## What to Know About Conducting Research with HeLa Cells

Session: 12 p.m. to 1:15 p.m. EDT

#### Part I

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#### **Disclaimer**

The opinions expressed are those of the presenter and do not necessarily reflect the policy of the U.S. Department of Health and Human Services.

For a complete and accurate description of the regulatory requirements, please refer to the text of the regulations available on <a href="OHRP's website">OHRP's website</a>.



### **Learning Objectives**

Part I: Secondary research under the Common Rule (CR) – (OHRP)

- Describe the options for secondary research under CR
- Explain the ethical considerations for conducting research

**Part II:** Research involving HeLa Cell Whole Genome Sequence Data Sharing – (NIH)

- Understand the establishment of the NIH-Lacks Family Agreement
- Understand the submission and access process for HeLa cell data under the Agreement
- Learn about NIH actions to reinvigorate the Agreement

# Part I: Conducting Secondary Research under the Common Rule (OHRP)



### What Is Secondary Research?

- The research use of information or biospecimens originally acquired for:
  - Non-research purposes
    - (e.g., information collected for routine clinical care; leftover blood from routine clinical tests; information collected for Medicaid billing), OR
  - Research studies other than the proposed one
    - (e.g., information collected for a drug study on Alzheimer's now being used to study if earlyonset diabetes predisposes an individual to Alzheimer's development).
  - Research use of HeLa cells is secondary research

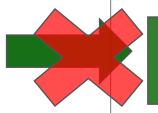




### The Concept of Secondary Research and the Regulatory Definition of *Human Subject* at §46.102(e)(1)

Regulatory definition for *Human Subject*: a **living** individual about whom an investigator conducting research

1) Obtains information or biospecimens *through intervention or interaction* with the individual...



Primary Human Subjects Research

#### <u>OR</u>

2) Obtains, uses, studies, analyzes, or generates *identifiable* private information or identifiable biospecimens



In secondary research, there is no intervention or interaction done with individuals specifically for the purpose of this research.



## When Is Secondary Research Not *Human* Subjects Research?

Secondary research



Only uses nonidentifiable private information or nonidentifiable biospecimens



Not human subjects research

**Reminder:** Consider the research project as a whole; it's purpose, what it's doing to answer the questions. Don't just look at the activities in isolation of the reasons for why they are being carried out!

## What Are the Options for Conducting Secondary Research? (1)

- 1) Entire research involves the use of identifiable data/biospecimens = human subjects research
  - Ask: Does the whole research meet the conditions for one or more exempt categories at 46.104(d)?
    - Yes Exempt human subjects research; Exempt from the CR regulatory requirements.
    - No Nonexempt human subjects research; follow CR regulatory requirements for IRB review, informed consent, Federalwide Assurance (FWA), etc.



## What Are the Options for Conducting Secondary Research? (2)

- 2) Entire research *only* involves the use of *nonidentifiable* data/biospecimens = not human subjects research; no CR regulatory requirements.
- 3) Entire research *only* involves the use of data/biospecimens from *deceased* individuals = **not human subjects research**; no CR regulatory requirements.



### Which of the Following Statements Best Describes the Use of HeLa Cells in Research?

#### Research use of HeLa cells is

- A. Primary human subjects research
- B. Secondary human subjects research
- C. Not human subjects research because the cells are nonidentifiable
- D. Not human subjects research because it doesn't involve living individuals
- E. Not research under CR



#### Reminder

- The CR regulatory framework provides a baseline standard for human research protections for federally funded research.
- Research that falls outside CR is:
  - Not necessarily of little or no risk to subjects
  - Not necessarily free of ethical concerns
- Similarly, for research that CR regulates, mere compliance does NOT mean that the research study is necessarily protective or free from ethical concerns!



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### **Ethical Concerns for Research Outside CR**



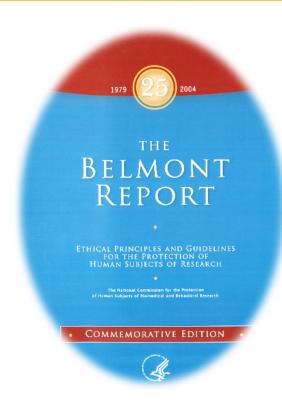
 $\frac{https://journal of ethics.ama-assn.org/article/genetic-research-among-hava supai-cautionary-tale/2011-02$ 



Recidivism Scores *mistakenly* giving higher scores to more black defendants than white counterparts.

# The Belmont Report (1979) - Ethical Principles for Human Subjects Research

- Respect for persons
  - Promotes autonomy
- Beneficence
  - Maximizes benefits to all, minimizes harms to individuals
- Justice
  - Ensures equitable distribution of burden and benefits



**Question:** Does the Belmont principles provide an adequate ethical framework for research that implicate third parties in a significant manner?

### Mark Your Calendars for OHRP's Events! No Registration Needed

### 50<sup>th</sup> Anniversary of the National Research Act - Commemoration

Watch *Live* on **Friday**, **July 12!** 

www.hhs.gov/ohrp/education-and-outreach/national-research-act-50th-anniversary/index.html



### OHRP Exploratory Workshop on Al Research

Virtual on September 19

www.hhs.gov/ohrp/education-andoutreach/exploratory-workshop/index.html



#### **Contacts**

- Contact us or submit your questions to <u>OHRP@hhs.gov</u>
- Visit OHRP website at <u>www.hhs.gov/ohrp</u>
- Check out our <u>decision charts</u>. For making exemption determinations!



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