The process of coordination and collaboration implemented during the previous plan development, and continued annually for the Annual Progress and Services Report (APSR), should be continued in the development of the CFSP for FYs 2000 - 2004. The initial baseline information, as updated in each APSR, should serve as a basis for the development of the State's and Indian Tribe's CFSP vision, goals, objectives, funding, and service decisions.

As State and Indian Tribal staff develop the CFSP to meet the requirements in 45 CFR 1357, they must apply each section of this regulation to the two new services under title IV-B, subpart 2. The only paragraph not applicable to the new services is 45 CFR 1357.15(r). This paragraph is applicable only to family support services.

In addition to the requirements of 45 CFR 1357 and title IV-B, subparts 1 and/or 2, the following must also be incorporated into the CFSP. These requirements apply to States and Indian Tribes applying for funds for one or both subparts of title IV-B, unless otherwise noted.

- a. The development of the CFSP for FYs 2000-2004 must be based on information in the final report, and meet the requirements in 45 CFR 1357. The CFSP must include information on:
 - Child Welfare Services
 - Family Support
 - Family Preservation
 - Time - Limited Family Reunification Services
 - Adoption Promotion and Support Services
 - Child Abuse Prevention and Treatment Act (CAPTA) Services
 - The Independent Living Program (ILP)
 - Child Welfare Demonstrations approved under section 1130 of the Act, as appropriate.

- b. Services in relation to permanency planning, 45 CFR 1357.15(q). For States and Indian Tribes administering both title IV-B programs (subparts 1 and 2), or title IV-B, subpart 1 only, the CFSP must explain how these services (e.g., preplacement preventive services, time-limited family reunification services, independent living services) will help meet the permanency provisions for children and families in sections 422(b)(10) and 471 of the Act and meet the provisions for promoting safe and stable families in section 432(a). For additional information and guidance refer to ACYF-PI-CB-98-05, April 28, 1998 (Attachment G).
- c. States and Indian Tribes are to submit the assurances listed in 45 CFR 1357.15(c) and the two new assurances added to title IV-B (sections 422(b)(12) and 432(a)(9)). A form is attached listing these assurances, States and Indian Tribes may sign Attachment A and submit it with the CFSP or include them as part of the CFSP narrative.
- d. In addition to the information in 45 CFR 1357.15, States and Indian Tribes (if applicable), must provide the following information in the CFSP:
 - . States and Indian Tribes must collect and analyze State/Tribal-wide information on time-limited family reunification services and adoption promotion and support services currently available to families and children; the extent to which each service is available and being provided in different geographic areas and to different types of families; and important gaps in service, including mismatches between available services and family needs as identified through baseline data and the consultation process.
 - i. Significant portion of funds used for title IV-B, subpart 2, Promoting Safe and Stable Families (45 CFR 1357.15(s)) (States only). For the purpose of applying for FY 2000 funds, States must indicate the specific percentages of title IV-B, subpart 2 funds that the State will expend on actual service delivery of family preservation, community-based family support, time-limited family reunification and adoption promotion and support services, as well as planning and service coordination, with a rationale for the decision. The State must have an especially strong rationale if the percentage provided is below 20% for any one of the four service categories. The amount allocated to each of the service categories should only include funds for service delivery. States should report separately the amount to be allocated to planning and service coordination. (See ACYF-PI-CB-98-03, March 5, 1998).
 - ii. Diligent recruitment. The State and Indian Tribe must provide for the diligent recruitment of potential foster and adoptive families that reflect the ethnic and racial diversity of children in the State/Tribe for whom foster and adoptive homes are needed. (See section 422(b)(9).) This applies to States and Indian Tribes applying for title IV-B, subpart 1, funds.

- iii. Indian Child Welfare Act (States only). The State must provide a description, developed in consultation with Indian Tribes in the State, of the specific measures to be taken by the State to comply with the Indian Child Welfare Act. These measures must, at a minimum, provide for the identification of Indian children, notification of such to the relevant Indian Tribe, and for giving preference to Indian caregivers when determining out-of-home or permanent placements for Indian children, provided that the Indian caregivers meet all relevant child protection standards. (See section 422(b)(11).)
- iv. Cross-jurisdictional resources. The CFSP must contain assurances that the State and Indian Tribes shall develop plans for the effective use of cross-jurisdictional resources to facilitate timely adoptive or permanent placements for waiting children. (See section 422(b)(12).) This applies to States and Indian Tribes applying for title IV-B, subpart 1, funds.
- v. Child welfare demonstration activities (States only). The State will provide a description of the coordination efforts to integrate the activities under the CFSP with the goals and objectives of the demonstration, where applicable. In particular, the State will discuss how title IV-B monies are used to maximize the use of flexible title IV-E dollars in the demonstration.
- vi. For those States receiving an adoption incentive payment, specify the services that have been, or will be, provided to children and families with the adoption incentive funds.
- vii. Describe the capacity of the State child welfare agency and the State judicial system to implement and meet the requirement to file a petition to terminate the parental rights of the child's parents, if the exclusions do not apply, when a child has been in foster care for 15 of the most recent 22 months.
- e. The Federal regulation at 45 CFR 1357.32(f) (maintenance of effort) uses the States' 1992 fiscal year as the base year for determining maintenance of effort. For purposes of this PI, the base year will remain the same for all four service areas under title IV-B, subpart 2.
- f. The Virgin Islands, Guam, American Samoa, and the Northern Mariana Islands may submit consolidated grant applications in accordance with 45 CFR Part 97. These jurisdictions need not submit an application under this Program Instruction but may choose to have their title IV-B, subparts 1 and/or 2, allotments included in a consolidated grant and expend these funds under authority of another program that is available for consolidation. If the Insular Areas choose to consolidate their application for title IV-B, subparts 1 and/or 2, they must notify their ACF Regional Office in writing of their intent by June 30, 1999.

Insular Areas that choose to submit a CFSP may do so and submit the plan to their ACF Regional Office by June 30, 1999.

2. **Child Abuse Prevention and Treatment Act State Plan (States only)**

States must develop a five-year plan for improvement of their CPS system and consolidate it with their CFSP in order to be eligible to receive a grant under section 106. The CAPTA plan must include the following information:

- a. (a) The program areas selected for improvement from the nine areas in section 106 (a) (1) through (9) of CAPTA;
- b. (b) An outline of activities that the State intends to carry out with its Basic State Grant funds;
- c. (c) A description of the services and training to be provided under the Basic State Grant as required by section 106 (b) (2) (C) of CAPTA;
- d. (d) Include the assurances form (Attachment B) that has been completed and signed by the Chief Executive Officer of the State;
- e. (e) Notification regarding substantive changes, if any, in State law that could affect eligibility, including an explanation from the State Attorney General as to why the change would, or would not, affect eligibility. **Note:** States do not have to notify the ACF of statutory changes or submit them for review if they are not substantive and would not affect eligibility; and
- f. (f) Include a request for FY 2000 funds in the CFS-101 at Attachment D.

The Virgin Islands, Guam, American Samoa, and the Northern Mariana Islands may submit consolidated grant applications in accordance with 45 CFR Part 97. These jurisdictions need not submit an application under this Program Instruction but may choose to have their allotments included in a consolidated grant and expend these funds under authority of another program that is available for consolidation.

3. **Independent Living (States only)**

- a. (a) States are required to include in the CFSP a description of the independent living services to be provided in the upcoming fiscal year (FY 2000) (see 45 CFR 1357.16(a)(4)).
- b. (b) States may elect to consolidate the ILP application information into the CFSP and apply for FY 2000 ILP funds. If the ILP application is not incorporated into the June 30, 1999 CFSP, then the State must submit the ILP application for FY 2000 by January 31, 2000. States are encouraged to incorporate the ILP application with the CFSP in order to receive their ILP funding at the start of the fiscal year. The requirements for ILP funding as outlined in ACYF-PI-93-16, December 10, 1993 (Attachment F) must be included in the CFSP if a State chooses to consolidate.
- c. (c) ILP Program Reports must be submitted no later than January 1, 2000 for FY 1999.

SUBMITTALS: The originals of the Final Report, the CFSP, the CFS-101, and the signed Assurances and Certifications included under Attachments A - C must be submitted by June 30, 1999, to the ACF Federal Regional Office.

States and Indian Tribes may submit the above documents as a paper copy or submit the documents on a 3.5 diskette to the Regional Office. Please specify what format the files are in (i.e., Word, WordPerfect, Excel, etc.). This is optional and States and Indian Tribes are not required to submit a diskette.

The Regional Office is to submit the original copy of the CFS-101 (signed and dated) to the following address:

Division of Formula, Entitlement and Block Grants
Office of Administration
Administration for Children and Families
370 L'Enfant Promenade, S.W.
Washington, D.C. 20447

Fiscal Reports

Title IV-B, subparts 1 and 2

States and Indian Tribes are required to submit fiscal reports at the end of each 12 months of the grant period. Fiscal reports covering the first 12 months of a budget period are interim reports and the report covering the entire grant period is the final report. The first report is due 30 days after the end of each 12 months budget period. The final report is due 90 days after the end of the fiscal year succeeding the fiscal year of the grant award (December 31). Funds under title IV-B must be expended by September 30 of the fiscal year following the fiscal year in which the funds were awarded. Expenditures under title IV-B are to be reported by the State or Indian Tribe using a Standard Form 269, Financial Status Report, and submitted directly to the appropriate Regional HUB Director or Administrator.

CAPTA

States are required to submit fiscal reports at the end of each 12 months of the grant period. Fiscal reports covering the first 12 months of a budget period are interim reports and the report covering the entire grant period is the final report. The first report is due 30 days after the end of each 12 months budget period. The final report is due 90 days after the end of the fiscal year succeeding the fiscal year of the grant award (December 31). Funds under CAPTA must be expended within five years from the date of the award. Expenditures under CAPTA are to be reported by the State using a Standard Form 269, Financial Status Report, and submitted directly to the appropriate Regional HUB Director or Administrator.

ILP

Expenditures under the ILP are to be reported by States on a Standard Form 269 (SF-269), Financial Status Report. To minimize the burden, SF-269s are required on a semi-annual basis, except for the first SF-269 of the two-year expenditure period which will be due at twelve months. Reports will be due 30 days after each reporting period. The final report will be due 90 days after the end of the grant year. The first report covering the period October 1 through

September 30 of the first year will be due 30 days after the end of the expenditure period, or October 31. The second report covering the period October 1 through March 31 will be due 30 days after the end of the expenditure period, or April 30. The final report covering the period April 1 through September 30 of the second year will be due 90 days after the end of the expenditure period, or December 31. The Standard Form 269 should be submitted directly to the appropriate Regional HUB Director or Administrator. (For additional information, see ACYF-PI-93-16.)

PAPERWORK REDUCTION ACT: Under the Paperwork Reduction Act of 1995 (Public Law 104-13), an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number.

Public reporting burden for the APSR and the CFS-101 is estimated to average 125 hours per response. The public reporting burden for the CFSP is estimated to average 300 hours.

INQUIRIES TO: ACF Regional Offices
Patricia Montoya
Deputy Commissioner
Administration on Children, Youth and Families

ATTACHMENTS

[Attachment A-](#) Title IV-B Child And Family Services Plan: Assurances
[Attachment B-](#) State Chief Executive Officer's Assurance Statement For The Child Abuse And Neglect State Plan
[Attachment C-](#) Certifications (**Note:** This link will open up another window)

Attachment D: CFS 101

Part I

[CFS-101 Instructions](#)

[CFS-101 Part I: Instructions](#)

[Part I, Annual Budget Request](#)

Part II

[Part II, Instructions](#)

[Part II, Annual Summary of Child and Family Services](#)

Title IV-B, Sub-part 1

[Child Welfare Services](#)

[FY 1999 Child Welfare Services Funds for Indian Tribes](#)

Title IV-B, Sub-part 2 -- Promoting Safe and Stable Families:

[FY 2000 Estimated Allotment at \\$295,000,000](#)

[Estimated FY 2000 Funds for Indian Tribes](#)

[FY 1999 Funds for States](#)

[FY 1999 Funds for Eligible Indian Tribes](#)

[Independent Living Program](#)

[CAPTA](#)

[Attachment E:](#) ACYF-PI-93-16, December 10, 1993

[Attachment F:](#) ACYF-PI-CB-98-05, April 28, 1998

[Attachment G:](#) ACF Regional Administrators

Attachment A

Title Iv-B Child And Family Services Plan: Assurances

The assurances listed below are in 45 CFR 1357.15(c) and title IV-B sections 422(b)(12) and 432(a)(9). These assurances will remain in effect during the period of the five-year CFSP.

1. The State or Indian Tribe must assure that it will participate in any evaluations the Secretary of HHS may require.
2. The State or Indian Tribe must assure that it will administer the CFSP in accordance with methods determined by the Secretary to be proper and efficient.
3. The State or Indian Tribe must assure that it has a plan for the training and use of paid paraprofessional staff, with particular emphasis on the full-time or part-time employment of low-income persons, as community service aides; and a plan for the use of nonpaid or partially paid volunteers in providing services and in assisting any advisory committees established by the State or Tribe.
4. The State or Indian Tribe must assure that standards and requirements imposed with respect to child care under title XX shall apply with respect to day care services, if provided under the CFSP, except insofar as eligibility for such services is involved.
5. The State or Tribe must assure that, in administering and conducting service programs under this plan, the safety of the children to be served shall be of paramount concern.
6. The State or Tribe must assure that plans will be developed for the effective use of cross-jurisdictional resources to facilitate timely adoptive or permanent placements for waiting children.

Effective Date and State Officials Signature

I hereby certify that the State/Indian Tribe complies with the requirements of the above assurances.

Certified by: _____

Title: _____

Agency: _____

Dated: _____

Reviewed by: _____

(ACF Regional Representative)

Dated: _____

Attachment B

State Chief Executive Officer's Assurance Statement For The Child Abuse And Neglect State Plan

As Chief Executive Officer of the State of _____, I certify that the State:

1. has in effect and is enforcing a State law, or has in effect and is operating a Statewide program, relating to child abuse and neglect in the following areas; or
2. will implement such changes as necessary to assure that such laws or programs are in place as soon as possible, but no later than June 30, 1999, or the date mandated by CAPTA as noted on the assurance.

These areas are **(please check each item, as appropriate):**

1. Provisions or procedures for reporting known or suspected instances of child abuse and neglect (Section 106(b)(2)(A)(i));

This requirement is currently in place: _____

2. Procedures for the immediate screening, safety assessment, and prompt investigation of such reports (Section 106() (2) (A) (II));

This requirement is currently in place: _____

3. Procedures for immediate steps to be taken to ensure and protect the safety of the abused or neglected child, and of any other child under the same care who may also be in danger of abuse or neglect; and ensuring their placement in a safe environment (Section 106(b)(2)(A)(iii));

This requirement is currently in place: _____

4. Provisions for immunity from prosecution under State and local laws and regulations for individuals making good faith reports of suspected or known instances of child abuse or neglect (Section 106(b)(2)(A)(iv));

This requirement is currently in place: _____

5. Methods to preserve the confidentiality of all records in order to protect the rights of the child and of the child's parents or guardians, including requirements ensuring that reports and records made and maintained pursuant to the purposes of CAPTA shall only be made available to--
 - a. individuals who are the subject of the report (Section 106(b)(2)(v)(I));
 - b. Federal, State, or local government entities, or any agent of such entities, having a need for such information in order to carry out its responsibilities under law to protect children (Section 106(b)(2)(v)(II));
 - c. child abuse citizen review panels (Section 106(b)(2)(v)(III));
 - d. child fatality review panels (Section 106(b)(2)(v)(IV));
 - e. a grand jury or court, upon a finding that information in the record is necessary for the determination of an issue before the court or grand jury (Section 106(b)(2)(v)(V)); and
 - f. other entities or classes of individuals statutorily authorized by the State to receive such information pursuant to a legitimate State purpose (Section 106(b)(2)(v)(VI));

This requirement is currently in place: _____

6. Provisions which allow for public disclosure of the findings or information about the case of child abuse or neglect which has resulted in a child fatality or near fatality (Section 106(b)(2)(vi));

This requirement is currently in place: _____

7. The cooperation of State law enforcement officials, court of competent jurisdiction, and appropriate State agencies providing human services in the investigation, assessment, prosecution, and treatment of child abuse or neglect (Section 106(b)(2)(vii));

This requirement is currently in place: _____

8. Provisions requiring, and procedures in place that facilitate the prompt expungement of any records that are accessible to the general public or are used for purposes of

employment or other background checks in cases determined to be unsubstantiated or false, except that nothing in this section shall prevent State child protective services agencies from keeping information on unsubstantiated reports in their casework files to assist in future risk and safety assessment (Section 106(b)(2)(viii));

This requirement is currently in place: _____

9. Provisions and procedures requiring that in every case involving an abused or neglected child which results in a judicial proceeding, a guardian ad litem, who may be an attorney or a court appointed special advocate (or both), shall be appointed to represent the child in such proceedings--
- a. to obtain first-hand, a clear understanding of the situation and needs of the child (Section 106(b)(2)(ix)(I)); and
 - b. to make recommendations to the court concerning the best interests of the child (Section 106(b)(2)(ix)(II));

This requirement is currently in place: _____

10. The establishment of citizen review panels in accordance with Subsection 106(c) (Section 106(b)(2)(x));

This requirement is currently in place: _____

11. Provisions, procedures, and mechanisms to be effective not later than two years after the date of the enactment of this section (10/3/98)--
- a. for the expedited termination of parental rights in the case of any infant determined to be abandoned under State law (Section 106(b)(2)(xi)(I)); and
 - b. by which individuals who disagree with an official finding of abuse or neglect can appeal such finding (Section 106(b)(2)(xi)(II));

This requirement is currently in place: _____

12. Provisions, procedures, and mechanisms to be effective not later than two years after the date of the enactment of this section (by 10/3/98) that assure that the State does not require reunification of a surviving child with a parent who has been found by a court of competent jurisdiction--
- a. to have committed a murder (which would have been an offense under section 1111(a) of title 18, United States Code, if the offense had occurred in the special maritime or territorial jurisdiction of the United States) of another child or such parent (Section 106(b)(2)(xii)(I));
 - b. to have committed voluntary manslaughter (which would have been an offense under section 1112(a) of title 18, United States Code, if the offense had occurred in the special maritime or territorial jurisdiction of the United States) or another child or such parent (Section 106(b)(2)(xii)(II));
 - c. to have aided or abetted, attempted, conspired, or solicited to commit such murder or voluntary manslaughter (Section 106(b)(2)(xii)(III)); or

- d. to have committed a felony assault that results in the serious bodily injury to the surviving child or another child of such parent (Section 106(b)(2)(xii)(IV));

This requirement is currently in place: _____

- 13. an assurance that, upon the implementation by the State of the provisions, procedures, and mechanisms under number 12 above, conviction of any one of the felonies listed in number 12 above constitute grounds under State law for the termination of parental rights of the convicted parent as to the surviving children (although case-by-case determinations of whether or not to seek termination of parental rights shall be within the sole discretion of the State) (Section 106(b)(2)(xiii)); and

This requirement is currently in place: _____

- 14. An assurance that the State has in place procedures for responding to the reporting of medical neglect (including instances of withholding of medically indicated treatment from disabled infants with life-threatening conditions), procedures or programs, or both (within the State child protective services system), to provide for--
 - a. coordination and consultation with individuals designated by and within appropriate health care facilities (Section 106(b)(2)(B)(i));
 - b. prompt notification by individuals designated by and within appropriate health-care facilities of cases of suspected medical neglect (including instances of withholding of medically indicated treatment from disabled infants with life-threatening conditions) (Section 106(b)(2)(B)(ii)); and
 - c. authority, under State law, for the State child protective services system to pursue any legal remedies, including the authority to initiate legal proceedings in a court of competent jurisdiction, as may be necessary to prevent the withholding of medically indicated treatment from disabled infants with life-threatening conditions (Section 106(b)(2)(B)(iii));

This requirement is currently in place: _____

- 15. An assurance or certification that the programs or projects relating to child abuse and neglect carried out under part B of title IV of the Social Security Act comply with the requirements set forth in paragraph (b)(1) of section 106 and this paragraph (Section 106(b)(2)(D)); and

This requirement is currently in place: _____

- 16. An assurance that the State has in place authority under State law to permit the child protective services system of the State to pursue any legal remedies, including the authority to initiate legal proceedings in a court of competent jurisdiction, to provide medical care or treatment for a child when such care or treatment is necessary to prevent or remedy serious harm to the child, or to prevent the withholding of medically indicated treatments from disabled infants with life-threatening conditions (Section 113).

This requirement is currently in place: _____

Signature of Chief Executive Officer: _____

Date: _____

Attachment D: CFS-101 Instructions

State agencies administering title IV-B of the Social Security Act (the Act), and the Child Abuse Prevention and Treatment Act (CAPTA) are required to submit an annual budget request and summary of services (CFS-101). Indian Tribal Organizations which meet the requirements for direct payments and administer the programs are also required to submit this information annually.

This information collection package contains two forms: (1) Part I: Annual Budget Request for Title IV-B, Subpart 1, Child Welfare Services, subpart 2, Promoting Safe and Stable Families, Child Abuse and Neglect Basic State Grant (CAPTA), and the Independent Living Program (optional); and (2) Part II: Annual Summary of Child and Family Services. The instructions and forms for the CFS-101 are also available on the Children's Bureau's web page. The address is: <http://www.acf.hhs.gov/programs/cb>

General Instructions

States and Indian Tribes must submit the CFS-101 no later than June 30 of the year prior to the fiscal year in which services will be provided (e.g., for FY 2000 funds the forms must be submitted by June 30, 1999).

Indian Tribes that apply for title IV-B, subpart 1 funds only must complete only the appropriate sections of the CFS-101, Part I and II forms and submit the forms by June 30 of the year prior to the fiscal year in which services will be provided (e.g., for FY 2000 funds the forms must be submitted by June 30, 1999).

Indian Tribes that do not apply for title IV-B, subpart 1 funds, but are eligible for title IV-B, subpart 2 funds must complete only the appropriate sections of the CFS-101, Part I and II forms and submit the forms by June 30 of the year prior to the fiscal year in which services will be provided (e.g., for FY 2000 funds the forms must be submitted by June 30, 1999).

An original and two copies of the CFS-101 must be submitted to the Administration for Children and Families (ACF) Federal Regional Office. The Regional Office is to sign, and submit, the original copy of the CFS-101 (signed and dated), to the following address:

Administration on Children, Youth and Families
Office of Management Services
330 C Street, S.W., Room 1427
Washington, D.C. 20447

PAPERWORK REDUCTION ACT OF 1995

This information collection is conducted in accordance with 45 CFR 1357 of the Child and Family Services. Information received from this collection provides estimates of States' estimated expenditure for title IV-B, subparts 1 and 2, CAPTA funds, and Independent Living Program funds (optional).

Public reporting burden for this collection of information is estimated to average 5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

The responses to this collection are mandatory in accordance with 45 CFR 1357.

This information is not considered confidential, therefore, no additional safeguards are considered necessary beyond that customarily applied to routine government information.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

CFS 101, Part I, Annual Budget Request

CFS-101, PART I: ANNUAL BUDGET REQUEST FOR TITLE IV-B, SUBPARTS 1 AND 2, CAPTA AND INDEPENDENT LIVING PROGRAM (Complete separate forms for each fiscal year)

1. Enter the name of the State or Indian Tribal Organization (ITO).
2. Indicate the Employer Identification Number (EIN).
3. Enter the address of the State or ITO Agency.
4. Indicate if this is a new or revised budget request.
5. Estimated title IV-B, subpart 1 funds:
 - a. Specify the total estimated amount of combined Federal and State or ITO funds that the State or ITO expects to spend during the fiscal year on IV-B, subpart 1 activities.
 - b. Requested Federal share of title IV-B, subpart 1 funds. Enter 75% of the amount on line 5(a).
 - c. Estimated State or ITO match. Enter 25% of the amount on line 5(a).
6. Estimated title IV-B, subpart 2 funds.

- a. Specify the total estimated amount of combined Federal and State or ITO funds to be spent during the fiscal year on IV-B, subpart 2 for Family Preservation Services.
 - b. Specify the total estimated amount of combined Federal and State or ITO funds to be spent during the fiscal year on IV-B, subpart 2 for Family Support Services.
 - c. Specify the total estimated amount of combined Federal and State or ITO funds to be spent during the fiscal year on IV-B, subpart 2 for Time-Limited Family Reunification Services.
 - d. Specify the total estimated amount of combined Federal and State or ITO funds to be spent during the fiscal year on IV-B, subpart 2 for Adoption Promotion and Support Services.
 - e. Specify the total estimated amount of combined Federal and State or ITO funds to be spent during the fiscal year on IV-B, subpart 2 for other service related activities (e.g. planning).
 - f. Specify the total estimated amount of combined Federal and State or ITO funds to be spent on Administration.
 - g. Enter the total of lines 6(a) + 6(b) + 6(c) + 6(d) + 6(e) + 6(f).
 - h. Requested Federal share of title IV-B, subpart 2 funds. Enter 75% of the amount on line 6(g).
 - i. Estimated State or ITO match. Enter 25% of the amount on line 6(g).
7. Indian Tribal Organizations Applying for title IV-B, subpart 2 funds.

Under title IV-B, subpart 2, when all the eligible ITO's do not claim their full allotment, funds become available for reallocation to other ITO's. For an ITO to claim a portion of these funds we must have on file a request from the tribe and an assurance of sufficient matching funds. If additional funds are requested indicate: the total amount, the Federal share (75%) and the ITO match (25%), in the spaces provided.

8. Child Abuse Prevention and Treatment Act (CAPTA), Child Abuse and Neglect Basic State Grants Only.

Estimated CAPTA Basic State Grant allocation, as provided in the annual announcement on availability of funds. Supplemental funds may be available for distribution if there are States that are not awarded grant funds or there are unobligated funds available for redistribution. No matching is required for this grant.

Note: Pooled funding among the programs is not allowed, since separate funding streams and accountability are still required by statute. While the information on the programs may be consolidated into one Child and Family Services Plan (CFSP), eligibility and funding for the individual programs will be kept separate and funding will not be delayed for one program due to potential eligibility issues in the other program.

9. **For FY 2001 only, ignore this section.**

States may consolidate the Independent Living Program (ILP) application into the title IV-B CFSP to meet the requirement in 45 CFR 1357.16(a)(4). If States are including the

ILP application as part of the Annual Progress Services Report, then they may request the ILP funds on this form.

9(a): Provide the total estimate of the State's share of the \$45 million. No State match is required.

9(b) and (c): Federal funds requested must be matched dollar for dollar.

9(c): Some States may not use some or all of the title IV-E ILP funds allotted to them for a particular fiscal year because they do not choose to apply for funds, do not choose to match some or all of the additional amounts available, or because their applications do not meet all the requirements of section 477 of the Act or of ACYF-PI-93-16. These funds, as well as any of the funds not requested for State matching, will then be available for reallocation to other States under the provisions set forth under section 477(e)(2). If the State is requesting reallocated funds indicate the Federal share and the State match.

Note: Pooled funding among the programs is not allowed, since separate funding streams and accountability are still required by statute. While the information on the programs may be consolidated into one Child and Family Services Plan (CFSP), eligibility and funding for the individual programs will be kept separate and funding **will not be delayed** for one program due to potential eligibility issues in the other program.

10. **Certification:** This report must be signed and dated in the spaces provided. The signature and title of the director of the State agency, or Indian Tribal Organization, with authority to administer or supervise the administration of title IV-B, subparts 1 and 2 programs, and for States only the CAPTA and ILP programs, must be included.

The tentative allocations are based on the premise that all States will apply for and receive the funds available to them. In the event that not all States apply for or receive their tentative allocations, those funds will be redistributed among eligible States, where permitted by statute. States receiving additional funds as a result of such a redistribution should submit information on the proposed use of such additional funds to the appropriate Regional Office of the Administration for Children and Families.

Obligation and Expenditure of CAPTA Funds. States have five-years from the date of the grant award to obligate and liquidate their State Grant funds. Under the CAPTA Amendments of 1996, there is no longer a penalty for States that do not obligate funds within 18 months from the date of the grant award beginning with the FY 1997 awards.

**CFS-101, PART I: ANNUAL BUDGET REQUEST FOR TITLE IV-B,
SUBPART 1; 2 FUNDS, CAPTA, AND ILP**

FISCAL YEAR _____ OCTOBER 1, _____ through SEPTEMBER 30, _____

1. State or ITO	2. EIN:
3. Address:	4. Submission: [] New [] Revision
5. Estimated title IV-B, Subpart 1 Funds	
a) Total Estimate	
b) Federal Share [75% of 5(a)]	
c) State/ITO Match [25% of 5(a)]	
6. Estimated title IV-B, Subpart 2 Funds	
a) Total Family Preservation Services	
b) Total Family Support Services	
c) Total Time-Limited Family Reunification Services	
d) Total Adoption Promotion and Support Services	
e) Total for Other Service Related Activities (e.g. planning)	
f) Total Administration	
g) Total Estimate [6(a)+6(b)+6(c) +6(d) + 6(e) + 6(f)]	
h) Federal Share [75% of 6(g)]	
i) State/ITO Match [25% of 6(g)]	
7. Indian tribal Organizations Only (Title IV-B, Subpart 2 Only) If additional funds become available to ITOs, the ITO may apply in advance for and match these funds. Specify the amount of additional funds the ITO will apply for and match.	

Total Amount \$ _____ Federal Share(75%) \$ _____ ITO Match(25%) \$ _____		
8. Child Abuse Prevention and treatment Act (CAPTA), Child Abuse and Neglect Basic State Grant Only. Please include any additional funds due as a result of reallocations that may occur. Estimated BSG Amount \$ _____, plus additional allocation, as available.		
9. Estimated title IV-E, Independent Living Funds (ILP)	FEDERAL	STATE
a) Total Estimate State's share of \$45 million		
b) Additional funds at 50% match		
c) Maximum amount of reallocated funds requested		
10. Certification by State Agency The State agency or Indian tribe submits the above estimate and request of funds under title IV-B, subpart 1 and/or 2, of the Social Security Act, for States only CAPTA BSG and the ILP, and agrees that the estimated expenditures will be made in accordance with the Child and Family Services Plan, which has been jointly developed with the ACF Regional Office and has been determined to meet all the requirements of the Act, for the Fiscal Year ending September 30.		
Signature and Title of State/tribal Agency Official	Signature and Title of Regional Office Official	
Date	Date	

CFS-101, PART II: ANNUAL SUMMARY OF CHILD AND FAMILY SERVICES

(Complete separate forms for each fiscal year)

This form summarizes the State Agency's and eligible Indian Tribal Organizations' estimated expenditures on Child and Family Services programs for the next year. This information is an integral part of the State Child and Family Services Plan and should be discussed by the ACF Regional Office and State Agency Representatives. States should list estimated expenditures in the category that best fits their programs.

Services/Activities

For each of the services/activities listed, indicate the estimated expenditures by program, the estimated number of clients to be served, the population to be served and the geographic area to be served in the appropriate columns.

1. Prevention and Support Services (Family Support):

Community-based services which promote the well-being of children and families and are designed to increase the strength and stability of families (including adoptive, foster, and extended families), to increase parents' confidence and competence in their parenting abilities, to afford children a stable and supportive family environment, and to enhance child development. These services may include respite care for parents and other caregivers; early developmental screening of children to assess the needs of these children and assistance in obtaining specific services to meet their needs; mentoring, tutoring, and health education for youth; a range of center-based activities (informal interactions in drop-in centers, parent support groups); services designed to increase parenting skills; and, counseling and home visiting activities.

2. Protective Services:

Services designed to prevent or remedy the abuse, neglect, or exploitation of children. Services include investigation and emergency medical services, emergency shelter, legal action, developing case plans, counseling, assessment/evaluation of family circumstances, arranging alternative living arrangements, preparing for foster placement, if needed, and case management and referral to service providers.

3. Crisis Intervention (Family Preservation):

Services for children and families designed to help families (including adoptive and extended families) at risk or in crisis.

a. Placement Prevention:

Services to prevent family disruption and unnecessary removal of children from their homes (as appropriate). These services may include intensive family preservation, post-adoptive support services, case management, counseling, day care, respite services, homemaker services, services designed to increase parenting skills, family budgeting, coping with stress, health, and nutrition.

b. Reunification Services:

Services to help children, where appropriate, return to families from which they have been removed, or be placed for adoption or legal guardianship. These services may include day care services, homemaker or caretaker services, family or individual counseling for parent(s) and child, follow-up care to families to whom a child has been returned after placement and other reunification services the State identifies as necessary.

4. Time-Limited Family Reunification Services:

Services and activities that are provided to a child that is removed from the child's home and placed in a foster family home or a child care institution, and to the parents or primary caregiver of such a child, in order to facilitate the reunification of the child safely and appropriately within a timely fashion, but only during the 15-month period that begins on the date that the child, pursuant to section 475(5)(F), is considered to have entered foster care. The services and activities are the following:

1. Individual, group, and family counseling.
 2. Inpatient, residential, or outpatient substance abuse treatment services.
 3. Mental health services.
 4. Assistance to address domestic violence.
 5. Services designed to provide temporary child care and therapeutic services for families, including crisis nurseries.
6. Transportation to or from any of the services and activities described above.

5. Adoption Promotion and Support Services:

Services and activities designed to encourage more adoptions out of the foster care system, when adoptions promote the best interests of children, including such activities as pre-and post-adoptive services and activities designed to expedite the adoption process and support adoptive families.

6. Foster Care Maintenance:

Foster Family and Relative Foster Care:

Payments to cover the cost of, and cost of providing, food, clothing, shelter, daily supervision, school supplies, a child's personal incidentals, liability insurance with respect to a child, and reasonable travel to the child's home for visitation.

- a. Group/Institutional Care:

This includes the reasonable costs of administration and operation of institutional/group home care that are required to provide food, clothing, shelter, daily supervision, school supplies, a child's personal incidentals, liability insurance with respect to a child, and reasonable travel to the child's home for visitation.

7. Adoption subsidy payments:

Funds provided to adoptive parents on a recurring and periodic basis to assist in the support of special needs children.

8. Administration and Management:

Includes costs of supervisors and staff whose activities support child welfare services and which cannot be allocated under other services/activities.

Also, includes costs of administrative services for family preservation, family support, time-limited family reunification and adoption promotion and support which are not more than ten percent of Federal expenditures under title IV-B, subpart 2 of the plan for the fiscal year. Allowable costs may include, but are not limited to, procurement, payroll processing, personnel functions, management, maintenance and operation of space and property, data processing and computer services, accounting, budgeting, auditing, and indirect costs allocable in accordance with the agency's approved cost allocation plan (See 45 CFR 1357.32(h)).

9. Staff Training:

Includes the cost of short and long-term training to increase staff's ability to provide assistance and support to children and families.

10. Foster Parent Training and Recruitment:

Includes the cost of short-term training to increase foster parent's ability to provide assistance and support to foster and adoptive children, and those costs associated with/resulting from the recruitment of potential foster parents.

11. Adoptive Parent Training and Recruitment:

Includes the cost of short-term training to increase adoptive parent's ability to provide assistance and support to foster and adoptive children, and those costs associated with/from the recruitment of potential adoptive parents.

12. Child Care Related to Employment/Training:

Includes day care purchased for the purpose of supporting the employment of one or both of the parents.

13. Total:

The total amount of funds estimated for the year (equal to the sum of lines 1 through 12) for each column.

Estimated Expenditures

0. Federal Funds (Columns a - i)

Indicate for each service/activity the amount to be expended from the Federal program indicated in columns (a) through (i). If other Federal funds will be used by the State Agency, indicate the estimated expenditures in column (i). Examples of other Federal programs include, but are not limited to, the Child Care Development Block Grant and the Maternal and Child Health Block Grant. Indicate the name of the Federal program in the box along with the estimated expenditure amount.

1. State, Local and Donated Funds (column j)

Indicate the estimated amount of State, local and donated funds to be expended, even if they are not used to match Federal funds.

2. Estimated Number of Clients to be Served (column k)

Estimate, as accurately as possible, the number of clients to be served by service/activity with the total estimated funding indicated. A client may be an individual or a family; check the appropriate box.

3. Population to be Served (column l)

Indicate the population that has been targeted for the designated services. Targeting may include a range of vulnerable populations - children, youth and/or families.

4. Geographic Area to be Served (column m)

Indicate the number and type of areas identified within the State where services are to be provided for each program. Areas may include specific regions, counties, cities, Tribes, communities, census tracts, or neighborhoods. For example, if the State is operating family preservation programs in six counties, indicate by noting "6 counties"; if the State is operating 12 community-based family support programs, indicate by noting "12 communities".

CARE RELATED TO EMPLOYMENT/ trAINING															
13) TOTAL															

Child Welfare Services

Name of State	1998 Actual	1999 Appropriation	2000 Request
Alabama	\$5,243,821	\$5,200,848	\$5,253,371
Alaska	\$283,538	\$787,302	\$777,616
Arizona	\$4,144,416	\$5,755,068	\$5,300,533
Arkansas	\$3,348,637	\$3,214,286	\$3,354,736
California	\$33,874,398	\$34,091,313	\$33,954,776
Colorado	\$3,948,694	\$4,010,269	\$3,966,634
Connecticut	\$2,075,044	\$2,051,301	\$2,078,823
Delaware	\$687,857	\$689,333	\$689,110
District of Columbia	\$332,749	\$326,751	\$333,355
Florida	\$13,805,632	\$13,934,976	\$13,830,775
Georgia	\$8,478,694	\$8,588,455	\$8,494,135
Hawaii	\$1,207,405	\$1,189,487	\$1,209,604
Idaho	\$1,742,647	\$1,760,847	\$1,756,293
Illinois	\$11,633,243	\$11,634,478	\$11,654,430
Indiana	\$6,612,735	\$6,578,309	\$6,624,778
Iowa	\$3,309,568	\$3,319,490	\$3,315,595
Kansas	\$3,001,289	\$2,997,477	\$3,006,755

Kentucky	\$4,806,288	\$4,754,262	\$4,815,041
Louisiana	\$6,014,824	\$5,827,077	\$6,025,778
Maine	\$1,403,160	\$1,428,735	\$1,445,502
Maryland	\$4,453,478	\$4,387,968	\$4,461,589
Massachusetts	\$4,622,915	\$4,683,476	\$4,632,153
Michigan	\$10,058,058	\$10,133,624	\$10,136,048
Minnesota	\$4,897,901	\$4,916,764	\$4,922,024
Mississippi	\$4,023,008	\$4,020,985	\$4,058,280
Missouri	\$6,055,393	\$6,080,191	\$6,066,421
Montana	\$951,270	\$1,184,021	\$1,202,836
Nebraska	\$1,991,497	\$1,995,602	\$1,995,124
Nevada	\$1,625,436	\$1,711,534	\$1,628,396
New Hampshire	\$1,137,162	\$1,135,521	\$1,139,233
New Jersey	\$5,678,686	\$5,543,587	\$5,689,028
New Mexico	\$2,307,470	\$2,511,496	\$2,534,542
New York	\$14,817,225	\$14,773,142	\$14,844,210
North Carolina	\$8,152,850	\$8,295,709	\$8,194,172
North Dakota	\$757,890	\$874,495	\$894,519
Ohio	\$11,996,338	\$11,906,458	\$12,018,186
Oklahoma	\$3,146,701	\$4,297,150	\$4,332,905
Oregon	\$3,535,208	\$3,581,852	\$3,588,798
Pennsylvania	\$11,514,555	\$11,353,741	\$11,535,525
Rhode Island	\$982,436	\$986,505	\$995,156
South Carolina	\$4,612,645	\$4,668,577	\$4,621,046

South Dakota	\$827,048	\$1,001,398	\$1,030,279
Tennessee	\$5,958,844	\$5,948,617	\$5,969,696
Texas	\$23,889,215	\$24,274,655	\$23,932,722
Utah	\$3,454,639	\$3,520,638	\$3,480,997
Vermont	\$710,178	\$701,735	\$711,471
Virginia	\$6,444,240	\$6,462,643	\$6,455,976
Washington	\$5,602,841	\$5,726,840	\$5,689,116
West Virginia	\$2,242,808	\$2,183,917	\$2,246,893
Wisconsin	\$5,741,692	\$5,731,428	\$5,752,149
Wyoming	\$624,403	\$662,404	\$671,805
American Samoa	\$186,707	\$185,952	\$187,047
Guam	\$337,617	\$335,724	\$338,232
Northern Marianas	\$138,026	\$137,639	\$138,277
Puerto Rico	\$7,722,490	\$7,664,863	\$7,736,554
Virgin Islands	\$269,464	\$268,085	\$269,955
Tribal Grants	\$4,035,222		
Discretionary	\$0	\$0	\$0
Total Budget Authority:	\$291,458,195	\$291,989,000	\$291,989,000

FY 1999 Child Welfare Services Funds for Indian Tribes

State/Tribe	Population under age 21	Unknown	Allotment
Alabama			
Poarch Creek	64	12.33	789
Alaska			

Akhiok	35	10.74	376
Akiachak Native Community	221	10.74	2,374
Akiak	137	10.74	1,471
Akutan	28	10.74	301
Alakanuk	269	10.74	2,889
Alatna	13	10.74	140
Aleknagik	66	10.74	709
Aleutian/Pribilof RC	874	10.74	9,387
Allakaket	52	10.74	558

FY 2000 Estimated Allotment at 295,000,000

State	Allotment
Alabama	\$5,385,067
Alaska	\$482,245
Arizona	\$5,143,945
Arkansas	\$2,598,764
California	\$40,669,311
Colorado	\$2,545,181
Connecticut	\$2,304,059

Delaware	\$562,619
Dist of Col	\$991,281
Florida	\$14,119,057
Georgia	\$8,144,579
Hawaii	\$1,098,447
Idaho	\$803,741
Illinois	\$10,823,717
Indiana	\$4,286,621
Iowa	\$1,768,231
Kansas	\$1,795,022
Kentucky	\$4,313,412
Louisiana	\$7,421,212
Maine	\$1,044,864
Maryland	\$3,965,124
Massachusetts	\$4,286,621
Michigan	\$9,644,896
Minnesota	\$3,161,383
Mississippi	\$4,661,700
Missouri	\$5,572,607
Montana	\$696,576
Nebraska	\$1,098,447
Nevada	\$991,281
New Hampshire	\$535,828
New Jersey	\$5,545,815

New Mexico	\$2,438,015
New York	\$19,450,541
North Carolina	\$5,947,686
North Dakota	\$375,079
Ohio	\$10,743,343
Oklahoma	\$3,482,879
Oregon	\$2,625,555
Pennsylvania	\$10,314,681
Rhode Island	\$964,490
South Carolina	\$3,831,167
South Dakota	\$509,036
Tennessee	\$6,108,434
Texas	\$26,711,004
Utah	\$1,232,403
Vermont	\$562,619
Virginia	\$5,251,110
Washington	\$4,688,491
West Virginia	\$2,464,807
Wisconsin	\$3,402,505
Wyoming	\$348,288
American Samoa	\$179,536
Guam	\$321,021
No. Marianas	\$133,896
Puerto Rico	\$7,244,636

Virgin Islands	\$257,125
Totals	\$276,050,000

**Promoting Safe And Stable Families
Estimated FY 2000 Funds For Indian Tribes**

Indian Tribe and State Abbreviation	Estimated Funds
Navajo Tribe AZ-NM-UT	\$716,963
Cherokee Nation OK	\$291,412
Creek Nation OK	\$189,210
Choctaw Nation OK	\$126,785
Chickasaw Nation OK	\$95,567
Assoc. of Village Council Pres. Reg. Corp. AK	\$82,943
Cook Inlet NA RC AK	\$81,018
Oglala Sioux Tribe SD	\$60,858
Tlingit-Haida Central Council Reg. Corp. AK	\$51,787
White Mountain Apache Tribe AZ	\$50,415
Tanana Chiefs Conference Reg. Corp. AK	\$50,036
Rosebud Sioux Tribe SD	\$44,456
Gila River Indian Community AZ	\$43,934
Tohono O'odham Nation AZ	\$39,685
San Carlos Apache Tribe AZ	\$35,825
Turtle Mountain Band of Chippewa ND-SD	\$34,781
Blackfeet Tribe MT	\$34,340
Cheyenne-Arapaho Tribes OK	\$32,733
Zuni Tribe NM	\$31,914

Confederated Yakima Indian Nation WA	\$31,115
Hopi Tribe AZ	\$30,869
Kawerak Reg. Corp. AK	\$29,067
Shoshone and Arapahoe Tribes of Wind River WY	\$28,852
Assiniboine and Sioux Tribes of Fort Peck MT	\$28,730
Cheyenne River Sioux Tribe SD	\$27,419
Kiowa Indian Tribe OK	\$27,364
Maunelluk Assoc. Reg. Corp. AK	\$27,020
Standing Rock Sioux Tribe ND-SD	\$26,579
Osage Tribe OK	\$26,395
Comanche Indian Tribe OK	\$24,389
Confederated Salish and Kootenai Tribes MT	\$24,040
Crow Tribe MT	\$23,590
Eastern Band of Cherokee Indians NC	\$23,426
Citizens Band Potawatomi Tribe OK	\$21,990
Sac and Fox Tribe OK	\$21,399
Bristol Bay Nat. Assoc. Reg. Corp. AK	\$21,214
Artic Slope Nat. Assoc. Reg. Corp. AK	\$21,112
Mississippi Band of Choctaw Indians MS	\$20,877
Northern Cheyenne Tribe MT	\$18,829
Red Lake Band of Chippewa Indians MN	\$18,655
Salt River Indian Community AZ	\$17,672
Confederated Tribes of Colville WA	\$17,529
Seminole Nation OK	\$17,344

Leech Lake Band Chippewa Tribe MN	\$17,170
Menominee Indian Tribe WI	\$16,116
Spirit Lake Tribe (Devils Lake Sioux) ND	\$15,092
Three Affiliated Tribes of Fort Berthold ND	\$14,948
Sisseton-Wahpeton Sioux Tribe of Lake Traverse ND-SD	\$14,877
Confederated Tribes of Warm Springs OR	\$14,826
Pueblo of Laguna NM	\$14,651
Shoshone-Bannock Tribes of Fort Hall ID	\$14,262
Ute Indian Tribe of Uintah and Ouray UT	\$14,027
Pueblo of Santo Domingo NM	\$13,607
Mescalero Apache Tribe NM	\$13,320
Pascua Yaqui Tribe AZ	\$13,095
White Earth Band of Chippewa MN	\$13,054
Jicarilla Apache Tribe NM	\$12,133
Fort Belknap Indian Community MT	\$11,744
Oneida Tribe (West) WI	\$11,549
Colorado River Indian Tribes AZ-CA	\$11,518
Pueblo of Acoma NM	\$11,416
Pueblo of Isleta NM	\$11,150
Yankton Sioux SD	\$10,884
Omaha	\$10,423

**Promoting Safe And Stable Families
Funds For States - Fiscal Year 1999**

Name of State	Allotment
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Alabama	\$4,998,474
Alaska	\$447,625
Arizona	\$4,774,662
Arkansas	\$2,412,199
California	\$37,749,671
Colorado	\$2,362,463
Connecticut	\$2,138,651
Delaware	\$522,229
Dist of Col	\$920,117
Florida	\$13,105,452
Georgia	\$7,559,881
Hawaii	\$1,019,589
Idaho	\$746,041
Illinois	\$10,046,684
Indiana	\$3,978,885
Iowa	\$1,641,290
Kansas	\$1,666,158
Kentucky	\$4,003,753
Louisiana	\$6,888,444
Maine	\$969,853
Maryland	\$3,680,469
Massachusetts	\$3,978,885
Michigan	\$8,952,491
Minnesota	\$2,934,428

Mississippi	\$4,327,037
Missouri	\$5,172,550
Montana	\$646,569
Nebraska	\$1,019,589
Nevada	\$920,117
New Hampshire	\$497,361
New Jersey	\$5,147,682
New Mexico	\$2,262,991
New York	\$18,054,190
North Carolina	\$5,520,703
North Dakota	\$348,152
Ohio	\$9,972,080
Oklahoma	\$3,232,844
Oregon	\$2,437,067
Pennsylvania	\$9,574,192
Rhode Island	\$895,249
South Carolina	\$3,556,128
South Dakota	\$472,493
Tennessee	\$5,669,911
Texas	\$24,793,426
Utah	\$1,143,929
Vermont	\$522,229
Virginia	\$4,874,134
Washington	\$4,351,905

West Virginia	\$2,287,859
Wisconsin	\$3,158,240
Wyoming	\$323,284
American Samoa	\$171,567
Guam	\$302,757
Northern Mariana	\$129,247
Puerto Rico	\$6,722,614
Virgin Islands	\$243,510
Totals	\$256,250,000

**Promoting Safe And Stable Families
Funds For Eligible Indian Tribes - Fiscal Year 1999**

Indian Tribe and State Abbreviation	Funds
Navajo Tribe AZ-NM-UT	\$670,720
Cherokee Nation OK	\$272,618
Creek Nation OK	\$177,008
Choctaw Nation OK	\$118,608
Chickasaw Nation OK	\$89,404
Assoc. of Village Council Pres. Reg. Corp. AK	\$77,594
Cook Inlet NA RC AK	\$75,793
Oglala Sioux Tribe SD	\$56,934
Tlingit-Haida Central Council Reg. Corp. AK	\$48,447
White Mountain Apache Tribe AZ	\$47,164
Tanana Chiefs Conference Reg. Corp. AK	\$46,809

Rosebud Sioux Tribe SD	\$41,589
Gila River Indian Community AZ	\$41,101
Tohono O'odham Nation AZ	\$37,126
San Carlos Apache Tribe AZ	\$33,515
Turtle Mountain Band of Chippewa ND-SD	\$32,538
Blackfeet Tribe MT	\$32,126
Cheyenne-Arapaho Tribes OK	\$30,622
Zuni Tribe NM	\$29,856
Confederated Yakima Indian Nation WA	\$29,109
Hopi Tribe AZ	\$28,879
Kawerak Reg. Corp. AK	\$27,193
Shoshone and Arapahoe Tribes of Wind River WY	\$26,992
Assiniboine and Sioux Tribes of Fort Peck MT	\$26,877
Cheyenne River Sioux Tribe SD	\$25,651
Kiowa Indian Tribe OK	\$25,599
Maunelluk Assoc. Reg. Corp. AK	\$25,277
Standing Rock Sioux Tribe ND-SD	\$24,865
Osage Tribe OK	\$24,693
Comanche Indian Tribe OK	\$22,817
Confederated Salish and Kootenai Tribes MT	\$22,490
Crow Tribe MT	\$22,068
Eastern Band of Cherokee Indians NC	\$21,915
Citizens Band Potawatomi Tribe OK	\$20,572
Sac and Fox Tribe OK	\$20,019

Bristol Bay Nat. Assoc. Reg. Corp. AK	\$19,846
Artic Slope Nat. Assoc. Reg. Corp. AK	\$19,751
Mississippi Band of Choctaw Indians MS	\$19,530
Northern Cheyenne Tribe MT	\$17,615
Red Lake Band of Chippewa Indians MN	\$17,452
Salt River Indian Community AZ	\$16,532
Confederated Tribes of Colville WA	\$16,398
Seminole Nation OK	\$16,226
Leech Lake Band Chippewa Tribe MN	\$16,063
Menominee Indian Tribe WI	\$15,076
Spirit Lake Tribe (Devils Lake Sioux) ND	\$14,118
Three Affiliated Tribes of Fort Berthold ND	\$13,984
Sisseton-Wahpeton Sioux Tribe of Lake Traverse ND-SD	\$13,917
Confederated Tribes of Warm Springs OR	\$13,869
Pueblo of Laguna NM	\$13,707
Shoshone-Bannock Tribes of Fort Hall ID	\$13,343
Ute Indian Tribe of Uintah and Ouray UT	\$13,122
Pueblo of Santo Domingo NM	\$12,730
Mescalero Apache Tribe NM	\$12,461
Pascua Yaqui Tribe AZ	\$12,251
White Earth Band of Chippewa MN	\$12,212
Jicarilla Apache Tribe NM	\$11,350
Fort Belknap Indian Community MT	\$10,986
Oneida Tribe (West) WI	\$10,804

Colorado River Indian Tribes AZ-CA	\$10,776
Pueblo of Acoma NM	\$10,680
Pueblo of Isleta NM	\$10,431
Yankton Sioux SD	\$10,182

Independent Living Program

Name of State	1998 Actual	1999 Appropriation	2000 Request
Alabama	\$1,041,602	\$1,038,490	\$1,038,490
Alaska	\$13,071	\$13,032	\$13,032
Arizona	\$348,805	\$347,763	\$347,763
Arkansas	\$271,752	\$270,940	\$270,940
California	\$12,519,177	\$12,481,777	\$12,481,777
Colorado	\$828,329	\$825,854	\$825,854
Connecticut	\$756,778	\$754,518	\$754,518
Delaware	\$203,642	\$203,034	\$203,034
District of Columbia	\$1,095,264	\$1,091,992	\$1,091,992
Florida	\$990,003	\$987,045	\$987,045
Georgia	\$1,102,145	\$1,098,852	\$1,098,852
Hawaii	\$17,887	\$17,834	\$17,834
Idaho	\$107,325	\$107,004	\$107,004
Illinois	\$2,825,536	\$2,817,094	\$2,817,094
Indiana	\$1,023,026	\$1,019,970	\$1,019,970
Iowa	\$451,314	\$449,966	\$449,966

Kansas	\$719,627	\$717,477	\$717,477
Kentucky	\$791,557	\$791,557	\$791,557
Louisiana	\$1,362,201	\$1,358,131	\$1,358,131
Maine	\$567,584	\$565,888	\$565,888
Maryland	\$1,241,805	\$1,238,095	\$1,238,095
Massachusetts	\$637,757	\$635,852	\$635,852
Michigan	\$4,171,797	\$4,171,796	\$4,171,796
Minnesota	\$1,145,487	\$1,142,066	\$1,142,066
Mississippi	\$515,986	\$514,444	\$514,444
Missouri	\$1,298,907	\$1,295,026	\$1,295,026
Montana	\$244,189	\$244,190	\$244,190
Nebraska	\$436,867	\$435,562	\$435,562
Nevada	\$154,107	\$153,647	\$153,647
New Hampshire	\$321,286	\$320,326	\$320,326
New Jersey	\$2,304,734	\$2,297,848	\$2,297,848
New Mexico	\$207,770	\$207,149	\$207,149
New York	\$11,620,676	\$11,585,958	\$11,585,958
North Carolina	\$1,048,481	\$1,045,349	\$1,045,349
North Dakota	\$192,634	\$192,058	\$192,058
Ohio	\$2,869,565	\$2,860,992	\$2,860,992
Oklahoma	\$621,934	\$620,076	\$620,076
Oregon	\$933,588	\$930,799	\$930,799
Pennsylvania	\$4,652,124	\$4,638,225	\$4,638,225
Rhode Island	\$315,783	\$314,840	\$314,840

South Carolina	\$581,343	\$579,606	\$579,606
South Dakota	\$194,010	\$193,430	\$193,430
Tennessee	\$777,838	\$777,838	\$777,838
Texas	\$1,847,227	\$1,841,708	\$1,841,708
Utah	\$202,954	\$202,348	\$202,348
Vermont	\$296,519	\$295,633	\$295,633
Virginia	\$1,361,561	\$1,361,561	\$1,361,561
Washington	\$827,641	\$825,168	\$825,168
West Virginia	\$335,123	\$521,302	\$521,302
Wisconsin	\$1,558,963	\$1,554,305	\$1,554,305
Wyoming	\$44,719	\$44,585	\$44,585
American Samoa	\$0	\$0	\$0
Guam	\$0	\$0	\$0
Northern Marianas	\$0	\$0	\$0
Puerto Rico	\$0	\$0	\$0
Virgin Islands	\$0	\$0	\$0
Discretionary	\$0	\$0	\$0
Total Budget Authority:	\$70,000,000	\$70,000,000	\$70,000,000

NCCAN Fiscal Year 1999 Tentative Allotments

Name of State	Population	Ratio	Allotment
Alabama	1,071,708	0.015122	\$325,621
Alaska	188,329	0.002657	\$98,434

Arizona	1,278,063	0.018034	\$378,691
Arkansas	662,692	0.009351	\$220,430
California	8,951,653	0.126313	\$2,352,177
Colorado	1,015,529	0.014330	\$311,173
Connecticut	792,161	0.011178	\$253,727
Delaware	177,411	0.002503	\$95,626
Dist. of Col.	107,204	0.001513	\$77,571
Florida	3,471,316	0.048982	\$942,749
Georgia	1,987,811	0.028049	\$561,223
Hawaii	302,592	0.004270	\$127,820
Idaho	351,352	0.004958	\$140,360
Illinois	3,174,223	0.044790	\$866,343
Indiana	1,497,455	0.021130	\$435,114
Iowa	725,325	0.010235	\$236,538
Kansas	687,931	0.009707	\$226,921
Kentucky	961,202	0.013563	\$297,201
Louisiana	1,190,878	0.016804	\$356,269
Maine	297,266	0.004195	\$126,451
Maryland	1,268,552	0.017900	\$376,245
Massachusetts	1,451,374	0.020480	\$423,263
Michigan	2,504,757	0.035344	\$694,171
Minnesota	1,250,685	0.017648	\$371,650
Mississippi	752,998	0.010625	\$243,655
Missouri	1,406,425	0.019845	\$411,703

Montana	229,530	0.003239	\$109,030
Nebraska	444,681	0.006275	\$164,363
Nevada	442,856	0.006249	\$163,893
New Hampshire	296,090	0.004178	\$126,148
New Jersey	1,987,124	0.028039	\$561,046
New Mexico	499,322	0.007046	\$178,415
New York	4,560,031	0.064345	\$1,222,744
North Carolina	1,873,403	0.026435	\$531,800
North Dakota	165,208	0.002331	\$92,488
Ohio	2,838,641	0.040055	\$780,039
Oklahoma	878,305	0.012393	\$275,882
Oregon	810,699	0.011439	\$258,495
Pennsylvania	2,864,082	0.040414	\$786,582
Rhode Island	233,654	0.003297	\$110,091
South Carolina	955,641	0.013485	\$295,771
South Dakota	197,338	0.002785	\$100,751
Tennessee	1,324,789	0.018694	\$390,708
Texas	5,577,135	0.078696	\$1,484,322
Utah	688,077	0.009709	\$226,959
Vermont	145,519	0.002053	\$87,424
Virginia	1,644,386	0.023203	\$472,901
Washington	1,454,654	0.020526	\$424,106
West Virginia	411,746	0.005810	\$155,892
Wisconsin	1,346,376	0.018998	\$396,260

Wyoming	131,765	0.001859	\$83,887
AS	22,000	0.000310	\$55,658
Guam	49,000	0.000691	\$62,602
NMI	12,000	0.000169	\$53,086
PR	1,221,000	0.017229	\$364,015
VI	37,000	0.000522	\$59,516
Totals	70,868,944		21,026,000
Appropriation	21,026,000		
Base Amount	2,800,000		
	18,226,000		

Attachment G

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