

DISTRIBUTED DATA COLLECTION FOR REINSURANCE (RI) AND RISK ADJUSTMENT (RA): EDGE SERVER FILE PROCESSING - OUTBOUND REPORTS

November 5, 2015

**Health Insurance Marketplace Program
Training Series**

Agenda

- Session Guidelines
- Intended Audience
- Overview of Outbound File Generation and Resources
- EDGE Server Outbound Report Structure
- System Error Report (SE)
- EDGE Server File Accept-Reject Report (ESFAR)
- Detail Error Reports
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- Closing Remarks

Session Guidelines

- This is a 90-minute webinar session.
- For questions regarding content and the DDC program, please contact your Financial Management (FM) Service Representative directly and copy the Centers for Medicare & Medicaid Services (CMS) Help Desk at (CMS_FEPS@cms.hhs.gov).
- For questions regarding logistics and registration, please contact the Registrar at (800) 257-9520.

Intended Audience

- Issuers (Marketplace and Non-Marketplace) new to 2015 data submission
- Issuers interested in a refresher course in basic External Data Gathering Environment (EDGE) file processing and outbound reports
- Third Party Administrators (TPAs) and Support Vendors

Overview of Outbound File Generation and Resources

Overview of EDGE Server File Processing

- Data is extracted from issuers' proprietary systems, transformed into the necessary data formats, and loaded to the EDGE server.
- The EDGE server generates outbound files for issuers and CMS, which document the results of the inbound file processing.
 - Issuers receive detail and summary reports.
 - CMS only receives summary reports.

EDGE Server Outbound File Resources

- The following resources are provided and include the outbound file information for the EDGE server. The resources can be found in the Registration for Technical Assistance Portal (REGTAP) Library.
 - Interface Control Document (ICD)
 - File Header, File Detail and File Summary Reports
 - ICD Addendum
 - System Error Report
 - eXtensible Markup Language (XML) Files and XML Schema Definitions (XSDs)

Overview of Outbound Reports

- Outbound reports are file processing reports generated after inbound files submitted by issuers are processed and verified.
- Outbound files will be available to issuers in order to review and analyze the processing results of the enrollment, pharmacy, medical claims and supplemental diagnosis information submitted for RI and RA processing.

Overview of Outbound Reports

(continued)

- Distribution of outbound data files:
 - Amazon EDGE server issuer reports will be delivered to the Amazon Web Services (AWS) Simple Storage Service (S3) bucket configured for the issuer.
 - On-Premise EDGE server issuer reports will be delivered to the outbound file directory.
 - Summary reports for both Amazon and On-Premise EDGE servers will be delivered to the CMS AWS S3 bucket.
- Issuers will receive outbound files from both the test and production zones.
- CMS will only receive summary outbound files from the production zone.

Outbound Reports – File Naming Convention

- All outbound files generated will follow a standard naming convention:
 - **File Format Mask:**
<Submitting Entity ID>.<File Type>.D<MMDDYYYY>T<hhmmss>.<Execution Zone>.xml
 - The <File Type> is a two (2) character indicator of the type of outbound file produced.

Type of File	Type of Report	File Type
E – Enrollment M – Medical P – Pharmacy S – Supplemental	H – Header D – Detail S - Summary	EH, ED, ES MH, MD, MS PH, PD, PS SH, SD, SS
S – System	E – Error	SE

- **Example File Name:**

12345.EH.D04022014T091533.P.xml

- An Enrollment file was processed and a Hheader report generated.

Outbound Reports Produced

- There are four (4) types of outbound files produced:
 - System error reports
 - File header reports
 - Detail reports
 - Summary reports
- The system error and file header reports are not unique to the type of file submitted.

REPORT NAME	WHEN IS THE REPORT GENERATED?
System Error Report	
EDGE Server System Error (SE) Report for all file types	After file submission, but only if the file fails
File Header Reports	
EDGE Server File Accept – Reject Report (ESFAR) for all file types	After file submission

Outbound Reports Produced (continued)

- Detail and summary reports are unique for each type of inbound file submitted.

REPORT NAME	WHEN IS THE REPORT GENERATED?
Detail Reports	
EDGE Server Detail Enrollment Error Report (ESDEE) EDGE Server Detail Medical Claim (MC) Error Report (ESDMCE) EDGE Server Detail Pharmacy Claim (RxC) Error Report (ESDPCE) EDGE Server Detail Supplemental Diagnosis File Error Report (ESDSFE)	After file submission
Summary Reports	
EDGE Server Summary Enrollment File Accept – Reject Report (ESSEFE) EDGE Server Summary Pharmacy (RxC) File Accept – Reject Report (ESSPFE) EDGE Server Summary Medical Claim (MC) File Accept – Reject Report (ESSMFE) EDGE Server Summary Supplemental Diagnosis File Accept – Reject Report (ESSSFE)	After file submission

EDGE Server System Error Report (SE)

EDGE Server System Error Report (SE)

- Produced for issuers and CMS.
- Produced only if a submitted file fails before verifying the header elements.
- Generated when an inbound file fails for technical reasons, such as size limitations or an incorrect file format (i.e. not XML).
 - Includes an Error Code and a description of the error that caused the file failure.

EDGE Server File Accept – Reject Report for all file types (ESFAR)

EDGE Server File Accept-Reject Report (ESFAR)

- Produced for issuers and CMS.
- Produced for every inbound file type after every submission if no SE report was produced.
- Performs verification of the header data elements to determine if processing of the file can continue.
 - If the header passes, the file is accepted and moves on for processing.
 - If the header fails, the file is rejected and will not be processed.

EDGE Server Detail Error All File Types

EDGE Server Detail Error Report

- › Enrollment (ESDEE)
 - › Medical (ESDMCE)
 - › Pharmacy (ESDPCE)
 - › Supplemental Diagnosis (ESDSFE)
- Produced for issuers only.
 - Produced for every inbound file type that passes the header verifications.
 - Provides an 'Accept' or 'Reject' status for every record submitted on the inbound file.
 - For rejected records, the submitted value, an Error Code and a description of the error will be provided.
 - The enrollment and medical detail reports may also include an informational message.

EDGE Server Summary Accept- Reject All File Types

EDGE Server Summary Accept-Reject Report

- › Enrollment (ESSEFE)
 - › Pharmacy (ESSPFE)
 - › Medical (ESSMFE)
 - › Supplemental Diagnosis (ESSSFE)
- Produced for issuers and CMS.
 - Produced for every inbound file type that passes the header verifications.
 - Provides a summary count of the records submitted, accepted, resolved and rejected with a further breakdown by plan, error code, year and month.

EDGE Server Outbound Report Structure

Shared Outbound Report Components

- These common data categories are used across the outbound reports listed in the following slides:

**Common Outbound
Header File**
(Category: File Header)

**Submission Processing
Status Type**
(Category: Status)

**Error Message
Type**
(Category: Error Message)

**Record Count Message
Type**
(Category: Record Count)

EDGE Server Outbound Reports – Shared Components

- The EDGE server reports contain the following shared components:

Report Type	Common Outbound File Header	Submission Processing Status Type	Error Message Type	Record Count Message Type
EDGE Server System Error Report (SE)	✓	X	✓	X
EDGE Server File Accept-Reject Report (ESFAR)	✓	✓	✓	X
EDGE Server Detail Error Report <ul style="list-style-type: none"> • Enrollment (ESDEE) • Medical (ESDMCE) • Pharmacy (ESDPCE) • Supplemental Diagnosis (ESDSFE) 	✓	✓	✓	X
EDGE Server Summary Accept-Reject Report <ul style="list-style-type: none"> • Enrollment (ESSEFE) • Medical (ESSMFE) • Pharmacy (ESSPFE) • Supplemental Diagnosis (ESSSFE) 	✓	X	X	✓

Shared Components - Common Outbound File Header

- **Data Category: File Header**

- The common outbound file header is present on all outbound files.
- The file header provides specific information about the type of file that is produced as it relates to the inbound file, such as the inbound and outbound File IDs, inbound and outbound generation date and time, and type of file produced.
- The below XML elements are found in the header section of the outbound reports and will help issuers match the outbound report to the inbound submission files:
 - inboundFileIdentifier
 - inboundFileGenerationDateTime
 - outboundFileTypeCode

Common Outbound Header Data Category: File Header

XML Example of Inbound File

```
<?xml version="1.0" encoding="UTF-8" standalone="true"?>
- <ns1:edgeServerEnrollmentSubmission xmlns:ns1="http://vo.edge.fm.cms.hhs.gov">
  <ns1:fileIdentifier>142211235549</ns1:fileIdentifier>
  <ns1:executionZoneCode>T</ns1:executionZoneCode>
  <ns1:interfaceControlReleaseNumber>01.00.00</ns1:interfaceControlReleaseNumber>
  <ns1:generationDateTime>2014-02-05T00:00:00</ns1:generationDateTime>
  <ns1:submissionTypeCode>E</ns1:submissionTypeCode>
  <ns1:insuredMemberTotalQuantity>3</ns1:insuredMemberTotalQuantity>
  <ns1:insuredMemberProfileTotalQuantity>3</ns1:insuredMemberProfileTotalQuantity>
- <ns1:includedEnrollmentIssuer>
```

XML Example of Outbound Report

```
<?xml version="1.0" encoding="UTF-8"?>
- <fileProcessingResultStatus xmlns="http://vo.edge.fm.cms.hhs.gov">
  - <includedFileHeader>
    <outboundFileIdentifier>fcc7a66c-6f73-459b-b26a-00b7666a3486</outboundFileIdentifier>
    <outboundFileGenerationDateTime>2014-08-09T13:10:55</outboundFileGenerationDateTime>
    <inboundFileIdentifier>142211235549</inboundFileIdentifier>
    <interfaceControlReleaseNumber>01.00.00</interfaceControlReleaseNumber>
    <edgeServerVersion>100</edgeServerVersion>
    <edgeServerProcessIdentifier>854</edgeServerProcessIdentifier>
    <inboundFileGenerationDateTime>2014-02-05T00:00:00</inboundFileGenerationDateTime>
    <outboundFileTypeCode>EH</outboundFileTypeCode>
    <edgeServerIdentifier>ESID1</edgeServerIdentifier>
    <issuerID>A1234</issuerID>
```

Shared Components – Submission Processing Status Type

Data Category: Status

- The Submission Processing Status Type (SPST) is present on the File Accept-Reject Report and all the detail error reports.
- The SPST includes the **statusTypeCode** that identifies if a submission file or elements within the submission file are accepted, rejected or reported for informational purposes only.

XML Example of Submission Processing Status Type Component

```
- <classifyingProcessingStatusType>  
  <statusTypeCode>A</statusTypeCode>  
</classifyingProcessingStatusType>
```

Shared Components – Error Message Type

Data Category: Error Message

- The Error Message Type is present on the File Accept-Reject Report if any elements are rejected and appear on all the Detail Error Reports.
- The data contents of the Error Message Type include the received element name and value within the submission file. It also includes any applicable error descriptions for the rejected data element.
- The Error Message Type Data Structure defined in the ICD is a combination of five (5) fields.
 - Error Element
 - Error Value
 - Error Code
 - Error Message
 - Detail Error Message (situational)

Error Message Type

Data Category: Error Message

- **XML Example of Error Message Type Component**

- offendingElementName = inbound XML element.
- offendingElementValue = value submitted.
- offendingElementErrorTypeCode = code assigned to the Error Message.
- offendingErrorTypeMessage = explanation of the error.
- offendingErrorTypeDetail (situational) = further description of the error.

```
- <recordedError>  
  <offendingElementName>subscriberIndicator</offendingElementName>  
  <offendingElementValue>XXXXXX105</offendingElementValue>  
  <offendingElementErrorTypeCode>6.2.1</offendingElementErrorTypeCode>  
  <offendingElementErrorTypeMessage>Value passed is not the correct data type or is not in the valid  
    range</offendingElementErrorTypeMessage>  
  <offendingElementErrorTypeDetail>is not S</offendingElementErrorTypeDetail>  
</recordedError>
```

- The Error Code (6.2.1) shown above is a concatenation of three (3) digits and the corresponding description is shown as the Error Message type.

Error Message Type

Data Category: Error Message (continued)

- Error Code Format: <Error Level>.<Error Type>.<Unique ID>
 - The first digit represents the error level.
 - Example: 0 = Header Level, 1 = Issuer Level
 - The second digit represents the error type.
 - Example: 1 = Required field, 2 = Face validity
 - The third digit is a value that when combined with the error level and error type result in a full Error Code. In some cases, the resulting Error Code is a unique Error Message.

Error Message Type

Data Category: Error Message (continued)

The first digit of the Error Code describes the level at which the error occurred.

0	File Header Level
1	Issuer Level
2	Plan Level
3	Claim Header Level / Supplemental Record Level
4	Claim Line Level
5	Enrollee Level
6	Enrollment Period Level
7	Non-Specific Level

The second digit of the Error Code describes the error type (i.e., what type of verification failed).

1	Required / Situational
2	Face validity
3	Reference
4	Logical
5	Business
6	System

Error Message Type

Data Category: Error Message (continued)

- The third digit is a value that when combined with the error level and error type, results in a full Error Code.
- When combined with a logical or business error type (2nd digit), the resulting Error Code becomes unique for the specific type of error that occurred.
 - For example, Error Code 0.4.16 is a file header (0), logical (4) verification that failed because the generationDateTime on the inbound file was greater than the current date.

```
- <recordedError>  
  <offendingElementName>generationDateTime</offendingElementName>  
  <offendingElementValue>2014-09-18T12:00:00</offendingElementValue>  
  <offendingElementErrorTypeCode>0.4.16</offendingElementErrorTypeCode>  
  <offendingElementErrorMessage>GenerationDateTime must be less than or equal to current date</offendingElementErrorMessage>  
</recordedError>
```

Error Message Type

Data Category: Error Message (continued)

- If all records in a level fail, the associated level will also include a reject Error Message.
 - For example, if an enrollee has only one enrollment period and that enrollment period is rejected, the enrollee (associated level) is also rejected.
- This type of reject will always appear with an offendingElementName “No Associated Data” and an Error Code of 5.5.5.

```
- <includedInsuredMemberProcessingResult>
  <insuredMemberRecordIdentifier>4</insuredMemberRecordIdentifier>
  <insuredMemberIdentifier>XXXXXX101</insuredMemberIdentifier>
  - <classifyingProcessingStatusType>
    <statusTypeCode>R</statusTypeCode>
  </classifyingProcessingStatusType>
  - <recordedError>
    <offendingElementName>No Associated Data</offendingElementName>
    <offendingElementValue/>
    <offendingElementErrorTypeCode>5.5.5</offendingElementErrorTypeCode>
    <offendingElementErrorMessage>Insured member rejected because all enrollment periods for insured member failed validation</offendingElementErrorMessage>
  </recordedError>
  - <includedInsuredMemberProfileProcessingResult>
    <insuredMemberProfileRecordIdentifier>5</insuredMemberProfileRecordIdentifier>
    <classifyingProcessingStatusType>
```

Shared Components – Record Count Message Type

Data Category: Record Count

- The Record Count Message is only present on the Summary Accept-Reject Report.
- The data contents of the Record Count Message Type data structure include a count of the records received, accepted, resolved, rejected and new records received.

Example of Record Count Message

```
- <includedRecordCounts>  
  <recordsReceived>1</recordsReceived>  
  <recordsAccepted>1</recordsAccepted>  
  <recordsResolved>0</recordsResolved>  
  <recordsRejected>0</recordsRejected>  
  <newRecordsAccepted>0</newRecordsAccepted>  
</includedRecordCounts>
```

EDGE Server System Error (SE) Report

System Error Report Example

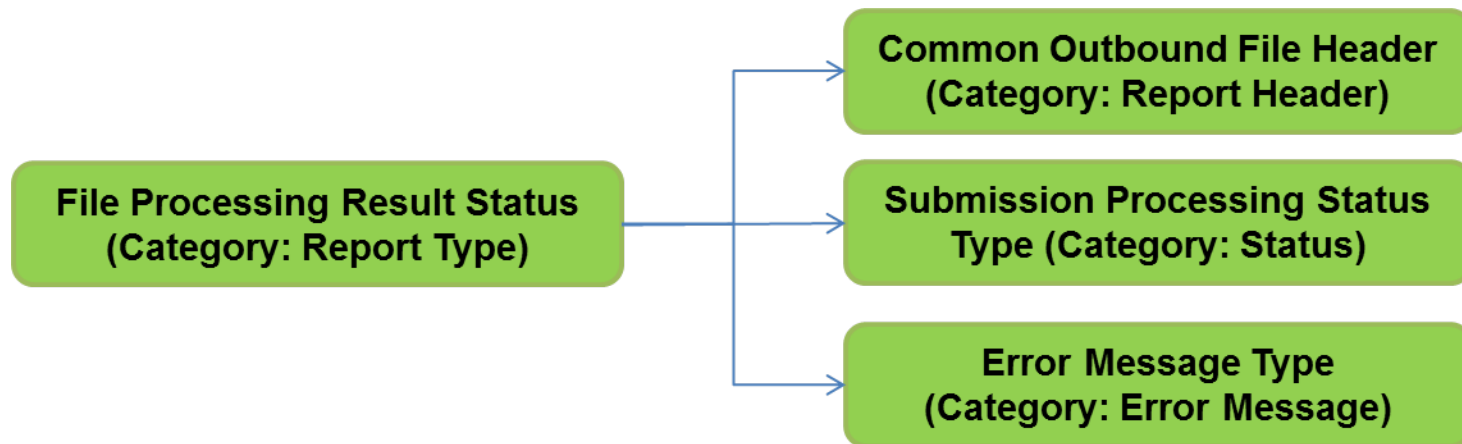
- Below is an example of the System Error (SE) Report.
- In this example a java memory exception was logged which caused processing to abort.

```
<?xml version="1.0" encoding="UTF-8"?>
- <edgeServerErrorStatus xmlns="http://vo.edge.fm.cms.hhs.gov">
  - <commonSystemErrorHandler>
    <outboundFileIdentifier>cs2dasdf-908f-77fd-99as-2492869ar541</outboundFileIdentifier>
    <outboundFileGenerationDateTime>2015-05-04T15:59:31</outboundFileGenerationDateTime>
    <edgeServerVersion>ES_14.03.00_b0022</edgeServerVersion>
    <outboundFileTypeCode>SE</outboundFileTypeCode>
    <edgeServerIdentifier>99999</edgeServerIdentifier>
    <issuerID>12345</issuerID>
  </commonSystemErrorHandler>
  - <systemErrorType>
    <errorCode>100</errorCode>
    <errorCategory>JVM</errorCategory>
    <errorDescription>Java heap OutOfMemory error</errorDescription>
  </systemErrorType>
</edgeServerErrorStatus>
```

EDGE Server File Accept-Reject Report (ESFAR)

EDGE Server File Accept-Reject Report (ESFAR)

- The outbound ESFAR, which is produced after each data file submission is available to both the issuer and CMS.
- The ESFAR consists of a Report Header, Status and Error Message.
 - The Status can be categorized as Accepted, Rejected or Informational (Accepted with warning message).



ESFAR Business Data Elements

- The ESFAR indicates if the file header of a submitted file was accepted or rejected.
 - If the header passed, the file is accepted and moves on for processing.
 - If the header failed, the file is rejected and will not be processed.
- **ESFAR with Accepted (A) Status**

```
<?xml version="1.0" encoding="UTF-8"?>
- <fileProcessingResultStatus xmlns="http://vo.edge.fm.cms.hhs.gov">
  - <includedFileHeader>
    <outboundFileIdentifier>e01777db-0ecc-494b-93f8-0fd6b6f354e9</outboundFileIdentifier>
    <outboundFileGenerationDateTime>2014-08-21T10:49:34</outboundFileGenerationDateTime>
    <inboundFileIdentifier>410000000821</inboundFileIdentifier>
    <interfaceControlReleaseNumber>01.00.00</interfaceControlReleaseNumber>
    <edgeServerVersion>100</edgeServerVersion>
    <edgeServerProcessIdentifier>777</edgeServerProcessIdentifier>
    <inboundFileGenerationDateTime>2014-06-18T12:00:00</inboundFileGenerationDateTime>
    <outboundFileTypeCode>MH</outboundFileTypeCode>
    <edgeServerIdentifier>ESID1</edgeServerIdentifier>
    <issuerID>A1234</issuerID>
    <inboundFileSubmissionDateTime>2014-08-21T10:49:33</inboundFileSubmissionDateTime>
    <inboundFileSubmissionType>M</inboundFileSubmissionType>
  </includedFileHeader>
  - <classifyingProcessingStatusType>
    <statusTypeCode>A</statusTypeCode>
  </classifyingProcessingStatusType>
</fileProcessingResultStatus>
```

ESFAR Example – Rejected Status

- ESFAR with Rejected (R) Status

```
<?xml version="1.0" encoding="UTF-8"?>
- <fileProcessingResultStatus xmlns="http://vo.edge.fm.cms.hhs.gov">
  - <includedFileHeader>
    <outboundFileIdentifier>d861c4fa-5019-4eba-b4a3-aed7bc9af151</outboundFileIdentifier>
    <outboundFileGenerationDateTime>2014-08-29T15:06:11</outboundFileGenerationDateTime>
    <inboundFileIdentifier>711724211404</inboundFileIdentifier>
    <interfaceControlReleaseNumber>01.00.00</interfaceControlReleaseNumber>
    <edgeServerVersion>100</edgeServerVersion>
    <edgeServerProcessIdentifier>27</edgeServerProcessIdentifier>
    <inboundFileGenerationDateTime>2014-09-18T12:00:00</inboundFileGenerationDateTime>
    <outboundFileTypeCode>MH</outboundFileTypeCode>
    <edgeServerIdentifier>EdgeServer_20186</edgeServerIdentifier>
    <issuerID>20186</issuerID>
    <inboundFileSubmissionDateTime>2014-08-29T15:06:11</inboundFileSubmissionDateTime>
    <inboundFileSubmissionType>M</inboundFileSubmissionType>
  </includedFileHeader>
  - <classifyingProcessingStatusType>
    <statusTypeCode>R</statusTypeCode>
  </classifyingProcessingStatusType>
  - <recordedError>
    <offendingElementName>generationDateTime</offendingElementName>
    <offendingElementValue>2014-09-18T12:00:00</offendingElementValue>
    <offendingElementErrorTypeCode>0.4.16</offendingElementErrorTypeCode>
    <offendingElementErrorMessage>GenerationDateTime must be less than or equal to current date</offendingElementErrorMessage>
  </recordedError>
</fileProcessingResultStatus>
```

ESFAR Example – Informational Status

- ESFAR with Informational (I) Status

```
<?xml version="1.0" encoding="UTF-8"?>
- <fileProcessingResultStatus xmlns="http://vo.edge.fm.cms.hhs.gov">
  - <includedFileHeader>
    <outboundFileIdentifier>38481547-cfa9-4755-9f20-f471a3a31ee9</outboundFileIdentifier>
    <outboundFileGenerationDateTime>2014-08-08T19:45:35</outboundFileGenerationDateTime>
    <inboundFileIdentifier>142201253469</inboundFileIdentifier>
    <interfaceControlReleaseNumber>01.00.00</interfaceControlReleaseNumber>
    <edgeServerVersion>100</edgeServerVersion>
    <edgeServerProcessIdentifier>153</edgeServerProcessIdentifier>
    <inboundFileGenerationDateTime>2014-04-05T00:00:00</inboundFileGenerationDateTime>
    <outboundFileTypeCode>MH</outboundFileTypeCode>
    <edgeServerIdentifier>ESID1</edgeServerIdentifier>
    <issuerID>A1234</issuerID>
    <inboundFileSubmissionDateTime>2014-08-08T19:45:34</inboundFileSubmissionDateTime>
    <inboundFileSubmissionType>M</inboundFileSubmissionType>
  </includedFileHeader>
  - <classifyingProcessingStatusType>
    <statusTypeCode>I</statusTypeCode>
  </classifyingProcessingStatusType>
  <recordedError>
    <offendingElementName>insurancePlanPaidOnFileTotalAmount</offendingElementName>
    <offendingElementValue>900.0</offendingElementValue>
    <offendingElementErrorTypeCode>0.4.19</offendingElementErrorTypeCode>
    <offendingElementErrorMessage>InsurancePlanPaidOnFileTotalAmount – Total does not match issuerPlanPaidTotalAmount</offendingElementErrorMessage>
  </recordedError>
</fileProcessingResultStatus>
```

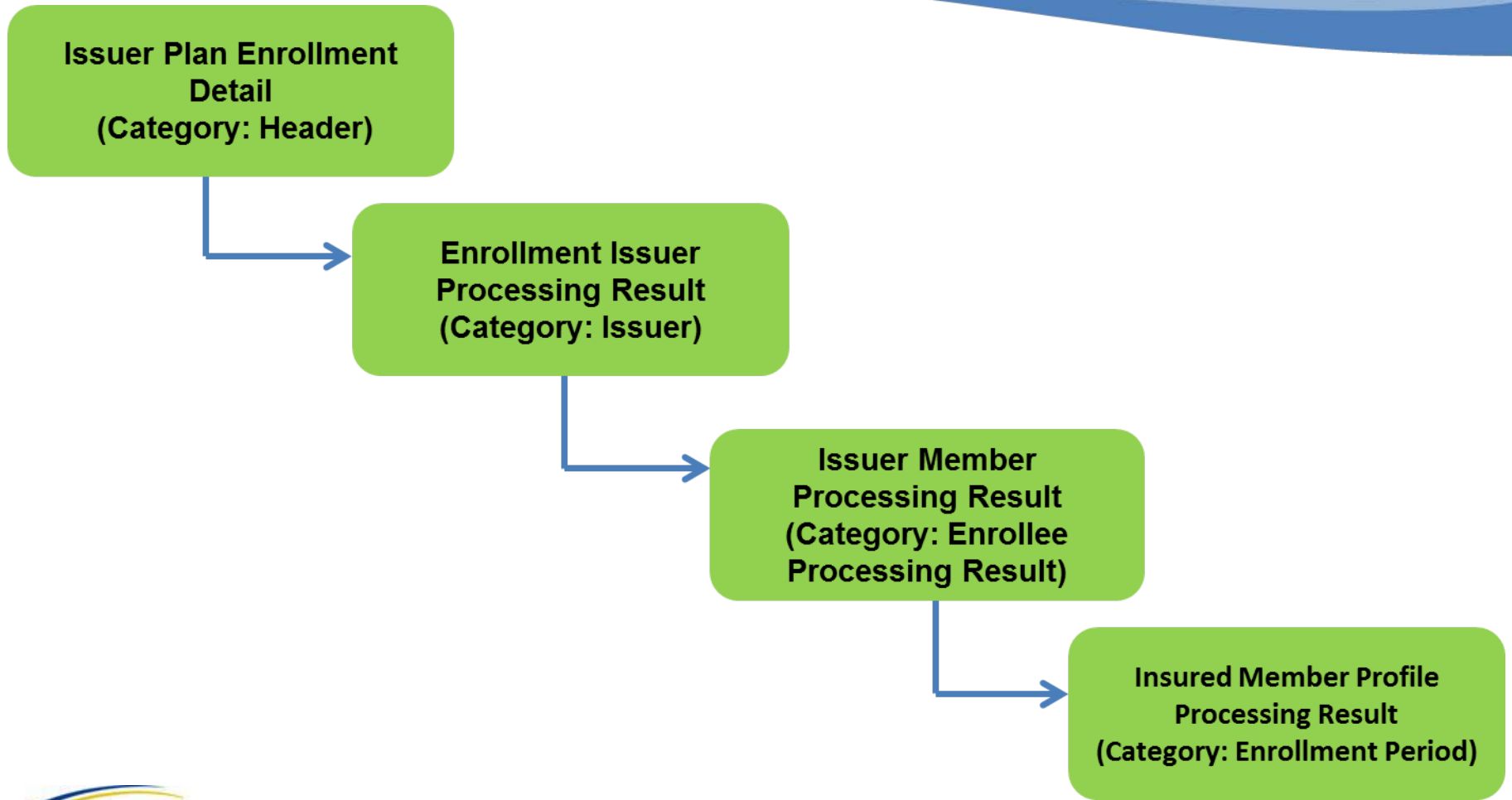
EDGE Server Detail Error Reports

EDGE Server Detail Error Reports

General Information

- The outbound detail error reports contain the acceptance and rejection information of all data levels in the file submission.
- During the verification process, each record is assigned a status of Accepted (A), accepted with an Informational message (I) or Rejected (R).
 - For rejected records, all data elements with known errors are reported back to the issuer/submitter detailing the submitted values and associated Error Code.
 - For accepted records, a status of “A” or “I” will be included. If an “I” is present, an explanation will be provided.
- The outbound detail error reports will be generated for each submitted file that has successfully passed the file header level and are available only to the issuer/submitted organization. They are not available to CMS.

EDGE Server Detail Enrollment Error (ESDEE) File Layout



EDGE Server Detail Enrollment Error (ESDEE) Report

- The ESDEE provides the processing results of all records submitted on an inbound enrollment file that passed all file header verifications.
 - Records that pass all verifications are identified with an Accepted (A) status.
 - Records that fail one (1) or more verifications are identified with a Rejected (R) status.
 - If multiple data elements fail, a reject message will appear for each failed data element.
 - An Informational (I) status will appear for any enrollment periods that have enrollment coverage end dates that are later than the effective end date of the plan in the plan reference table.
 - These records are accepted and stored as active records. CMS does not require issuers to modify the enrollment coverage end date.

ESDEE Example – Rejected Status

Inbound File

```

<?xml version="1.0" encoding="utf-8" standalone="yes" ?>
- <ns1:edgeServerEnrollmentSubmission xmlns:ns1="http://vo.edge.fm.cms.hhs.gov">
  <ns1:fileIdentifier>142211235549</ns1:fileIdentifier>
  <ns1:executionZoneCode>T</ns1:executionZoneCode>
  <ns1:interfaceControlReleaseNumber>01.00.00</ns1:interfaceControlReleaseNumber>
  <ns1:generationDateTime>2014-02-05T00:00:00</ns1:generationDateTime>
  <ns1:submissionTypeCode>E</ns1:submissionTypeCode>
  <ns1:insuredMemberTotalQuantity>3</ns1:insuredMemberTotalQuantity>
  <ns1:insuredMemberProfileTotalQuantity>3</ns1:insuredMemberProfileTotalQuantity>
- <ns1:includedEnrollmentIssuer>
  <ns1:recordIdentifier>110</ns1:recordIdentifier>
  <ns1:issuerIdentifier>A1234</ns1:issuerIdentifier>
  <ns1:issuerInsuredMemberTotalQuantity>3</ns1:issuerInsuredMemberTotalQuantity>
  <!-- Node below was updated -->
  <ns1:issuerInsuredMemberProfileTotalQuantity />
  </ns1:includedEnrollmentIssuer>
  <ns1:recordIdentifier>111</ns1:recordIdentifier>
  <ns1:insuredMemberIdentifier>M14221123555070</ns1:insuredMemberIdentifier>
  <ns1:insuredMemberBirthDate>1950-01-01</ns1:insuredMemberBirthDate>
  <ns1:insuredMemberGenderCode>M</ns1:insuredMemberGenderCode>
- <ns1:includedInsuredMemberProfile>
  <ns1:recordIdentifier>112</ns1:recordIdentifier>
  <ns1:subscriberIndicator>S</ns1:subscriberIndicator>
  </ns1:includedInsuredMemberProfile>
</ns1:edgeServerEnrollmentSubmission>
  
```

Outbound File Result

```

<edgeServerProcessIdentifier>854</edgeServerProcessIdentifier>
<inboundFileGenerationDateTime>2014-02-05T00:00:00</inboundFileGenerationDateTime>
<outboundFileTypeCode>ED</outboundFileTypeCode>
<edgeServerIdentifier>ESID1</edgeServerIdentifier>
<issuerID>A1234</issuerID>
<inboundFileSubmissionDateTime>2014-08-09T13:10:54</inboundFileSubmissionDateTime>
<inboundFileSubmissionType>E</inboundFileSubmissionType>
</includedFileHeader>
- <includedIssuerProcessingResult>
  <issuerRecordIdentifier>110</issuerRecordIdentifier>
  <issuerIdentifier>A1234</issuerIdentifier>
- <classifyingProcessingStatusType>
  <statusTypeCode>R</statusTypeCode>
</classifyingProcessingStatusType>
- <recordedError>
  <offendingElementName>issuerInsuredMemberProfileTotalQuantity</offendingElementName>
  <offendingElementValue />
  <offendingElementErrorTypeCode>1.1.1</offendingElementErrorTypeCode>
  <offendingElementErrorTypeCode>1.4.6</offendingElementErrorTypeCode>
  <offendingElementErrorMessage>is missing</offendingElementErrorMessage>
  <offendingElementErrorMessage>IssuerInsuredMemberProfileTotalQuantity must equal total
  file</offendingElementErrorMessage>
  <offendingElementErrorTypeDetail>expect count =null actual count = 3</offendingElementErrorT
</recordedError>
  
```



Plan Coverage Informational Error

- An informational error (6.5.23) is logged when the enrollment period coverage dates do not fall within the plan effective start and end dates as seen in the below example

Example Enrollment Input XML:

```
- <ns1:includedInsuredMember>
  <ns1:recordIdentifier>18</ns1:recordIdentifier>
  <ns1:insuredMemberIdentifier>ENROLLEE5</ns1:insuredMemberIdentifier>
  <ns1:insuredMemberBirthDate>1976-01-01</ns1:insuredMemberBirthDate>
  <ns1:insuredMemberGenderCode>M</ns1:insuredMemberGenderCode>
- <ns1:includedInsuredMemberProfile>
  <ns1:recordIdentifier>19</ns1:recordIdentifier>
  <ns1:subscriberIndicator>S</ns1:subscriberIndicator>
  <ns1:subscriberIdentifier/>
  <ns1:insurancePlanIdentifier>57964VA014000200</ns1:insurancePlanIdentifier>
  <ns1:coverageStartDate>2015-01-01</ns1:coverageStartDate>
  <ns1:coverageEndDate>2015-12-31</ns1:coverageEndDate>
  <ns1:enrollmentMaintenanceTypeCode>021028</ns1:enrollmentMaintenanceTypeCode>
  <ns1:insurancePlanPremiumAmount>1000.00</ns1:insurancePlanPremiumAmount>
  <ns1:rateAreaIdentifier>001</ns1:rateAreaIdentifier>
</ns1:includedInsuredMemberProfile>
</ns1:includedInsuredMember>
```

Plan Reference Effective Dates:

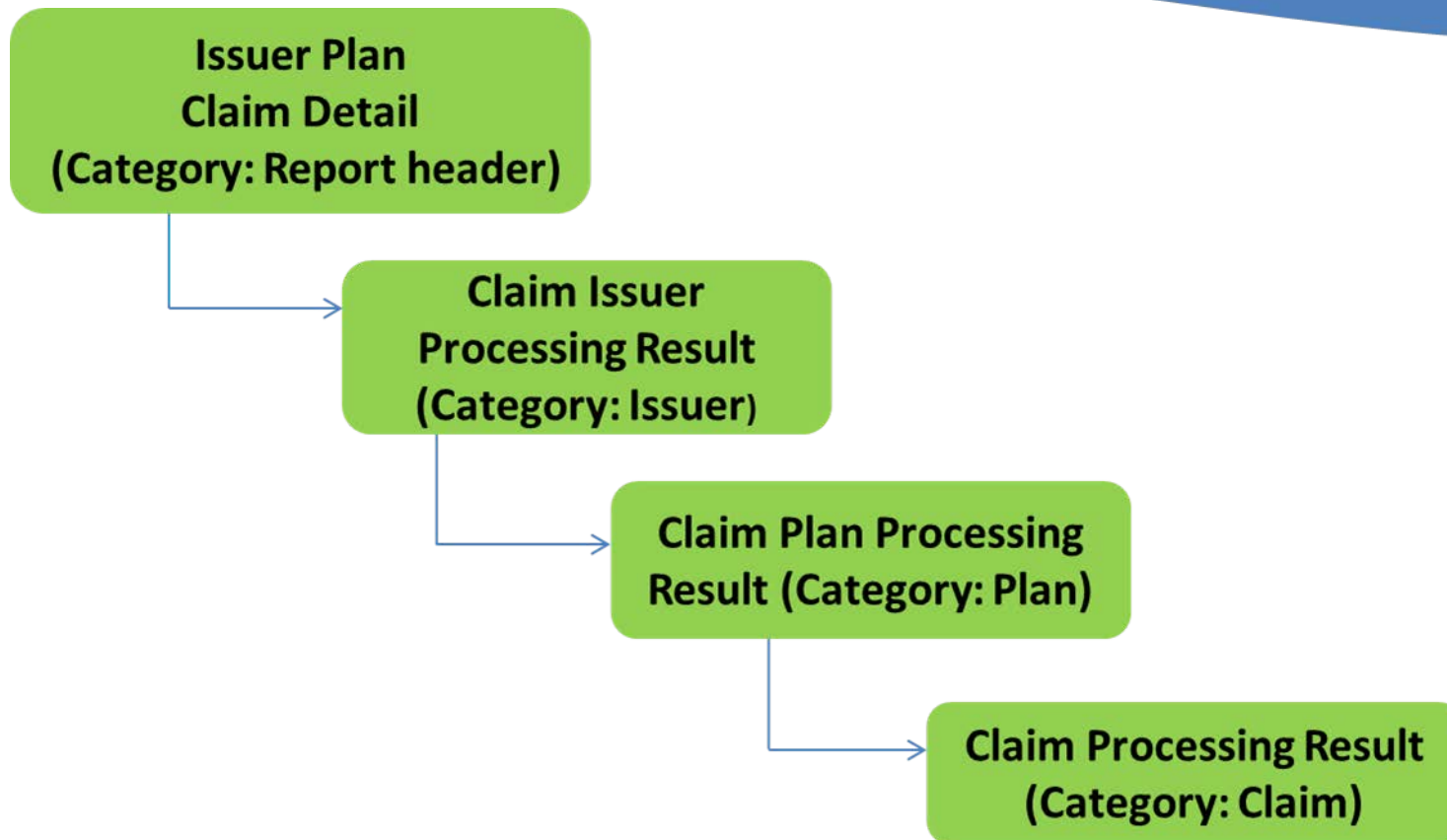
```
***** 1. row *****
INSRNC_PLAN_ID: 57964VA014000200
EFCTV_STRT_DT: 2015-01-01
EFCTV_END_DT: 2015-01-31
MARKETYEAR: 2015
```

Plan Coverage Informational Error

- The following is the corresponding informational error generated in the enrollment detail (ED) XML output report.
- Note that this is an informational error only that does not cause the enrollment period to be rejected.

```
<insuredMemberRecordIdentifier>18</insuredMemberRecordIdentifier>
<insuredMemberIdentifier>ENROLLEE5</insuredMemberIdentifier>
- <classifyingProcessingStatusType>
  <statusTypeCode>A</statusTypeCode>
</classifyingProcessingStatusType>
- <includedInsuredMemberProfileProcessingResult>
  <insuredMemberProfileRecordIdentifier>19</insuredMemberProfileRecordIdentifier>
  - <classifyingProcessingStatusType>
    <statusTypeCode>I</statusTypeCode>
  </classifyingProcessingStatusType>
  - <recordedError>
    <offendingElementName>coverageEndDate</offendingElementName>
    <offendingElementValue>2015-12-31</offendingElementValue>
    <offendingElementErrorTypeCode>6.5.23</offendingElementErrorTypeCode>
    <offendingElementErrorMessage>Enrollment coverage dates are not within the plan effective
      start and end dates</offendingElementErrorMessage>
    <offendingElementErrorTypeDetail>The end date is not within the
      range</offendingElementErrorTypeDetail>
  </recordedError>
</includedInsuredMemberProfileProcessingResult>
```

EDGE Server Detail Pharmacy, Medical & Supplemental Claim Error – File Layout



EDGE Server Detail Pharmacy Error (ESDPE) Report

- The ESDPE provides the processing results of all records submitted on an inbound pharmacy file that passed all file header verifications.
 - Records that pass all verifications are identified with an Accepted (A) or Informational (I) status.
 - An Informational status means the record was accepted but there was an identified discrepancy that should be reviewed.
 - The Informational status is only used to report a discrepancy in the Amount Paid values present in the header, issuer and claim level.
 - Records that fail one (1) or more verifications are identified with a Rejected (R) status.
 - If multiple data elements fail, a reject message will appear for each failed data element.

ESDPCE Example – Rejected Status

Inbound File

```

<?xml version="1.0" encoding="UTF-8" ?>
- <ns1:edgeServerPharmacyClaimSubmission xmlns:ns1="http://vo.edge.fm.cms.hhs.gov">
  <ns1:fileIdentifier>141981235295</ns1:fileIdentifier>
  <ns1:executionZoneCode>T</ns1:executionZoneCode>
  <ns1:interfaceControlReleaseNumber>01.00.00</ns1:interfaceControlReleaseNumber>
  <ns1:generationDateTime>2014-06-10T00:00:00</ns1:generationDateTime>
  <ns1:submissionTypeCode>P</ns1:submissionTypeCode>
  <ns1:claimDetailTotalQuantity>2</ns1:claimDetailTotalQuantity>
  <ns1:insurancePlanPaidOnFileTotalAmount>200.00</ns1:insurancePlanPaidOnFileTotalAmount>
- <ns1:includedPharmacyClaimIssuer>
  <ns1:recordIdentifier>1</ns1:recordIdentifier>
  <ns1:issuerIdentifier>A1234</ns1:issuerIdentifier>
  <ns1:issuerClaimDetailTotalQuantity>2</ns1:issuerClaimDetailTotalQuantity>
  <!-- Node below was updated -->
  <ns1:issuerPlanPaidTotalAmount />
- <ns1:includedPharmacyClaimInsurancePlan>
  <ns1:recordIdentifier>2</ns1:recordIdentifier>
  <ns1:insurancePlanIdentifier>A1234MD001555500</ns1:insurancePlanIdentifier>
  <ns1:insurancePlanClaimDetailTotalQuantity>1</ns1:insurancePlanClaimDetailTotalQuantity>
  <ns1:policyPaidTotalAmount>100.00</ns1:policyPaidTotalAmount>
- <ns1:includedPharmacyClaimDetail>
  <ns1:recordIdentifier>3</ns1:recordIdentifier>
  <ns1:insuredMemberIdentifier>M14198123529839</ns1:insuredMemberIdentifier>
  <ns1:claimIdentifier>C14198123529906</ns1:claimIdentifier>
  <ns1:claimProcessedDateTime>2014-05-18T12:00:00</ns1:claimProcessedDateTime>
  
```

Outbound File Result

```

<edgeServerProcessIdentifier>125</edgeServerProcessIdentifier>
<inboundFileGenerationDateTime>2014-06-10T00:00:00</inboundFileGenerationDateTime>
<outboundFileTypeCode>PD</outboundFileTypeCode>
<edgeServerIdentifier>ESID1</edgeServerIdentifier>
<issuerID>A1234</issuerID>
<inboundFileSubmissionDateTime>2014-07-22T21:29:29</inboundFileSubmissionDateTime>
<inboundFileSubmissionType>P</inboundFileSubmissionType>
</includedFileHeader>
- <includedIssuerProcessingResult>
  <issuerRecordIdentifier>1</issuerRecordIdentifier>
  <issuerIdentifier>A1234</issuerIdentifier>
- <classifyingProcessingStatusType>
  <statusTypeCode>R</statusTypeCode>
</classifyingProcessingStatusType>
- <recordedError>
  <offendingElementName>issuerPlanPaidTotalAmount</offendingElementName>
  <offendingElementValue />
  <offendingElementErrorTypeCode>1.1.1</offendingElementErrorTypeCode>
  <offendingElementErrorTypeCode>1.4.5</offendingElementErrorTypeCode>
  <offendingElementErrorMessage>is missing</offendingElementErrorMessage>
  <offendingElementErrorMessage>IssuerPlanPaidTotalAmount total does not match the sum of
  records submitted for the insurance plan for the issuer in the submitted file</offendingElementE
</recordedError>
  
```



EDGE Server Detail Medical Claim Error (ESDMCE) Report

- The ESDMCE provides the processing results of all records submitted on an inbound medical claim file that passed all file header verifications.
 - Records that pass all verifications are identified with an Accepted (A) or Informational (I) status.
 - An Informational status means the record was accepted, but there was an identified discrepancy that should be reviewed.
 - The Informational status is only used to report a discrepancy in the amount paid values present in the header, issuer, plan and claim header level.
 - Records that fail one (1) or more verifications are identified with a Rejected (R) status.
 - If multiple data elements fail, a reject message will appear for each failed data element.

ESDMCE Example – Rejected Status

Inbound File

```
<?xml version="1.0" encoding="UTF-8" ?>
- <edgeServerMedicalClaimSubmission xmlns="http://vo.edge.fm.cms.hhs.gov">
  <!-- Inpatient medical file 1 claim 1 claim line -->
  <fileIdentifier>410000000822</fileIdentifier>
  <executionZoneCode>T</executionZoneCode>
  <interfaceControlReleaseNumber>01.00.00</interfaceControlReleaseNumber>
  <generationDateTime>2014-06-18T12:00:00</generationDateTime>
  <submissionTypeCode>C</submissionTypeCode>
  <claimDetailTotalQuantity>1</claimDetailTotalQuantity>
  <claimServiceLineTotalQuantity>1</claimServiceLineTotalQuantity>
  <insurancePlanPaidOnFileTotalAmount>100.00</insurancePlanPaidOnFileTotalAmount>
- <includedMedicalClaimIssuer>
  <recordIdentifier>1</recordIdentifier>
  <issuerIdentifier>A1234</issuerIdentifier>
  <issuerClaimDetailTotalQuantity>1</issuerClaimDetailTotalQuantity>
  <issuerClaimServiceLineTotalQuantity>1</issuerClaimServiceLineTotalQuantity>
  <issuerPlanPaidTotalAmount>100.00</issuerPlanPaidTotalAmount>
  <includedMedicalClaimPlan>
    <recordIdentifier>2</recordIdentifier>
    <insurancePlanIdentifier>A1234MD001555500</insurancePlanIdentifier>
    <insurancePlanClaimDetailTotalQuantity>1</insurancePlanClaimDetailTotalQuantity>
```

Outbound File Result

```
<edgeServerVersion>100</edgeServerVersion>
<edgeServerProcessIdentifier>778</edgeServerProcessIdentifier>
<inboundFileGenerationDateTime>2014-06-18T12:00:00</inboundFileGenerationDateTime>
<outboundFileTypeCode>MH</outboundFileTypeCode>
<edgeServerIdentifier>ESID1</edgeServerIdentifier>
<issuerID>A1234</issuerID>
<inboundFileSubmissionDateTime>2014-08-21T10:51:34</inboundFileSubmissionDateTime>
<inboundFileSubmissionType>M</inboundFileSubmissionType>
</includedFileHeader>
- <classifyingProcessingStatusType>
  <statusCode>R</statusCode>
</classifyingProcessingStatusType>
<recordedError>
  <offendingElementName>submissionTypeCode</offendingElementName>
  <offendingElementValue>C</offendingElementValue>
  <offendingElementErrorTypeCode>0.3.1</offendingElementErrorTypeCode>
  <offendingElementErrorMessage>Reference Check Failed</offendingElementErrorMessage>
</recordedError>
</fileProcessingResultStatus>
```


EDGE Server Detail Supplemental File Error (ESDSFE)Report

- The ESDSFE provides the processing results of all records submitted on an inbound supplemental diagnosis file that passed all file header verifications.
 - Records that pass all verifications are identified with an Accepted (A) status.
 - The ESDSFE does not include any Informational (I) status results.
 - Records that fail one (1) or more verifications are identified with a Rejected (R) status.
 - If multiple data elements fails, a reject message will appear for each failed data element.

ESDSFE Example – Rejected Status

Inbound File

Outbound File Result

```
<?xml version="1.0" encoding="UTF-8"?>
- <ns1:edgeServerSupplementalClaimSubmission xmlns:ns1="http://vo.edge.fm.cms.hhs.gov">
  <ns1:fileIdentifier>IMPSMT000003</ns1:fileIdentifier>
  <ns1:executionZoneCode>P</ns1:executionZoneCode>
  <ns1:interfaceControlReleaseNumber>02.01.07</ns1:interfaceControlReleaseNumber>
  <ns1:generationDateTime>2015-06-16T12:00:00</ns1:generationDateTime>
  <ns1:submissionTypeCode>S</ns1:submissionTypeCode>
  <ns1:fileDetailTotalQuantity>1</ns1:fileDetailTotalQuantity>
  - <ns1:includedSupplementalDiagnosisIssuer>
    <ns1:recordIdentifier>1</ns1:recordIdentifier>
    <ns1:issuerIdentifier>A123</ns1:issuerIdentifier>
    <ns1:issuerFileDetailTotalQuantity>1</ns1:issuerFileDetailTotalQuantity>
    - <ns1:includedSupplementalDiagnosisPlan>
      <ns1:recordIdentifier>2</ns1:recordIdentifier>
      <ns1:insurancePlanIdentifier>25032VA013000101</ns1:insurancePlanIdentifier>
      <ns1:insurancePlanFileDetailTotalQuantity>1</ns1:insurancePlanFileDetailTotalQuantity>
      - <ns1:includedSupplementalDiagnosisDetail>
        <ns1:recordIdentifier>3</ns1:recordIdentifier>
        <ns1:insuredMemberIdentifier>ARS001</ns1:insuredMemberIdentifier>
        <ns1:supplementalDiagnosisDetailRecordIdentifier>SADULT4SM00101</ns1:supplementalDiagnosisDetailRecordIdentifier>
        <ns1:originalClaimIdentifier>CADULT4SM00101</ns1:originalClaimIdentifier>
        <ns1:detailRecordProcessedDateTime>2015-06-15T12:30:53</ns1:detailRecordProcessedDateTime>
        <ns1:addDeleteVoidCode>A</ns1:addDeleteVoidCode>
        <ns1:originalSupplementalDetailID/>
        <ns1:serviceFromDate>2015-03-05</ns1:serviceFromDate>
        <ns1:serviceToDate>2015-03-06</ns1:serviceToDate>
        <ns1:diagnosisTypeCode>01</ns1:diagnosisTypeCode>
        <ns1:supplementalDiagnosisCode>5771</ns1:supplementalDiagnosisCode>
        <ns1:sourceCode>MR</ns1:sourceCode>
      </ns1:includedSupplementalDiagnosisDetail>
    </ns1:includedSupplementalDiagnosisPlan>
  </ns1:includedSupplementalDiagnosisIssuer>
</ns1:edgeServerSupplementalClaimSubmission>
```

```
<?xml version="1.0"?>
- <issuerPlanSupplementalDiagnosisDetail xmlns="http://vo.edge.fm.cms.hhs.gov">
  - <includedFileHeader>
    <outboundFileIdentifier>4a154199-cdb0-4680-b457-9001ed4a0b5b</outboundFileIdentifier>
    <outboundFileGenerationDateTime>2015-11-05T09:38:34</outboundFileGenerationDateTime>
    <inboundFileIdentifier>IMPSMT000003</inboundFileIdentifier>
    <interfaceControlReleaseNumber>02.01.07</interfaceControlReleaseNumber>
    <edgeServerVersion>EDGEServer_4.06_01_b0180</edgeServerVersion>
    <edgeServerProcessIdentifier>2</edgeServerProcessIdentifier>
    <inboundFileGenerationDateTime>2015-06-16T12:00:00</inboundFileGenerationDateTime>
    <outboundFileTypeCode>SD</outboundFileTypeCode>
    <edgeServerIdentifier>1550109</edgeServerIdentifier>
    <issuerID>57964</issuerID>
    <inboundFileSubmissionDateTime>2015-11-05T09:38:33</inboundFileSubmissionDateTime>
    <inboundFileSubmissionType>S</inboundFileSubmissionType>
  </includedFileHeader>
  - <includedIssuerProcessingResult>
    <issuerRecordIdentifier>1</issuerRecordIdentifier>
    <issuerIdentifier>A123</issuerIdentifier>
    - <classifyingProcessingStatusType>
      <statusCode>R</statusCode>
    </classifyingProcessingStatusType>
    - <recordedError>
      <offendingElementName>issuerIdentifier</offendingElementName>
      <offendingElementValue>A123</offendingElementValue>
      <offendingElementErrorTypeCode>1.3.1</offendingElementErrorTypeCode>
      <offendingElementErrorMessage>Reference check failed</offendingElementErrorMessage>
      <offendingElementErrorTypeDetail>the data did not pass reference validation</offendingElementErrorTypeDetail>
    </recordedError>
  </includedIssuerProcessingResult>
</issuerPlanSupplementalDiagnosisDetail>
```

EDGE Server Summary Reports

EDGE Server Summary Reports

General Information

- The EDGE Server Summary Accept-Reject Reports show the aggregated counts of the outbound detail error reports.
- Counts are aggregated by the number of:
 - Received records – Total records in the inbound / outbound report
 - Accepted records – Total records that passed all verifications
 - Resolved records – Total records where a previously rejected record was resubmitted and passed all verifications in the new file
 - Rejected records – Total records that failed one (1) or more verifications
 - New Records – Total records not previously submitted (received – resolved)
- Counts are also aggregated by:
 - Plan ID
 - Error Message Code
 - Year and month for all file types
 - Form Type for medical claim files

EDGE Server Summary File Error Reports

- This inbound enrollment file had 20 enrollee records and 24 enrollment periods associated with those enrollees.
- After going through file processing:
 - Four (4) enrollee records were accepted and 16 were rejected.
 - Five (5) enrollment period records were accepted and 19 were rejected.

```
<?xml version="1.0" encoding="UTF-8"?>
- <enrollmentSummaryAcceptReject xmlns="http://vo.edge.fm.cms.hhs.gov">
  - <includedFileHeader>
    <outboundFileIdentifier>00dfe232-6b77-4780-8a0b-79ddb1da6cf8</outboundFileIdentifier>
    <outboundFileGenerationDateTime>2015-11-02T18:30:35</outboundFileGenerationDateTime>
    <inboundFileIdentifier>IMPSMT000002</inboundFileIdentifier>
    <interfaceControlReleaseNumber>02.01.07</interfaceControlReleaseNumber>
    <edgeServerVersion>EDGEserver_4.06_01_b0180</edgeServerVersion>
    <edgeServerProcessIdentifier>1</edgeServerProcessIdentifier>
    <inboundFileGenerationDateTime>2015-06-16T10:00:00</inboundFileGenerationDateTime>
    <outboundFileTypeCode>ES</outboundFileTypeCode>
    <edgeServerIdentifier>1550109</edgeServerIdentifier>
    <issuerID>57964</issuerID>
    <inboundFileSubmissionDateTime>2015-11-02T18:30:32</inboundFileSubmissionDateTime>
    <inboundFileSubmissionType>E</inboundFileSubmissionType>
  </includedFileHeader>
  <inboundFileHash>2941750ff6fc72a8112709764c1721e56cfaab2</inboundFileHash>
  <detailReportHash>0ca686d8ea6b1c3ccb12704691b3c881b30220d3</detailReportHash>
  - <includedEnrolleeRecordCounts>
    <recordsReceived>20</recordsReceived>
    <recordsAccepted>4</recordsAccepted>
    <recordsResolved>0</recordsResolved>
    <recordsRejected>16</recordsRejected>
    <newRecordsAccepted>0</newRecordsAccepted>
  </includedEnrolleeRecordCounts>
  + <includedErrorCodeFrequency>
  + <includedErrorCodeFrequency>
  + <includedErrorCodeFrequency>
  + <includedErrorCodeFrequency>
  - <includedEnrollmentPeriodRecordCounts>
    <recordsReceived>24</recordsReceived>
    <recordsAccepted>5</recordsAccepted>
    <recordsResolved>0</recordsResolved>
    <recordsRejected>19</recordsRejected>
    <newRecordsAccepted>0</newRecordsAccepted>
  </includedEnrollmentPeriodRecordCounts>
```

EDGE Server Summary File Error Reports (continued)

- Issuers who submit multiple plans on a single file will also get aggregated counts at the plan level.
- The plan shown here submitted 22 enrollee records with 22 enrollment periods:
 - Five (5) enrollee and enrollment periods were accepted.
 - 17 enrollees and enrollment periods were rejected.

```
- <includedPlan>
  <planIdentifier>57964VA014000200</planIdentifier>
  - <classifyingProcessingStatusType>
    <statusCode>A</statusCode>
  </classifyingProcessingStatusType>
  - <includedEnrolleeRecordCounts>
    <recordsReceived>22</recordsReceived>
    <recordsAccepted>5</recordsAccepted>
    <recordsResolved>0</recordsResolved>
    <recordsRejected>17</recordsRejected>
    <newRecordsAccepted>0</newRecordsAccepted>
  </includedEnrolleeRecordCounts>
  - <includedEnrollmentPeriodRecordCounts>
    <recordsReceived>22</recordsReceived>
    <recordsAccepted>5</recordsAccepted>
    <recordsResolved>0</recordsResolved>
    <recordsRejected>17</recordsRejected>
    <newRecordsAccepted>0</newRecordsAccepted>
  </includedEnrollmentPeriodRecordCounts>
```


EDGE Server Summary File Error Reports (continued)

- Related to the same inbound file, there were 2015 and 2016 enrollment start and end dates.
- After completing processing:
 - For 2015, 48 member months were accepted and 153 member months were rejected.
 - For January, four (4) member months were accepted and 16 member months were rejected.
 - For January, four (4) member months were accepted and 16 member months were rejected.

```
- <includedIssuerYear>  
  <issuerYear>2015</issuerYear>  
  <acceptedMonths>48</acceptedMonths>  
  <rejectedMonths>153</rejectedMonths>  
- <includedIssuerMonth>  
  <issuerMonth>1</issuerMonth>  
  <acceptedMonths>4</acceptedMonths>  
  <rejectedMonths>16</rejectedMonths>  
</includedIssuerMonth>  
- <includedIssuerMonth>  
  <issuerMonth>2</issuerMonth>  
  <acceptedMonths>4</acceptedMonths>  
  <rejectedMonths>14</rejectedMonths>  
</includedIssuerMonth>  
- <includedIssuerMonth>  
  <issuerMonth>3</issuerMonth>  
  <acceptedMonths>4</acceptedMonths>  
  <rejectedMonths>15</rejectedMonths>
```

EDGE Server Summary File Error Reports (continued)

- The summary reports also include the count of records at the issuer level that were rejected for each Error Code.
- In this file, the following Error Codes were logged with the below frequencies:
 - Error Code 5.5.5: 16 records
 - Error Code 6.3.1: 21 records
 - Error Code 6.5.23: 26 records
 - Error Code 6.5.27: 18 records

```
<?xml version="1.0" encoding="UTF-8"?>
- <enrollmentSummaryAcceptReject xmlns="http://vo.edge.fm.cms.hhs.gov">
  - <includedFileHeader>
    <outboundFileIdentifier>00dfe232-6b77-4780-8a0b-79ddb1da6cf8</outboundFileIdentifier>
    <outboundFileGenerationDateTime>2015-11-02T18:30:35</outboundFileGenerationDateTime>
    <inboundFileIdentifier>IMPSMT000002</inboundFileIdentifier>
    <interfaceControlReleaseNumber>02.01.07</interfaceControlReleaseNumber>
    <edgeServerVersion>EDGEServer_4.06_01_b0180</edgeServerVersion>
    <edgeServerProcessIdentifier>1</edgeServerProcessIdentifier>
    <inboundFileGenerationDateTime>2015-06-16T10:00:00</inboundFileGenerationDateTime>
    <outboundFileTypeCode>ES</outboundFileTypeCode>
    <edgeServerIdentifier>1550109</edgeServerIdentifier>
    <issuerID>57964</issuerID>
    <inboundFileSubmissionDateTime>2015-11-02T18:30:32</inboundFileSubmissionDateTime>
    <inboundFileSubmissionType>E</inboundFileSubmissionType>
  </includedFileHeader>
  <inboundFileHash>2941750ff6fc72a8112709764c1721e56cfaab2</inboundFileHash>
  <detailReportHash>0ca686d8ea6b1c3ccb12704691b3c881b30220d3</detailReportHash>
  - <includedEnrolleeRecordCounts>
    <recordsReceived>20</recordsReceived>
    <recordsAccepted>4</recordsAccepted>
    <recordsResolved>0</recordsResolved>
    <recordsRejected>16</recordsRejected>
    <newRecordsAccepted>0</newRecordsAccepted>
  </includedEnrolleeRecordCounts>
  - <includedErrorCodeFrequency>
    <offendingElementErrorTypeCode>5.5.5</offendingElementErrorTypeCode>
    <offendingElementErrorTypeCodeFrequency>16</offendingElementErrorTypeCodeFrequency>
  </includedErrorCodeFrequency>
  - <includedErrorCodeFrequency>
    <offendingElementErrorTypeCode>6.3.1</offendingElementErrorTypeCode>
    <offendingElementErrorTypeCodeFrequency>21</offendingElementErrorTypeCodeFrequency>
  </includedErrorCodeFrequency>
  - <includedErrorCodeFrequency>
    <offendingElementErrorTypeCode>6.5.23</offendingElementErrorTypeCode>
    <offendingElementErrorTypeCodeFrequency>26</offendingElementErrorTypeCodeFrequency>
  </includedErrorCodeFrequency>
  - <includedErrorCodeFrequency>
    <offendingElementErrorTypeCode>6.5.7</offendingElementErrorTypeCode>
    <offendingElementErrorTypeCodeFrequency>18</offendingElementErrorTypeCodeFrequency>
  </includedErrorCodeFrequency>
```


Conclusion

Outbound Report Conclusion

- Issuers can expect the following outbound file reports when submitting an enrollment, pharmacy claim, medical claim, or supplemental diagnosis file.

REPORT NAME	WHEN?
System Error Report (SE)	Only if a file fails
File Accept Reject (ESFAR)	After every inbound file if an SE file was not produced
Enrollment Detail Error Report (ESDEE) Medical Claim (MC) Detail Error Report (ESDMCE) Pharmacy Claim (RxC) Detail Error Report (ESDPCE) Supplemental Diagnosis File Detail Error Report (ESDSFE)	After every inbound file if the ESFAR status indicates Accepted (A)
Enrollment Summary Accept – Reject Report (ESSEFE) Pharmacy (RxC) Summary Accept – Reject Report (ESSPFE) Medical Claim (MC) Summary Accept – Reject Report (ESSMFE) Supplemental Diagnosis Summary Accept – Reject Report (ESSSFE)	After every inbound file if the ESFAR status indicates Accepted (A)

Outbound Report Conclusion

- Issuers and CMS will receive outbound file reports but the delivery of these depend upon the type of outbound file and from what zone they are produced.

REPORT NAME	Who Receives From Test Zone	Who Receives from Production Zone
System Error Report (SE)	Issuer Only	Issuer and CMS
File Accept Reject (ESFAR)	Issuer Only	Issuer and CMS
Enrollment Detail Error Report (ESDEE) Medical Claim (MC) Detail Error Report (ESDMCE) Pharmacy Claim (RxC) Detail Error Report (ESDPCE) Supplemental Diagnosis File Detail Error Report (ESDSFE)	Issuer Only	Issuer Only
Enrollment Summary Accept – Reject Report (ESSEFE) Pharmacy (RxC) Summary Accept – Reject Report (ESSPFE) Medical Claim (MC) Summary Accept – Reject Report (ESSMFE) Supplemental Diagnosis Summary Accept – Reject Report (ESSSFE)	Issuer Only	Issuer and CMS

- Amazon EDGE servers will find outbound files in the AWS S3 bucket configured for the issuer.
- On-Premise EDGE servers will find outbound files in the outbound file directory.

Outbound Report Conclusion

- Each report provides specific details about the processing results of the associated inbound submission file.

REPORT NAME	Details Included
System Error Report (SE)	Reason for File Submission Failure
File Accept Reject (ESFAR)	Notification of acceptance or rejection of file header with Error Code and reason for rejection.
Enrollment Detail Error Report (ESDEE) Medical Claim (MC) Detail Error Report (ESDMCE) Pharmacy Claim (RxC) Detail Error Report (ESDPCE) Supplemental Diagnosis File Detail Error Report (ESDSFE)	Notification of acceptance or rejection of every inbound file record ID with Error Code and reason for rejections.
Enrollment Summary Accept – Reject Report (ESSEFE) Pharmacy (RxC) Summary Accept – Reject Report (ESSPFE) Medical Claim (MC) Summary Accept – Reject Report (ESSMFE) Supplemental Diagnosis Summary Accept – Reject Report (ESSSFE)	Summary counts of records submitted, accepted and rejected with breakdown by plan ID and calendar year and month.

Upcoming Webinars

Upcoming Webinars

Webinar/User Group Topic	Scheduled Event Date
Introduction to Outbound Reports: Enrollment Claims Summary Report (ECS) & Claims Enrollment Frequency Report (CEFR)	November 12, 2015
Data Retention and Truncation Management	November 19, 2015

Questions?

To submit questions by phone:

- Dial '14' on your phone's keypad.
- Dial '13' to exit the phone queue.

Resources

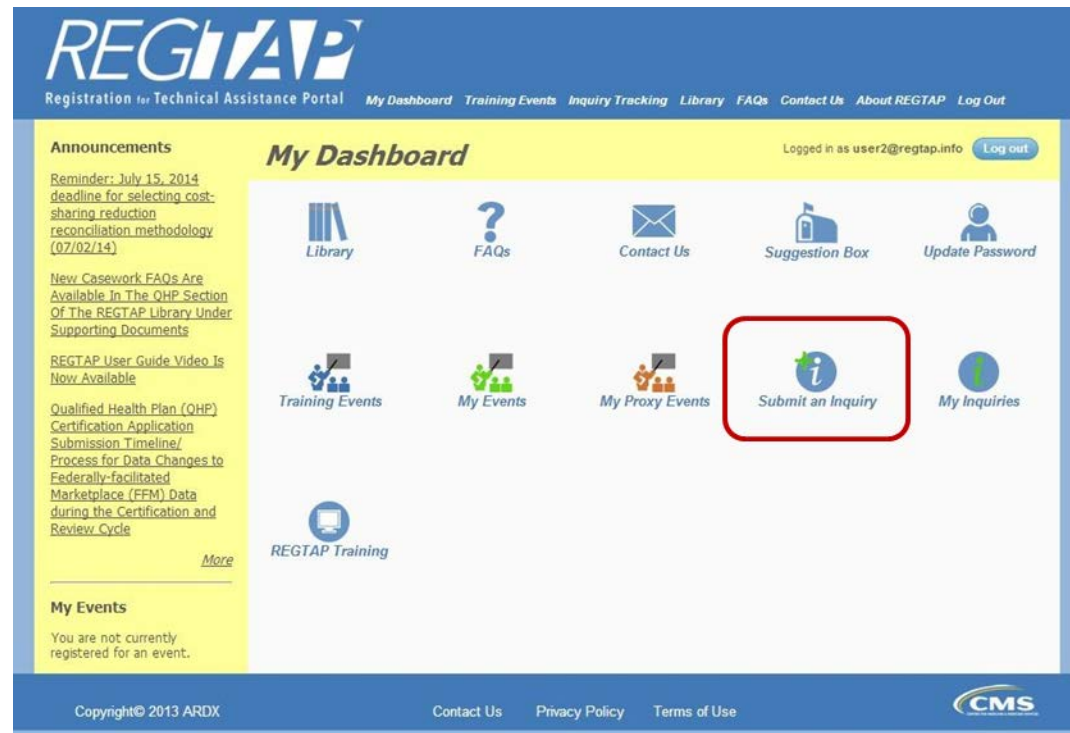
Resources

Resource	Link/Contact Information
Center for Consumer Information and Insurance Oversight (CCIIO)	http://cms.gov/ccio/
Registration for Technical Assistance Portal (REGTAP) <ul style="list-style-type: none">• Registration• Inquiry Tracking and Management System (ITMS)• Resource Library• Frequently Asked Questions (FAQs)	https://www.REGTAP.info/

Inquiry Tracking and Management System (ITMS)

ITMS is available at <https://www.REGTAP.info/>

Users can submit questions after the Webinar by selecting “Submit an Inquiry” from My Dashboard.



The screenshot shows the REGTAP website interface. At the top, the logo "REGTAP" is displayed in a stylized font, with the tagline "Registration for Technical Assistance Portal" below it. A navigation menu includes links for "My Dashboard", "Training Events", "Inquiry Tracking", "Library", "FAQs", "Contact Us", "About REGTAP", and "Log Out". The main content area is titled "My Dashboard" and features a grid of icons for various services: Library, FAQs, Contact Us, Suggestion Box, Update Password, Training Events, My Events, My Proxy Events, Submit an Inquiry (highlighted with a red box), and My Inquiries. A "REGTAP Training" icon is also visible. On the left side, there is an "Announcements" section with several text-based notices, including a reminder about a July 15, 2014 deadline and information about new casework FAQs. The footer contains copyright information for 2013 ARDX, links for Contact Us, Privacy Policy, and Terms of Use, and the CMS logo.

Note: Enter only one (1) question per submission.

FAQ Database on REGTAP

My Dashboard



FAQ Database is available at
<https://www.regtap.info>

The FAQ Database allows users to search FAQs by FAQ ID, Keyword/Phrase, Program Area, Primary and Secondary categories, and Publish Date.

FAQ Search

FAQ ID Enter numeric FAQ ID only

Keyword/Phrase

Program Area
Select All
Agent Broker
Distributed Data Collection for RI and RA/Edge Server
Enrollment and Eligibility
Event Registration and Logistics

Primary Category

Secondary Category

Publish Date
Start Date 22 End Date 22

Closing Remarks